The era of the wandering mind? Twenty-first century research on self-generated mental activity

Felicity Callard1, Jonathan Smallwood2, Johannes Golchert1 and Daniel S. Margulies3 ∗

1 Centre for Medical Humanities and Department of Geography, Durham University, Durham, UK
2 Department of Social Neuroscience, Max Planck Institute for Human Cognitive and Brain Sciences, Leipzig, Germany
3 Max Planck Research Group: Neuroanatomy and Connectivity, Max Planck Institute for Human Cognitive and Brain Sciences, Leipzig, Germany

INTRODUCTION: A NEW ERA OF MIND WANDERING RESEARCH?

One fundamental feature of the human mind is that mental activity does not cease when the mind is unoccupied by external demands. Instead, we often have thoughts and feelings that are unrelated to events in the here and now – a capacity that depends upon our mind’s ability to self-generate both cognitive and affective phenomena independently of environmental input (Smallwood, 2013). Throughout this article, we use the term “self-generated mental activity” to describe the fact that such experiences are largely generated by the individual, rather than occurring in direct response to experimenters’ instructions or to specific external sensory inputs. We use this term to describe an overarching category that encompasses a variety of phenomena – including mind wandering, daydreaming, fantasy, task-unrelated thought, and stimulus-independent thought (SIT). It should be noted that these phenomena do not exactly map on to one another (for example, self-generated mental activity would include deliberate problem-solving, and would also include daydreaming and mind-wandering, which may incorporate non-volitional processes). Despite this heterogeneity, the term “self-generated mental activity” captures a common phenomenon: that associated conscious experience is relatively more dependent on the individual’s concerns, preoccupations and hopes (i.e., self-generated), rather than immediate perceptual input (i.e., perceptually generated).

The number of studies in this special Research Topic (“Toward a psychological and neuroscientific account of the wandering mind”), coupled with the variety of topics they address, make clear that there is currently significant scientific interest in self-generated mental activity. This was not necessarily predictable: even a decade ago, investigations of these experiences were relegated to the backwaters of psychological research (see Smallwood and Schooler, 2006 for a discussion). Indeed, psychologically oriented research on self-generated mental activity was hampered by Watson and Skinner, which resulted in the exclusive legitimacy of behaviorist methodologies in many departments and many peer-reviewed journals (Klinger, personal communication, 2013). Nonetheless, pioneering and still influential psychological research was conducted by a small number of researchers during these decades – in particular the path-breaking research on daydreaming by Jerome Singer and his doctoral students John Antrobus and Kenneth Pope (e.g., Singer and Antrobus, 1965; Antrobus, 1968; Pope and Singer, 1978; Singer and Pope, 1978), and subsequent research by Klinger (1971), as well as by, e.g., Giambra (1974, 1983). This research was frequently not published in the most prestigious psychology journals, and often appeared in monographs (e.g., Singer, 1966, 1973) or in
smaller or specialty journals (e.g., Perceptual and Motor Skills (e.g., Singer and Antrobus, 1963) and Imagination, Cognition and Personality).

Those early works undoubtedly provided the foundations upon which isolated researchers continued to work in the late twentieth century (e.g., Einstein and McDaniel, 1997; Wegner, 1997). In this article we focus on the first decade of the twenty-first century – that moment during which research on self-generated mental activity moved out of the shadows, towards the scientific mainstream, and increasingly into journals with greater apparent scientific credibility. In contrast to earlier, widespread dismissal of or lack of interest in self-generated mental activity, many researchers now acknowledge that these phenomena have broad implications for many elements of psychological and neural function. For example, research has focused on how self-generated thought might be related to both physical (Epped et al., 2013) and mental health (Killingworth and Gilbert, 2010); explored its implications for attentional control (Smallwood and Scholer, 2006; McVay and Kane, 2009; McVay et al., 2009; Smallwood, 2010); considered its implications for educational success (Smallwood et al., 2007a) and addressed its relation to psychiatric conditions such as depression (Smallwood et al., 2005, 2010). A further strand of research has begun to illuminate how mind wandering is related to the nature and functions of intrinsic changes in brain activity (Mason et al., 2007; Smallwood et al., 2008; Christoff et al., 2009; Kam et al., 2011; Smallwood et al., 2013b). High-profile and/or highly cited publications in Science (Mason et al., 2007; Killingworth and Gilbert, 2010) Proceedings of the National Academy of Sciences (PNAS, Christoff et al., 2009; Stpunar et al., 2013) and the British Medical Journal (Galera et al., 2012) make it likely that this trend will continue.

Prior to our research for this article, our sense was that “mind wandering” is now the dominant term used by researchers to characterize the self-generated mental activity in which they are interested. However, the history of psychology and proximate disciplines indicates a broader palette of terms relating to self-generated mental activity (e.g., fantasy, daydreaming; Callard et al., 2012). We were intrigued, therefore, by: (i) whether the term “mind wandering” currently does dominate research on self-generated mental activity; (ii) if it does dominate, when and how it came to do so; (iii) whether research on mind wandering, specifically, is closely related to research on other, analogous phenomena and experiences; and (iv) whether mind wandering research tends to focus on particular psychological phenomena and processes, and on particular brain functions.

Our previous research has highlighted the benefits of explicit reflection on emergent scientific fields. We have emphasized the value of analysing how certain assumptions can become (prematurely) embedded; how normative claims (explicit or implicit) can be made about the phenomena under investigation; and how distinct bodies of research with different terminologies and ontological foundations can be brought together (or kept apart; Callard and Margulies, 2011; Callard et al., 2012; Margulies et al., 2013). This article combines our scientific and historical interests in self-generated mental activity with an exploration of how recent peer-reviewed research has described such experiences. We combine bibliometric analyses with our own expertise in the history and current state of research on self-generated mental activity. Our hope is to encourage reflection on these issues by other scientists who are building understanding of how the mind self-generates conscious experience. One central aim is to bring analytical visibility to the fact that Frontiers in 2013 has a special topic on the wandering mind, rather than, for example, on STTs, task-unrelated thoughts (TUTs) or self-generated cognition.

METHODS AND RESULTS

We wished to investigate three different aspects of recent peer-reviewed literature that address self-generated mental activity: (i) temporal changes in a subset of terminologies used to describe self-generated mental activity; (ii) the particular research topics (e.g., particular psychological processes and/or brain functions) that characterize research currently being conducted on what we expected to be the most common category of self-generated experience: mind wandering; and (iii) where there is cross-fertilization of research interests and findings within the wide field of research on self-generated mental activity, and where there is compartmentalization of research that remains separated from other research arenas. We determined that a combination of methods would allow us to address these three areas of inquiry: (i) we used quantitative and qualitative methods descriptively to explore recent historical changes in the use of terms for self-generated mental activity, and in the topics on which researchers investigating self-generated mental activity focus, and (ii) we performed a bibliometric analysis and visualized a citation and terminology map of the literature.

DESCRIPTIVE ANALYSIS OF TERMINOLOGY FOR SELF-GENERATED MENTAL ACTIVITY

Historical changes in terminology to describe self-generated mental activity

We searched the ISI Web of Science database in March 2013 in order to plot changes in terminologies used to encompass self-generated mental activity. We used our own expertise regarding historical and current research on mind wandering and related phenomena to identify the terms under which to search (these comprised daydreaming, mind wandering, SIT, TUT, spontaneous cognition, spontaneous thought, and fantasy proneness). We then assembled a database of all articles that cited the three most highly cited articles for each of the terms. We did this because using the terms themselves would have restricted the pool of articles by a terminology, rather than investigating the pool of literature to which articles using those terms were contributing. We restricted our search to the decade prior to this special research topic in Frontiers (i.e., 2003–2012). This is a relatively short time span; however, it covers precisely that point at which we were aware that there appeared to be substantially growing interest on the part of psychologically oriented research communities in self-generated mental activity. The number of publications yielded by this procedure was then plotted over time (in relation to whether each of the original terms appeared in the title and/or abstract and/or keyword of each item in the database; Figure 1).
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FIGURE 1 | Changes in the frequency of citations across the ten years prior to 2013. It is apparent that the term mind wandering has seen a rapid increase in the frequency of papers using this term over this period. By contrast, some research that uses other, related terms has remained at a relatively constant level over the same period.

The x-axis describes the year the paper was published. The y-axis describes the number of papers published in each year that have the term in the title, abstract, or keywords. Different colored lines describe the different terms used to describe self-generated mental activity.

What is particularly noticeable is the difference between those terms that hover at roughly the same level throughout the decade (e.g., fantasy proneness and spontaneous cognition) and those that become increasingly prominent (daydreaming, SIT and – particularly noticeably – mind wandering). Indeed, in 2010, there is a step-change in the prominence of research that uses the term mind wandering. (Mind wandering, noticeably, does not feature until 2006, subsequent to which it very closely tracks daydreaming until the divergence in 2010 precipitated by the much larger increase in mind wandering than in daydreaming.)

Changes in research focus within literatures addressing mind wandering

Data from the Section “Historical changes in terminology to describe self-generated mental activity” revealed the recent and growing dominance of research using the term mind wandering. In order to interrogate this literature more closely, we investigated changes in the use of keywords in the mind wandering articles (i.e., those articles in the database using “mind wandering” in the title, abstract or keywords) to understand any changes in research focus that have accompanied the rapid growth of interest in mind wandering.

As the number of papers was relatively small (n = 145), and the number of keywords was relatively large (approximately 900), we reduced these terms into superordinate categories (which were based on the substantial experience of one of the authors, Jonathan Smallwood, in mind wandering research). This was performed simply to reduce the number of categories to a manageable number. Based on an analysis of the distribution of these keywords, Jonathan Smallwood identified a broad set of categories of research (n = 15) that accounted for a large percentage of the terms used. These categories were selected on the basis of the distribution of keywords identified and largely served to reduce pseudonyms (e.g., the terms “default mode” and “default mode network” were collapsed into a single category) and to create meaningful psychological categories (e.g., recollection and working memory were collapsed into a category of memory processes). Some of these categories were labeled using categories introduced during the process (e.g., the keywords “resting state,” “gray matter,” “prefrontal cortex,” etc. were all grouped within the category “cognitive neuroscience”). A second rater (Johannes Golchert) independently assessed the same data using the set of categories produced by Jonathan Smallwood. We interrogated these data using descriptive statistics. Although these ratings were reliable, we make no claims that they reflect a definitive set of research categories; they simply serve to provide a smaller number of categories with which we can explore broad changes in recent research on mind wandering.

We were then interested in exploring two themes. First, in order to identify the large categories of research that are associated with mind wandering over the last decade, we plotted the relative proportion of each of the categories in our sample in the form of a pie chart (Figure 2). The largest category of keyword associated with
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FIGURE 2 | Pie chart illustrating the different categories that form the focus of mind wandering research papers over the last decade. The categories were identified by one author (Jonathan Smallwood), and were derived from papers’ keywords. Their applicability was confirmed by an independent assessment of these categories by a second author (Johannes Golchert). Agreement between authors was high.

papers that used the term mind wandering was the term cognitive neuroscience, which occurred over 25% of the time (and in which the subsection “default mode network” represented a significant proportion). The next largest sets of categories were: memory processes, attention and perception and performance. Notably, therefore, approximately 25% of the key words found in our sample were related to aspects of behavior that mind wandering has been shown to derail.

Our second aim was to consider historical trends that occurred in the use of key words over the last decade. We plotted the number of papers on mind wandering falling within each of our identified categories each year over the period of interest (Figure 3A). Given that the category of cognitive neuroscience accounted for over a quarter of our data, we plotted the historical trend in this category, and in one of its largest subcomponents, the default mode network, separately from all other keywords (Figure 3B). It can be seen that certain keywords show a pattern of slow and steady growth and are present in the majority of the years covered by our study (for example, SIT/TUT). Others have shown a rapid increase in their prevalence; of these, some were not present in the initial period (such as control), and others (such as consciousness) emerged concurrently with the turn to “mind wandering” in 2006. What is particularly noticeable is the predominance of cognitive neuroscientific research in the last 2 years of the selected period (2010–2012); within this same short time span, the visibility of research specifically on the default mode/default mode network is also striking.

VISUALIZATION OF RESEARCH LITERATURES AND CO-CITATION NETWORKS

The methods used above allowed us to gain a preliminary understanding of the rise of particular terminologies over the last decade, as well as the topics of enquiry being focused on within the mind wandering literature. We were also keen to have a greater understanding of the use of different terms employed in the field, the specific shifts that have occurred in the form of novel domains of investigation, and which communities cite – or do not cite – each other’s research. CiteSpace is a software tool that has been developed to map various aspects of citation networks, including the evolution of a literature over time (Chen, 2004, 2006). Based on databases of the scientific literature, it provides a flexible and interactive interface for assessing numerous aspects of the dynamics
FIGURE 3 | Changes in the categories that form the focus of mind wandering research papers between 2003 and 2012. It can be seen that almost half of the citations refer to psychological phenomena (A) whereas approximately the same amount refer to research focusing on cognitive neuroscience and in particular the default mode network (B).

within a given field. For example, of relevance to the current analysis, CiteSpace enables the user to slice a database of literature into years of publication, and then to assess similarity of articles based on the similarity of referenced citations. Terms can also be culled from titles, keywords, and abstracts to depict their proximity based on shared inclusion in articles. The visualization platform then allows links between references or terms to depict the first year in which a connection occurred using colored edges. Subsequent usage of a term or reference can then be visualized using concentric colors that represent the frequency of citation (or use) for each year. While CiteSpace provides numerous further analytic possibilities, we constrained our analyses here (depicted in Figure 4) to the two analyses described above to facilitate interpretability.

The network visualization presented in Figure 4 provides information about the organization of the citation network and use of terms over the past decade (2003–2012) using the database procedure described for the Section “Historical changes in terminology to describe self-generated mental activity” (and presented in Figure 1). CiteSpace deals with the problem of substantial inconsistencies in the number of articles per year by including only the most relevant, which are determined by their number of citations. The top 1% of cited articles (with a maximum of 100) from each year were included in the similarity calculations, which were based on co-citations and common term use. The proximity of nodes in the graph represents this similarity, and the visualization also reveals specific terms with high frequency of use.
FIGURE 4 | CiteSpace was used to visualize the literature from 2003 to 2012 presented in Figure 1. The colors represent years, the squares are highly used terms (top), and the circular nodes are cited articles (bottom). Each colored circle represents the number of citations/uses during that year. Edge links between nodes represent co-occurrence (in the case of terms) and co-citation (in the case of articles), with the color representing the first year in which the connection was found.
is conceivable that a paper published in 2002 by Schooler in the use of this term over and above alternatives. Indeed, it very recently; prior to that, it was terms such as daydreaming and task-unrelated thought that were more dominant. Indeed, it is uphill: the last decade has not only seen an increase in research on mind wandering, but has also been marked by a solidification of the use of this term over and above alternatives. The cited references tell another aspect of the story, indicating the role certain articles may have played in providing links between various fields. For example, Smallwood and Schooler (2006), which was published in a major psychology journal, lies right at the heart of the cognitive neuroscience cluster, depicting the central role of that article in the emerging link between “default mode” and mind wandering that has characterized the second half of the last decade. Notably, most of the highly cited cognitive neuroscience publications (Figure 4, bottom) address the default mode and/or default mode network, e.g., Shulman et al., 1997; Gusnard et al., 2001; Raichle et al., 2001; Greicius et al., 2003; Fox et al., 2005; Buckner et al., 2008). Meanwhile in the cluster that includes fantasy proneness, dissociation and hypnotic susceptibility, the Tellegen Absorption Scale (Tellegen and Atkinson, 1974) has overwhelming significance, which extends across the entire period under investigation.

DISCUSSION

Our analyses revealed several important features of recent research on self-generated mental activity. First, there are a number of distinct terminologies and topics of research, and these are subject to differential historical changes in terms of the frequency with which they are used. Certain terms and research topics (those associated with SIT, task-unrelated thought, and mind wandering) have grown in stature over the last decade. Our initial conjecture vis-à-vis the growing prominence of the specific term mind wandering is upheld: the last decade has not only seen an increase in research on mind wandering, but has also been marked by a solidification of the use of this term over and above alternatives. The specific term “mind wandering” becomes prominent only very recently; prior to that, it was terms such as daydreaming and task-unrelated thought that were more dominant. Indeed, it is conceivable that a paper published in 2002 by Schooler in the high-profile Trends in Cognitive Sciences, which focused on dissociations between experience and meta-consciousness, and which used the phrase “catching one’s mind wandering” in the abstract, helped to facilitate a shift towards the scientific community’s use of the term “mind wandering.” (Prior to 2002, there are few uses of “mind wandering” in the psychological literature; though see, amongst others, Giambra, 1989, which operationalized “daydreaming/mind wandering” through task-unrelated thoughts, and Einstein and MCDaniell, 1997, which appears to be the first to use “mind wandering” in the title). In the decades prior to those we have focused on here, the most prominent psychological research on self-generated mental activity – carried out largely by Singer, Antrobus, Klinger and Giambra – privileged constructs and phenomena that included daydreams/daydreaming, fantasy and TUTs. It is possible to advance hypotheses about why the term mind wandering superseded some of these terms. For example, the construct “fantasy” could well have been regarded (by both contemporary cognitive psychologists and cognitive neuroscientists) as too closely associated with psychoanalytically inspired research, which was increasingly jettisoned from mainstream psychology as the twentieth-century proceeded. Nonetheless, more research needs to be done to more thoroughly understand why certain terms have been overtaken by others.

The rise in “mind wandering” research has been aided by its translation from cognitive psychology into cognitive neuroscience in the last half decade. Here, it has a close tie to research on the default mode network and functional connectivity. These are, notably, research fields that, like the term “mind wandering,” came to visibility in the twenty-first century (Callard and Margulies, 2011); indeed, it could be said that the mind wandering field and the resting state/default mode network fields appear to act as motors for one another – each raising new questions for the other field to answer, and each drawing interested researchers into one another’s orbit. The close link between mind wandering research and research on the default mode network arguably implies a one-to-one mapping between a kind of experience and a particular brain network, which is likely not doing justice to the varieties of self-generated mental activity, nor to the complexity of the neural processes that contribute to these heterogeneous states. Indeed, we have argued that this tight association between mind wandering and the default mode network is at least in part owing to its historical context. That cognition has been understood largely in relation to action and environmental influences meant that “mind wandering” (as the apparent opposite of such cognition) became bound to its activation in the so-called task-negative network (the default mode network). We have argued that “this apparent ‘see-sawing’ of neural activity between two widespread brain networks suggest(s) rather intuitive – and folk-psychological – distinctions between opposing psychological functions of goal-oriented cognition and spontaneous thought” (Callard et al., 2012).

We also found that certain key articles have acted to bring together psychological and neuroscientific perspectives on self-generated mental activity. For example, in 2006 Smallwood and Schooler published “The restless mind”; while this article did not use mind wandering in its title, it did have mind wandering as a keyword, and also contained the sentence: “By referring to this phenomenon as mind wandering, a term familiar to the lay person, we hope to elevate the status of this research into mainstream psychological thinking.” A year later, Mason et al. (2007) published
We suggest that the range of terms used to investigate self-generated mental activity raises an important question for future research. The literature is heterogeneous and complex, and more research is needed to understand the conceptual, methodological and phenomenological overlaps between the objects of study being investigated by these different research communities. Certain research topics have gained traction under the umbrella of mind wandering, while others might well take shape in a field focused on the investigation of fantasy or of spontaneous cognition.

Rather than regarding such trends as a passive result of collective research agendas, we contend that it would be valuable to explore the motivations and forces that provide traction for certain terms, constructs, and approaches at particular moments in time. What is to be gained by a field through turning its research toward a previously ignored phenomenon and/or construct? Do certain formulations or terms have more flexibility than others for engendering particular interdisciplinary overlaps and crossings that have recently taken place? What is gained and what is lost when researchers investigating maladaptive and/or psychopathological manifestations of phenomena are separated from other researchers focusing on other manifestations of the same (or related) phenomena, which are frequently assumed to be “normal”? What causes certain terms and formulations to be “overtaken” by others at particular historical moments and by particular scientific communities? And what are the consequences of certain research fields remaining immune to and isolated from other research fields? Would greater cross-fertilization bring new insights into each respective research community? These are all important questions to which the research community needs to devote more attention if it hopes to provide a comprehensive account of self-generated mental activity.

One general question that this line of research raises is which terms we as a discipline should use to describe the phenomena of self-generated mental activity. Terms such as “mind wandering” and “daydreaming” have attracted the attention of writers in high-profile non-peer-reviewed publications (e.g., Jarrett, 2009). Jonah Lehrer published an essay with the normatively explicit title “The virtues of daydreaming” in The New Yorker in 2012 (Lehrer, 2012); John Tierney published “Discovering the Virtues of a Wandering Mind” (Tierney, 2010a) and “When the Mind Wanders, Happiness Also Strays” (Tierney, 2010b) in 2010. These articles disseminated research that has in the last few years become some of the most highly cited in the field (including Killingsworth and Gilbert, 2010, as well as research by Schooler, Smallwood, and Christoff). Such non-peer-reviewed publications, by drawing on long-standing general cultural interest in daydreams and wandering minds, have undoubtedly contributed to building excitement and interest in and outside of the scientific fields. The concept of mind wandering, while highly amenable to public interest, is an umbrella term for many different aspects of cognitive experience, and is relatively poorly specified (cf. research that focuses on specific aspects of self-generated mental activity, e.g., certain properties of the state, such as stimulus dependence). Different theorizations are interested in developing accounts of different aspects of self-generated mental activity, and disagreements can arise because theoretical accounts to describe different elements of these experiences are often seen as contradictory, when in fact they need not be (Smallwood, 2013). One important aim for a more comprehensive account of self-generated mental activity is to develop component process accounts of the different elements of the experience.
Our analysis also revealed how much mind wandering research has focused on what self-generated mental activity is called. Much mind wandering research is “negatively” driven, because of a focus on the costs of the experience, rather than on exploring the phenomenology of the underlying processes that drive the mind to self-generate experiences. One example is that mind wandering plays as a contributory factor to poor concurrent task performance (see for reviews, Smallwood and Schooler, 2006; Smallwood et al., 2007b; Smallwood, 2013). Recently, mind wandering has been drawn into new arenas of research, such as meditation (Mrazek et al., 2012, 2013). This research topic has perhaps developed because of research demonstrating that mind wandering has robust links to unhappiness (Smallwood et al., 2009; Killingsworth and Gilbert, 2010). Although this research is important, there are several aspects of this focus on the costs of mind wandering that are worthy of comment. Although self-generated mental activity can contribute to unhappiness and error, it can also be associated with creativity (Baird et al., 2012), future planning (Baird et al., 2011), and a tendency to make patient, long-term choices (Smallwood et al., 2013a). These are all important cognitive capacities, indicating that self-generated mental activity is associated not only with psychological costs. Given that self-generated mental activity is so common in daily life, and is coupled with both costs and benefits, it seems that a more nuanced view of the experience is warranted (for a discussion see Smallwood and Andrews-Hanna, 2013). Although understanding the costs that mind wandering can have in particular contexts is important, it is worth reflecting on whether this strong focus might have eschewed other approaches, which do not start from a position of focusing on what the phenomenon of interest (mind wandering) interrupts or limits. One of the most self-evident facts that phenomena such as mind wandering indicate is how little we understand about how and why human minds engage in self-generated mental activity to the extent that they do.

In conclusion, our analysis highlights important disciplinary and methodological trends that have accompanied research on self-generated mental activity in the early twenty-first century. We hope to have made explicit the complex role that heterogeneous scientific communities (in their relations or non-relations with one another) can have in consolidating particular terms, methods and areas of enquiry in research on self-generated mental activity. Ultimately, such analyses may open up new approaches, as well as new connections between different research communities. If this is, indeed, the “era of the wandering mind,” it is appropriate that explicit reflection be given by mind wandering researchers to the particular contexts in which they do or do not embed their research. Such reflection will, we hope, help to resolve contradictions and impasses that currently hamper research, and accelerate the pace of research on the intriguing puzzle that self-generated mental activity poses to our research communities.

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Daniel S. Margules conceived of the paper; Felicity Callard and Jonathan Smallwood drafted the manuscript with contributions from Johannes Golchert and Daniel S. Margulies; Johannes Golchert and Jonathan Smallwood undertook the bibliographic analyses and ratings of keywords; Daniel S. Margules conducted the CiteSpace visualization in consultation with Felicity Callard and Jonathan Smallwood. All authors read and approved the final manuscript.

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