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Don't forget to look down - collaborative approaches to predator conservation

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Word count (main text) 2924

Key words: Predator management, conservation, carnivores, conflict, collaboration, top-down, bottom-up, hunting.

1. Abstract

Finding effective ways of conserving large carnivores is widely recognised as a priority in Conservation. However, there is disagreement about the most effective way to do this, with some favouring top-down “command and control” approaches and others, collaborative approaches. Arguments for coercive top-down approaches have been presented elsewhere; here we present arguments for collaboration. In many parts of developed world, flexibility of approach is built into the legislation, so that conservation objectives are balanced with other legitimate goals. In the developing world, limited resources, poverty and weak governance mean that collaborative approaches are likely to play a particularly important part in carnivore conservation. In general, coercive policies may lead to the deterioration of political legitimacy and potentially non-compliance issues such as illegal killing, whereas collaborative approaches may lead to enhanced trust, learning, and better social outcomes. Sustainable hunting can play a crucial part in the conservation and management of large carnivores. There are many different models for how to effectively conserve carnivores across the world, research is now required to reduce uncertainty and examine the effectiveness of these approaches in different contexts.

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3. Introduction

There is a fundamental disagreement about how best to conserve large predators in the Anthropocene. Some argue for coercive policies (Treves et al., 2015), whereas others argue for collaborative strategies (Lundmark, Matti, & Sandström, 2014). In a particularly strong argument for a protectionist position, Treves and colleagues (2015) pointed out that the state has an obligation to conserve large predators in trust for current and future citizens. They argued that this could be accomplished for wolves *Canis lupus* in the USA by “*..sophisticated, careful accounting by disinterested trustees who can both understand the multidisciplinary scientific measurements of relative costs and benefits among competing uses..*” (page 1). They claimed that strong, top-down and protectionist control needs to be exerted over the “*..tyrannies of the minorities, or majorities, who may demand depletion of unpopular, native wildlife..*” (page 18). They rejected the idea of sustainable population management because they believed that the science guiding sustainable management is uncertain and disputed. They argued that without stronger control, hunting and poaching would lead to the eradication of predators.

Here we consider the potential merits of collaborative approaches, counterbalancing the arguments of Treves et al. (2015). While we wholeheartedly share their objective to conserve predators for current and future generations, we question the sole focus on a coercive approach for six reasons:

- 1) Large predators mostly co-occur with people in multi-functional landscapes, where collaborative approaches are more appropriate;

- 2) A coercive approach raises moral issues and issues related to political legitimacy;
- 3) Collaborative approaches are mandated by legislation in many countries and many international Directives;
- 4) In many parts of the world, the state does not have the capacity to impose and implement strongly enforced, top-down policies;
- 5) Many predator populations thrive in the presence of locally-desired hunting;
- 6) A range of methods are already in use for the calculation and implementation of sustainable hunting limits.

We conclude that both top-down and bottom-up governance approaches have validity in predator conservation. Our approach as scientists should be to develop new research to reduce the uncertainties and understand the effectiveness of alternative strategies in different contexts, rather than advocating one approach to the exclusion of all others.

The arguments exemplified by Treves et al.'s (2015) paper and this response are critical for the future viability of predator populations, the ecosystems where they live, the legitimacy of management institutions and the well-being of people who live with them.

4. Legislation for carnivore conservation

Legislation can provide a supportive framework for changing the relationship between people and predators and for addressing the conservation conflicts associated with shared landscapes, both at local and intergovernmental levels (Trouwborst, 2015a; Trouwborst 2015b). In international wildlife law, public trust

and related concepts, such as intergenerational equity and sustainable development are distinct features of the legal landscape (Sand 2014; Treves et al. 2015). The many national and international legal instruments applicable to large carnivores allow a mixture of approaches that can help balance conservation with other interests.

In the USA, state governments hold and manage wildlife as a public trust, but the federal government can manage wildlife in special cases such as under the Endangered Species Act (ESA) when species are endangered or threatened. The ultimate goal of the ESA is for a species to achieve recovery goals so that it can be delisted and management authority returned to the states. The ESA explicitly prohibits the consideration of economic or social issues in listing decisions for protected species. However, various mechanisms are used to reduce social conflict between rural residents and federal authorities, resulting in de facto consideration of economic and social factors in the process of endangered species management.

In the European Union, conservation and other interests are balanced principally by the Habitats Directive. The Directive's primary aim is to achieve 'Favourable Conservation Status' (FCS) for such species and this is non-negotiable. However, how member states achieve FCS is up to them, according to the subsidiarity principle. Member States need to *'take account of economic, social and cultural requirements and regional and local characteristics'* (Article 2(3)). In some situations, governments must enact and enforce a strict protection regime, although exemptions are allowed under certain conditions (Annex IV); in other situations, governments have flexibility to determine how they ensure FCS (Annex V). In principle, the better a predator

population is faring, the more scope arises under the Directive for flexible, collaborative approaches regarding its conservation and management. This notion of broad stakeholder participation in decisions affecting wildlife also features strongly in other areas of legislation, such as the 1998 Aarhus Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters.

In developing countries, predator management faces very different challenges. Conservation often ranks low on the agenda due to the competing pressures of poverty and other social concerns. Developing country governments have often set aside extensive areas of land for wildlife, but limited resources and poor governance (especially corruption) mean they are unable to manage those areas effectively, let alone the significant wildlife populations outside protected areas (Lindsay et al. 2014; Lindsay et al. 2016; Smith et al. 2003). Local communities often experience high costs from these governmentally-imposed wildlife areas, such as displacement, disempowerment, restricted resource use, killing of poachers and high levels of wildlife damage, and receive few or no benefits, so are not predisposed to engage positively with government wildlife agencies (Brockington & Igoe 2006; Dickman 2010; Ferraro 2002). In many areas this sense of local resentment has been amplified by foreign governments being seen to impose their values on local wildlife management (Nzou 2015). In such a landscape, a coercive approach to conservation such as currently applied may ultimately be counter-productive (Duffy et al. 2015). Conversely, engaging local communities as key stakeholders in conservation has proved highly effective even in remote areas of developing countries (Dickman &

Hazzah 2016; Hazzah et al. 2014). Similarly, local ownership of wildlife, such as through community conservancies, can avoid many of the problems associated with wildlife areas imposed and managed by governments (Fabricius et al. 2013; Measham & Lumbasi 2013). Collaborative approaches to carnivore conservation therefore have a crucial role to play in developing countries.

5. Democracy and legitimacy

Democracy relates to a system of government based on a *“belief in freedom and equality between people, in which power is held either by elected representatives or directly by the people themselves”* (Cambridge Dictionary). Therefore, it is beholden on democratic countries to manage public-trust assets, such as carnivores, in an appropriate manner. Central to this is political legitimacy, which is *“the belief of the rightfulness of the state, in its authority to issue commands, so that those commands are obeyed not simply out of fear of sanctions or self-interest, but because they are believed in some sense to have moral authority, because subjects believe they ought to obey”* (Barker, 1990). This makes legitimacy a condition where citizens surrender authority to a branch of government based on a judgement that the relationship between them and the state is proper. Thus, the political legitimacy of natural resource management policy is partly dependent on it being socially acceptable at a local level (Peterson 2003). This acceptability is particularly likely to be rejected when local communities perceive that large, dangerous predators are imposed on them and they have to bear the risks of living with such species only to benefit distant elites (Dickman 2010; Dickman & Hazzah 2016; Knight 2000). When acceptability is rejected, political legitimacy suffers (Pearce and Littlejohn 1997), and

resistance in the form of non-compliance and outright sabotage (e.g. illegal hunting) may ensue (Krange & Skogen 2011, von Essen et al. 2014).

6. Collaborative governance

Ansell & Gash (2008) defined collaborative governance as an *“arrangement where one or more public agencies directly engage non-state stakeholders in a collective decision-making process that is formal, consensus oriented and deliberative and that aims to make or implement public policy or manage public programs or assets.”* There are numerous examples of such approaches to large carnivore management. For example, in countries such as Norway, Sweden and Finland and US states such as California and Washington (Lundmark & Matti, 2015; Sandström et al. 2009; Sjölander-Lindqvist et al. 2015). These approaches seek to strengthen democracy through dealing with the problems of lack of legitimacy and acceptance of centralized governance of large carnivore management (Sandström et al., 2009; Sjölander-Lindqvist et al., 2015; Torfing et al., 2012).

There have been few evaluations of collaborative governance in conservation, making it difficult to draw general conclusions regarding its legitimacy or outcomes. Many recent case studies suggest deficiencies in legitimacy, both in the implementation process and in resulting policy (Lundmark & Matti, 2015; Hallgren & Westberg 2015; Duit & Lof, 2015; Sandström et al., 2009). However, the findings also show the potential of collaborative processes to contribute to social and organizational learning, enhanced trust in, and responsibility for, governance and management among the affected parties, and better management of some conflict.

So while establishing direct links between collaboration and conservation outcomes may be complicated by the context in which such processes are embedded, these indirect benefits may provide sufficient reasons to promote the expansion of collaborative measures in carnivore management (Young et al., 2013).

7. Hunting and carnivore populations

Rural stakeholders that share the landscape with carnivores often wish to hunt them. Carnivore populations can increase in the presence of hunting. For example, the Swedish brown bear (*Ursus arctos*) population has been increasing since the 1930s, from around 300 to over 3,000 by 2008, despite hunting restarting in 1943 (Kindberg et al., 2011; Swenson et al., 1995). Despite the recent furore over lion trophy hunting, hunting areas such as Bvumbwe Valley Conservancy in Zimbabwe, Niassa National Reserve and Namibia's communal conservancies are amongst the few places to see recent lion population increases (Bauer et al. 2016). Similarly, cougars (*Puma concolor*), have also been increasing in much of North America (Larue et al., 2012; Swenar, Logan, & Hornocker, 2000) without being protected by the ESA and despite being hunted in nearly all of their range. Clearly, the ESA and the Habitat's Directive are partly responsible for fostering recent increases in large carnivore populations. However, it is hard to disentangle the effects of legislation from the concurrent changes in land use, rebounding prey populations and more positive public attitudes to carnivores. Indeed, it is likely that these influences act synergistically (Boitani & Linnell, 2015).

Although targets for sustainable harvesting of carnivores may be difficult to estimate accurately in some cases, because of the uncertainties involved, methods for sustainable harvesting under uncertainty are well established, with an extensive literature (dating back at least to Walters & Hilborn, 1976). These techniques are applicable to carnivore management (e.g. Edwards et al. 2014). Large carnivores in Europe and North America are among the most intensively monitored and studied large mammals in the world. This provides an adequate basis for harvesting so long as caution is exercised, with an adaptive adjustment of quotas. The challenge lies more with poor monitoring and enforcement of harvesting, as well as political priorities going against conservation, than with the underlying science.

8. Illegal killing

One problem for the conservation of large predators is illegal killing. Central to this problem is the relationship between approaches to conservation and the likelihood of illegal activity taking place. Recently, Chapron & Treves (2016) claimed that legal hunting of wolves led to an increase in illegal killings. Other studies, however, suggest the relationship is more complex. In some studies, predator abundance seems to be important. Eriksson et al. (2015) showed that an increase in direct experience of bears and wolves reduced both the levels of acceptance of these animals and support for wolf conservation over time, suggesting that attitudes towards large carnivores are likely to deteriorate as populations increase (Williams et al. 2011, Dressel et al. 2015). In Croatia, attitudes towards brown bears became less positive coincident with a shift from local management that included hunting to more top-down protectionist policy (Majić et al. 2011). Pohja-Mykrä & Kurki (2014)

take this a step further and suggest that illegal killing of wolves is a direct response to the failure of policy to take rural people's concerns seriously. In Kenya, Maasai respondents were more negative to lions, and more inclined to kill them, if they were denied access into protected areas to graze their livestock during droughts (Hazzah et al. 2013). Such a response may be compounded by the tendency of groups to enhance their internal cohesion under stress by blaming outside actors, such as management agencies (Skogen & Krange, 2003).

It is unlikely that there would be one consistent response to a certain management intervention, such as legal hunting, that could be transferable between individuals, cultures and local contexts. Instead, an individual's behaviour towards carnivores will be a result of the complex interaction between underlying values, previous experience, norms, attitudes and trust in management authorities, set within a broader social and institutional context (Sjölander-Lindqvist et al., 2015).

Consequently, we must understand the interplay between individuals' appraisal of the threat from carnivores, their attitudes and the community-wide social construction of danger before general conclusions about illegal killing can be drawn.

9. The role of science

Science is fundamental in helping societies navigate through the controversies that surround carnivore conflicts. We need robust science to help inform decisions.

Efforts have typically focused on a linear model of natural science providing evidence to guide policy and management strategies (Burgess, Harrison, & Filius, 1998; Sarewitz, 2004). Yet this approach has proved problematic for two main reasons.

First, stakeholders may frame conflicts on the basis of emotion, values and worldviews, rather than evidence (Slovic, 1987). As a result science can be ignored or dismissed (Weber & Stern, 2011). Second, science is often represented as objective truth, yet researchers may use science to legitimize normative positions (Lackey, 2004), leading to scientists not being trusted and the credibility of the science being questioned (Yamamoto, 2012). Thus, it is beholden on scientists to avoid claiming that normative positions are science-based and to engage fully with relevant stakeholders and the decision-making process, while developing robust evidence, and being transparent about the uncertainties, their role and their values.

10. Discussion

Finding ways to encourage coexistence between people and large predators in multi-functional landscapes is a major challenge for conservation worldwide (Carter & Linnell, 2016). How can we better encourage those with farming and other legitimate interests to share these landscapes with large predators that affect their livelihoods and lives?

There are different models for how to achieve coexistence. On the one hand, top-down, command-and-control approaches play a crucial role in carnivore conservation. Much of the increase in large carnivore populations across parts of Europe and the US can be attributed to legislation and its enforcement. Where populations are very low, strict protection may be appropriate and more acceptable to people living with carnivores, as their impacts on daily life are likely to be minimal and attitudes are more positive. However, as carnivore populations recover and

have increasing impacts on more people, we suggest that a different approach is required. In such situations, imposing coercive approaches that may not resonate locally, risks alienating local stakeholders, leading to, for example, increased carnivore killing and greater conflict (Brockington & Igoe, 2006). Instead, we suggest that more collaborative and flexible approaches are required to build trust and negotiate the challenges of living equitably and sustainably with carnivores. This approach is inherently more democratic, as well as being embedded in current legislation and in international conventions, such as the Convention on Biological Diversity.

Evidence for the relative effectiveness of alternative approaches is not always available (Reed & Sidoli del Ceno 2015). There are many uncertainties in developing effective strategies for predator conservation in multi-use landscapes. We are not advocating one approach over another, we rather highlight that we must better understand what works when and where. Different models are likely to be context dependent, and we must recognize that different stakeholder groups and publics have different views and desires at different scales.

The need for robust science is clear, not only to explore effectiveness of different management approaches in different contexts, but also to support the sustainable management of hunting and to understand the factors that affect illegal behaviour. Treves et al. (2015) call for an independent, national-level, external body, informed by science, to adjudicate issues around carnivore management. Such approaches may provide useful input for top-down predator management, but they are doomed

to fail unless they are balanced by more bottom-up, collaborative processes. There is increasing evidence that simply providing the results of natural science to managers is not enough. A more effective route is likely to be through developing a more integrative approach to knowledge with the appropriate stakeholders (Bennett et al., 2016).

11. Conclusions

- (1) There is disagreement about the most effective way to conserve large carnivores, with some favouring top-down “command and control” approaches and others, favouring more collaborative approaches. This paper examines arguments for collaboration.
- (2) Flexibility is built into the legislation in the USA and Europe to balance conservation with other legitimate goals. In the developing world collaborative approaches are likely to play a particularly important part in carnivore conservation.
- (3) Coercive policies may lead to the deterioration of political legitimacy and potentially to non-compliance, including illegal carnivore killing.
- (4) Collaborative approaches may lead to enhanced trust, learning, and better social outcomes.
- (5) Hunting can be part of the sustainable management of large carnivores.
- (6) Research is required to reduce uncertainty and examine the effectiveness of alternative approaches to carnivore conservation in different contexts.

Acknowledgements.

SR is grateful for his King Carl XVI Gustav guest professorship that has allowed him to write this paper. JDCL was supported by the Research Council of Norway (grant number 251112). ASL was supported by the Swedish Research Council (grant number 421-2014-1446).

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