Title: Music training alters the course of adolescent auditory development

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Abstract

Fundamental changes in brain structure and function during adolescence are well characterized, but the extent to which experience modulates adolescent neurodevelopment are not. Musical experience provides an ideal case for examining this question because the influence of music training begun early in life is well known. We investigated the effects of in-school music training, previously shown to enhance auditory skills, versus another in-school training program that did not focus on development of auditory skills (active control). We tested adolescents on neural responses to sound and language skills before they entered high school (pre-training) and again three years later. Here we show that in-school music training begun in high school prolongs the stability of subcortical sound processing and accelerates maturation of cortical auditory responses. Although phonological processing improved in both the music training and active control groups, the enhancement was greater in adolescents who underwent music training. Thus, music training initiated as late as adolescence can enhance neural processing of sound and confer benefits for language skills. These results establish the potential for experience-driven brain plasticity during adolescence, and demonstrate that in-school programs can engender these changes.

Significance Statement

We show that in-school music training changes the course of adolescent brain development. Relative to an active control group that shows the expected wane in subcortical response consistency, adolescents undertaking in-school music training maintained heightened neural consistency throughout high school. The music-training group also exhibited earlier emergence of more adult patterns of cortical response, suggesting that in-school music accelerates neurodevelopment. These changes appear to benefit literacy skills: both groups improved in phonological awareness relative to the general population, but the music training group improved more compared to the active controls. Our results support the notion that the adolescent brain remains receptive to training, underscoring the importance of enrichment during teenage years.

Introduction

By age six the brain has reached 90% of its adult size (1). Yet the years between childhood and young adulthood are marked by a host of subtler neural developments. Myelination and synaptic pruning (2-5) lead to a decrease in gray matter and an increase in white matter (6-13). Resting-state oscillations decline (14-16), and passive evoked responses to sound change in complex ways. Cortically, the P1, which is a positive deflection at around 50 ms generated within lateral Heschl’s gyrus (17), declines, while the N1, a negative deflection at around 100 ms generated within primary auditory cortex (18), increases (19-21). Subcortically the trial-by-trial consistency of the response declines (22-23). An open question is how experience interacts with this developmental plasticity during adolescence. Is the transition from the plasticity of childhood to the stability of adulthood malleable by experience? And if so, what types of enrichment have the greatest impact on the development of the neural mechanisms contributing to auditory and language skills?

Music training is an enrichment program commonly available to high-school students and its neural and behavioral consequences are well understood (for review see 24). Studies comparing non-musicians to musicians who began training early in life have revealed a “signature” set of enhancements associated with musical experience (25-26). Relative to non-musician peers, musicians tend to show enhanced speech-in-noise perception (27-31), verbal memory (28-35), phonological skills (36-42), and...
reading (43-47) although not without exception (48-49). Music training has also been linked to enhancements in the encoding of sound throughout the auditory system. For example, musicians show an enhanced N1 (50-53), an obligatory response to sound that originates in primary and secondary auditory cortices (54-55). These enhancements extend to the subcortical auditory system, with musicians showing responses to sound that are faster (52, 56-60), are degraded less by background noise (30, 60), represent speech formant structure more robustly [30, 61-63], differentiate speech sounds to a greater extent (64-66), track stimulus pitch more accurately (67-69), and are more consistent across trials (58, 70). In adolescence, music training leads to faster responses to speech in noise (71), but the extent to which adolescent music training can confer the other aspects of the musician “signature” remains unknown.

Motivated by a conceptual framework in which auditory enrichment interacts with the auditory processes that remain under development during adolescence, we undertook a school-based longitudinal study of adolescent auditory enrichment. We focused on objective biological measures of sound processing that have (a) shown developmental plasticity during adolescence in the absence of intervention and (b) contribute to the “neural signature” of musicianship: the consistency of the subcortical response to speech and the magnitude of the cortical onset response to speech. Subcortical response consistency peaks in childhood, waning into young adulthood (22), coinciding with a period when learning a second language becomes more difficult than earlier in life (72). Response consistency tracks with language skills (73) and is enhanced in musicians (58, 70). Accordingly, we predicted that music training in adolescence prolongs this period of auditory stability. Moreover, given that the cortical N1 onset response emerges during adolescence while the P1 response declines (17-21), and that N1 is enhanced in younger and older musicians (50-53), we predicted that music training during adolescence would accelerate the development of the cortical onset response.

To test these hypotheses, we followed two groups of high school students longitudinally, testing them just before they entered high school (mean age 14.7) and again four years later during their last year of school. One group (n = 19) engaged in music training in which they performed music from written notation in a group setting, while the active control group (n = 21) engaged in Junior Reserve Officers Training Corp (jROTC) training. Both types of training required investment of time and effort and emphasized the development of self-discipline, dedication, and determination; however, only the music training targeted auditory function. Both activities were part of the high school curriculum which was otherwise identical for both groups. We also tested students’ language skills (phonological memory, phonological awareness, and rapid naming ability) to determine if in-school music engendered benefits for literacy skills, a prediction consistent with cross-sectional studies (36-42). The two groups were matched demographically and on all outcome measures at the start of the study (see Table S1 for demographic information for the two groups).

Results

Neural

Subcortical response consistency

The jROTC group exhibited the waning of response consistency characteristically observed between adolescence and young adulthood (22-23). The music group, however, maintained high response consistency throughout high school. There was a year by training group interaction: F(1,36) = 7.36, p = 0.01, partial eta squared = 0.17; Figure 1). Response consistency decreased between year 1 and year 4 for the jROTC group (t(20) = 3.83, p = 0.0011, partial eta squared = 0.42), but did not for the music group (p > 0.1). (See Table S2 for means and standard deviations of all measures across years and
Although the two groups did not differ at year 1 (p > 0.2), in year 4 the music training group had higher response consistency than the jROTC group (t(36) = 2.62, p = 0.013, partial eta squared = 0.16).

**Cortical onset response**

Consistent with the known developmental trajectory of the cortical onset response, there was an increase in the difference between N1 and P1 from year 1 to year 4 for the music group (t(16) = 2.22, p = 0.041, partial eta squared = 0.24). The relationship between N1 and P1, however, did not change for the jROTC group (p > 0.1, year by training group interaction, F(1,34) = 6.41, p = 0.016, partial eta squared = 0.19; Figure 1). Figure 2 illustrates group mean cortical responses across fronto-central channels at year 1 and year 4 for the two groups. The groups did not differ in the relationship between N1 and P1 at year 1 (p > 0.1), indicating that the different cortical maturation trajectories between the groups were not driven by pre-existing differences. In year 4, cortical differences between music training and the jROTC groups are emerging: there was a trend suggesting a greater difference in amplitude between N1 and P1 (i.e. a more mature cortical onset response) in the music group relative to the jROTC group (t(34) = 1.77, p = 0.086, partial eta squared = 0.084). Across all subjects, cortical maturation from year 1 to year 4 did not correlate with change in response consistency from year 1 to year 4 (r = 0.21, p > 0.1).

**Behavioral**

**Phonological Awareness**

Both groups showed gains on Phonological Awareness (main effect of year, F(1,36) = 26.6, p < 0.001, partial eta squared = 0.41), but the music group showed larger gains: there was an interaction between year and training group (F(1,38) = 5.38, p = 0.026, partial eta squared = 0.12; Figure 2). Post-hoc paired t-tests revealed that Phonological Awareness score increased between year 1 and year 4 for both the music (t(18) = 4.53, p < 0.001, partial eta squared = 0.53) and ROTC (t(20) = 2.41, p = 0.026, partial eta squared = 0.23) groups. The groups did not differ on Phonological Awareness at year 1 (p > 0.2).

**Phonological Memory**

The two training groups did not differ longitudinally on Phonological Memory. There was no interaction between year and training group (p > 0.2; Figure 2), and no main effects (p > 0.2). The two training groups did not differ on Phonological Memory at year 1 (p > 0.2).

**Rapid Naming**

The two training groups did not differ longitudinally on Rapid Naming. There was no interaction between year and training group (p > 0.2; Figure 3), and no main effects (p > 0.2). The two training groups did not differ on Rapid Naming score year 1 (p > 0.2).

**Discussion**

Studies of child music lessons have established a “signature” set of neurophysiological and behavioral benefits, but is it too late to see these gains in children who initiate music training during high school? We investigated the effects of music training versus jROTC training on adolescent auditory development by testing auditory neural encoding and language skills in adolescents before, and three years after, they entered high school. While adolescents undergoing jROTC training exhibited the typical waning of the consistency of the subcortical response to speech (22-23), music training maintained high response consistency throughout high school. An increase in the N1/P1 amplitude ratio from year 1 to
year 4, known to emerge in adolescence (19-21), was observed in the music group but had not yet emerged in the jROTC group. Phonological awareness improved in both training groups from year one to year four, but these gains were larger in the adolescents who underwent in-school music training. Two other language tests, phonological memory and rapid naming, showed no group differences. Taken together, these results establish that high school music classes engender gains in brain function and behavior that, though small, demonstrate the potential of enrichment to jump start adolescent neurodevelopment.

The consistency of neural responses to sound tracks with language skills, suggesting that stable perceptual encoding is vital for the acquisition and maintenance of phonological categories (73). Response consistency peaks in childhood (~8-11 years of age), declining steadily until young adulthood (22); we show that this adolescent decline is mitigated by in-school music lessons. What mechanisms underlie this developmental trend and, perhaps, training effect? Synaptic density follows a similar developmental trajectory, increasing in early childhood and subsequently declining during adolescence (2-5). Moreover, gray matter volume has been linked to the power of resting oscillations in the brain (74), suggesting that an abundance of synapses might lead to more phase-locked neural populations and less variable responses. Consistent with previous cross-sectional studies showing enhanced response consistency in musicians (58, 70) and in participants using assistive listening devices (75), the music training group maintained a higher level of response consistency between years 1 and 4. Thus, music training may maintain heightened synaptic density within the auditory system to enable the learning and performance of challenging auditory tasks, much as songbirds show seasonal increases in synaptogenesis that coincide with the onset of the preferential period for learning new songs (76). The maintenance of response consistency in the music training group may prolong sensitivity to auditory learning. Future work could test this hypothesis by measuring auditory learning in adolescents with or without prior musical experience. Learning to produce and understand a foreign language becomes more difficult with age as auditory sensitivity declines (72); music training might extend the time window during which this is possible. Supporting this idea, adults with more musical experience show enhanced auditory plasticity (77) and more proficient second language learning (78).

During adolescence N1 amplitude increases while P1 amplitude declines (17-21). This process is not complete until young adulthood, by which time N1 has become the largest component in the cortical response to sound (17-21). In adults, music training amplifies the N1 response (50-53). Here, we find an increase in N1 amplitude relative to P1 amplitude only in the music group. Thus, music training may have accelerated cortical development. The change in response consistency from year one to year four did not correlate with cortical maturation across all participants, suggesting that different mechanisms underlie the development of subcortical response consistency and the maturation of the cortical onset response across adolescence. While synaptic pruning is a likely candidate for driving response consistency, recruitment of a larger pool of neurons involved in the generation of the cortical onset response may underlie the emergence of N1 in adolescence.

Music training leads to greater gains in auditory and motor function when begun in young childhood; by adolescence the plasticity that characterizes childhood has begun to decline (79). Nevertheless, our results establish that music training impacts the auditory system even when it is begun in adolescence, suggesting that a modest amount of training begun later in life can affect neural function. Plasticity within the auditory system is enhanced when attention is directed to sound, as well as when auditory perceptual learning is tied to reward (79-82). Music training, therefore, may be a particularly effective strategy for inducing neural change because it requires attention to sound (83) and recruits cognitive, sensory, and reward circuits (84) as sound-to-meaning connections are learned. While jROTC training requires discipline and time investment it does not mandate fine auditory perceptual
judgments, which may explain why we do not find auditory system enhancements in the jROTC group. However, jROTC training likely leads to a separate set of benefits outside the auditory domain. One possibility, for example, is that the mental discipline acquired and practiced over the course of jROTC training strengthens attentional control.

Both music and jROTC training groups experienced enhancements on a test of phonological awareness, normed to the general population, with the greatest gains observed in the music group. Thus, these seemingly different types of training may share a common characteristic capable of bolstering certain phonological skills. A feature common to both music and jROTC training is synchronization to perceptual cues. The music training that our participants underwent was in-school group training, which required them to synchronize playing both with their fellow students and with the visual signals presented by the teacher. A chief component of jROTC training was synchronized marching, during which students used perceptual cues to synchronize with the other students. Perceptual-motor synchronization ability has been linked to phonological skills (85-87), suggesting that synchronization and the knowledge of speech sounds rely on shared neural resources. One possibility is that both phonological awareness and auditory-motor synchronization draw on the ability to precisely track sound event timing (88). Given that both music training and ROTC training enhance phonological awareness and involve synchronization with perceptual cues, future work comparing music training to a passive control group could reveal a divergence not reported here. On the other hand, we found no gains in rapid naming or phonological memory, despite the fact that both reading (43-47) and verbal memory (28-35) have been associated with music training in other studies, suggesting either that the training studied here was not optimally designed to enhance these skills or that enhancing these skills requires a greater amount of training or training begun earlier in life. A third possibility is that the link between phonological processes and beat synchronization is restricted to phonological awareness. Perhaps rapid automatized naming, which is dissociable from phonological awareness and makes an independent contribution to reading skill (89), relies on precise perception of auditory timing to a lesser extent than does phonological awareness.

An unavoidable limitation of this study was that, due to working with in-school programs, we were not able to randomly assign participants to one or the other training group. Thus, our groups are not only differentiated by the training that they received over the three years but also by their motivation to begin that training in the first place. Nonetheless, given that the two training groups were matched on measures of auditory function before training began we attribute study outcomes to the training itself. Moreover, the fact that students were required to select a form of training as a requirement for graduation means that our subject population was not limited to those who were motivated to seek out training.

We find effects of music versus control training despite the large amount of between-subjects variation on neural and behavioral measures. For example, training group accounts for 16% of the variance in the year-to-year change in N1/P1 ratio, suggesting that there are other factors at play. Socioeconomic status, sex, and maturational progress could account for some of this variance, as all three of these variables have been shown to affect auditory processing (90-92).

These results inform the debate about music’s place in the high school curriculum. Faced with dwindling funds and increasing costs, administrators must often make difficult decisions about which fields of study will remain a part of the curriculum. Because the ability to play music seems irrelevant to most career paths, music training has often been sacrificed: the percentage of children receiving music instruction before age 18 dropped from 53% in 1982 to 36% in 2008 (93). Increasingly, however, longitudinal studies of music training present converging evidence that music training confers gains in
skills vital for everyday life. Therefore, while learning to play music does not train skills directly relevant to most careers, music may engender “learning to learn”, the development of skills that will enhance the ability to acquire knowledge and talents in the future (59-60, 94).

Methods

Participants

Participants were recruited from three Chicago-area public high schools and enrolled in the study during the summer before their freshman year of high school (average age at first test = 14.7 (0.39) years). Year 1 data were collected on 68 participants. 28 participants were excluded from analysis due to hearing loss (n = 3), failed IQ screening (n = 1), external diagnosis of a reading (n = 2) or learning (n = 3) disorder, failure to return for testing following training (n = 4), and switching from one training regimen to the other (n = 15), leaving 40 total participants. Participants were recruited by visiting the classrooms and speaking to students directly. Participants were not required to participate by their teachers; they volunteered, and as such our subject population was limited to only a subset of the students in each class. As a requirement of these schools’ curricula, participants enrolled in either music classes (n = 19, 8 females) or Junior Reserve Officer’s Training Corp (n = 21, 8 females, jROTC). Students were told about the study after they made their choice of training program, and thus the existence of the study did not influence their choice of training. Participants were tested prior to training to provide a baseline measure of neural processing and language abilities. They were tested again during the summer preceding their senior year of high school to evaluate changes in auditory neurophysiology and language skills. At both test points, p

Methods

Training regimens

Band class provides students with between 2 hours 20 minutes and 3 hours of in-school instrumental music instruction per week. The goal of this curriculum is to provide students with a level of musical knowledge that will ready them for college-level music performance classes by the end of their senior year. Classes combine active music making with intellectual and pragmatic aspects of musicianship, including playing technique, sight reading, performing in an ensemble, practice caring for
musical instruments, and regular assessments of student progress. These assessments include written exams related to music theory, playing exams that address continuous growth as well as concert readiness, and content-based writing assignments. Students participated in at least two public performances each year in which the students performed high-school level orchestral material. (By their junior year all participants mastered their instruments sufficiently to be placed in “advanced band”.)

Classes comprised 25-30 students, and thus the musical training primarily consisted of learning to play in a large ensemble. The students included in this study were learning to play the following instruments: percussion (2 students), tuba (1), baritone saxophone (1), trumpet (3), clarinet (6), bass (1), alto sax (3), euphonium (1), hammered dulcimer (1), and trombone (1). Practice outside of class was left at the discretion of the student to prepare for concerts and weekly quizzes.

**jROTC curriculum**

The jROTC class is held during the same time as the band class, providing the jROTC group with the same amount of class time as the band class. For both the jROTC and music training curricula, all class time was spent on instructed learning via direct contact with instructors. The goal of the jROTC curriculum is to hone leadership skills, strengthen character, and promote self-discipline through classroom-based instruction and fitness-based training. As part of the program, students engage in regular group-based synchronized marching and fitness routines that occur in response to spoken commands. Students are graded and promoted based on demonstrating knowledge and mastery of the concepts covered in the classroom as well as attainment of muscular and cardiovascular fitness milestones. Students participated in regular assessments of student progress. These assessments include written exams related to music theory, playing exams that address continuous growth as well as concert regimens, and regulations.

**Neurophysiological testing**

**Stimuli**

The stimulus for the brainstem recording was a 40 ms synthesized ‘da’, which is a five-formant Klatt-synthesized syllable (20 kHz sampling rate). The stimulus for the cortical recording was a 170 ms speech sound ‘da’, which is a six-formant Klatt-synthesized syllable (20 kHz sampling rate). See Supporting Information for a detailed description of these stimuli.

**Recording Parameters**

Participants sat in a comfortable reclining chair in a soundproof, electromagnetically-shielded booth and watched a self-selected movie with the soundtrack presented in free field at < 40 dB SPL. The left ear remained unoccluded so that the participant could hear the movie’s soundtrack.

Subcortical responses were collected with the Bio-logic Navigator Pro System (Natus Medical Incorporated) at a sampling rate of 12000 Hz using Ag-AgCl electrodes applied to the participant in an ipsilateral vertical montage with the active electrode at Cz, reference at the right earlobe, and ground on the forehead. Individual electrode impedance was kept below 5 kΩ. The stimulus was presented to the participant’s right ear in alternating polarity at 80 +/- 1 dB SPL at a rate of 10.9 Hz. Responses were online filtered from 100 to 2000 Hz, a frequency range that captures the phase-locking limits of the inferior colliculus, the putative generator of the brainstem response (96-97). Responses were segmented into epochs (-15 to 58 ms relative to stimulus onset) and then baseline corrected to the average prestimulus amplitude. Epochs in which the amplitude exceeded +/- 23.8 µV were considered
artifact and rejected. Artifacts were monitored online during data collection and two artifact-free 3000-
epoch averaged responses were collected.

Cortical responses were collected at a sampling rate of 500 Hz using a cloth cap in which 31 tin
electrodes were embedded (Compumedics), with the earlobes as reference. Electrodes were placed
above the left pupil and outer canthus of the left eye to track eye movements. Individual electrode
impedance was kept below 10 kΩ. The stimulus was presented to the participant’s right ear in
alternating polarity at 80 +/- 1 dB SPL and a rate of 0.99 Hz. Cortical data were processed in Matlab (The
Mathworks, Inc.) using EEGLAB (98) and ERPLAB (99). The data were filtered offline from 1 – 35 Hz using
a second order IIR Butterworth filter (12 dB/octave rolloff) and epoched from -100 to 500 ms relative to
stimulus onset. Epochs were baseline corrected to the average amplitude of the pre-stimulus period.
Epochs containing eyeblinks, eye movements, or large amplitude spikes (+/- 100 µV) were automatically
detected and excluded from further analysis. Artifact rejection was monitored online and 400 artifact-
free epochs were collected. Responses were then averaged separately for each channel and participant.

Data Processing

Consistency of the subcortical response for each subject was calculated by constructing a pair of
3000-sweep averages from the first and second halves of the recording. A Pearson product-moment
correlation (r-value) was calculated for this pair to estimate response consistency (22). A consistency
score of 0 would indicate a completely inconsistent response whereas a consistency score of 1 would
indicate a perfectly consistent response across trials. This procedure was run for the entire response (0-
58 ms). R-values were converted to z-scores via the fisher transform prior to statistical analysis. The Bio-
logic Navigator Pro System is incapable of storing individual trials during data collection, necessitating
the use of subaverages for analysis of response consistency. This procedure has been validated (100):
response consistency calculated by comparing waveforms collected in the first and last half of a
recording session correlates with response consistency calculated by averaging “even” and “odd”
epochs at r = 0.8, confirming that this procedure reflects trial-by-trial response consistency rather than
neural fatigue.

Cortical analyses were conducted on a fronto-central montage consisting of FP1, FPZ, FP2, F3,
FZ, F4, C3, C2, C4, CP3, CPZ, and CP4, as P1 and N1 were most prominent at these sites. P1 latency was
automatically detected as the largest positive maximum found in the latency range of 40-100 ms. N1
latency was automatically detected as the largest negative maximum found in the latency range of 70-
170 ms. These latencies were then verified by an expert who simultaneously viewed global field power
and average waveforms for every channel. Those subjects with a P1 or N1 that was not prominent
enough to be clearly picked were assigned the mean latency of all subjects with a clear P1/N1. Average
waveforms across the entire fronto-central montage were then computed, and P1 and N1 amplitude for
each subject was taken as the average amplitude in a 50-ms time window centered around the peak
latency for that subject. Cortical onset response maturation was calculated as the difference in
amplitude between N1 and P1; specifically, because N1 is a negative potential whereas P1 is a positive
potential, P1 amplitude was subtracted from inverse N1 amplitude.

Behavioral

Phonological awareness, phonological memory, and rapid naming abilities were measured with
the Comprehensive Test of Phonological Processing (101). See Supporting Information for a detailed
description of these tests.

Statistical Analyses
Analyses were carried out with MATLAB version R2012B (The MathWorks, Inc.) and R (R Core Team), using EEGLAB (98), ERPLAB (99), and custom scripts written by the authors. Year-to-year changes were determined through repeated measures analysis of variance (2 group × 2 test point RMANOVA), using Hyunh-Feldt-corrected p-values when Mauchley’s test revealed that the assumption of sphericity was violated (p < 0.05). t-tests between years 1 and 4 were conducted for all measures that showed a main effect of test point in the RMANOVA. To ensure that results were not driven by outliers, prior to analysis, outliers for any variable were corrected to two standard deviations from the mean. 3 data points were corrected for cortical maturation, 5 for subcortical response consistency, 5 for phonological awareness, 4 for rapid naming, and 5 for phonological memory. Our results were largely unaffected by this manipulation; not correcting for outliers strengthened the year by training group interaction for both N1/P1 ratio (F = 7.011, p = 0.012) and subcortical response consistency (F = 7.88, p = 0.008). However, not correcting for outliers reduced the significance of the year by training group interaction for phonological awareness (F = 3.87, p = 0.057).
References


Fig. 1 (Left) Response consistency declined with age in the ROTC training group but not the music training group (group by time point interaction: F(1,36) = 7.36, p = 0.01). (Right) The difference between N1 and P1 amplitude (a marker of cortical maturation) increased in the music training group but did not change in the ROTC training group (group by time point interaction: F(1,34) = 6.41, p = 0.016). Error bars: 1 S.E.M.
Fig. 2 Average cortical waveforms across fronto-central electrodes in year 1 and year 4 in music (left) and jROTC (right) training groups. Shaded regions: 1 S.E.M.
Fig. 3 (Left) Phonological Awareness ability increased in both training groups, but did so to a greater extent in the music training group (group by time point interaction: $F(1,38) = 5.38, p = 0.026$). (Center) Training had no significant effects on Phonological Memory ability (no group by time point interaction: $F(1,38) = 1.56, p = 0.22$). (Right) Training had no significant effects on Rapid Naming ability (no group by time point interaction: $F(1,38) = 0.15, p = 0.70$). Error bars: 1 S.E.M.