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The Complexity of the Greedoid Tutte Polynomial

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Abstract

We consider the Tutte polynomial of three classes of greedoids: those arising from rooted graphs, rooted digraphs and binary matrices. We establish the computational complexity of evaluating each of these polynomials at each fixed rational point (x, y). In each case we show that evaluation is #P-hard except for a small number of exceptional cases when there is a polynomial time algorithm.

Mathematics Subject Classifications: 05C31, 68Q17, 05B35

1 Introduction

Tutte's eponymous polynomial is perhaps the most widely studied two-variable graph and matroid polynomial due to its many specializations, their vast breadth and the richness of the underlying theory. Discussion of the Tutte polynomial and closely related polynomials fills an entire handbook [12]. Tutte first introduced the Tutte polynomial of a graph, as the *dichromate* in [34]. It is closely related to Whitney's rank generating function [38] which Tutte extended from graphs to matroids in his PhD thesis [35]. Crapo [9] later extended the definition of the Tutte polynomial to matroids. See Farr [13] for more on the early history of the Tutte polynomial.

The simplest definition of the Tutte polynomial T(G; x, y) of a graph G is probably in terms of the rank function r. Given a graph G and set A of its edges, we have r(A) = |V(G)| - k(G|A), where k(G|A) is the number of connected components of the graph obtained from A by deleting the edges in E(G) - A (and keeping all the vertices).

Definition 1. For a graph G with edge set E, we have

$$T(G; x, y) = \sum_{A \subseteq E} (x - 1)^{r(E) - r(A)} (y - 1)^{|A| - r(A)}.$$

By making appropriate substitutions for x and y, a huge number of graph invariants with connections to diverse areas of mathematics may be obtained. We summarise just a few of these evaluations that are particularly relevant later in this paper. A *spanning subgraph* of a graph G is a subgraph including all the vertices of G.

- T(G; 1, 1) is the number of maximal spanning forests of G. (If G is connected, then this is the number of spanning trees.)
- T(G; 2, 1) is the number of spanning forests of G.
- T(G; 1, 2) is the number of spanning subgraphs of G having the same number of components as G.
- T(G; 1, 0) is the number of acyclic orientations of G with one predefined source vertex per component of G [20].

Other evaluations (up to a simple pre-factor) include the reliability polynomial, chromatic polynomial and partition function of the q-state Potts model. For a full list of evaluations see [8, 11, 12].

Given a graph polynomial of this type, a natural question is to determine its complexity, that is to classify the points (a, b) according to whether there is a polynomial time algorithm to evaluate the polynomial at (a, b) or whether the evaluation is computationally intractable. Because of the inherent difficulties of measuring the complexity of algorithms involving arbitrary real numbers, we restrict a and b to being rational. This question was completely resolved in a groundbreaking paper by Jaeger, Vertigan and Welsh [22]. A stronger result was obtained by Vertigan and Welsh [37], who proved the theorem below. For α in $\mathbb{Q} - \{0\}$, let $H_{\alpha} = \{(x, y) \in \mathbb{Q}^2 : (x - 1)(y - 1) = \alpha\}$, and let $H_0^x = \{(1, y) : y \in \mathbb{Q}\}$ and $H_0^y = \{(x, 1) : x \in \mathbb{Q}\}$. This family of hyperbolae seems to play a special role in the theory of the Tutte polynomial, both in terms of its evaluations and its complexity.

Theorem 1 (Vertigan, Welsh). Evaluating the Tutte polynomial of a bipartite planar graph at any fixed point (a, b) in the rational plane is #P-hard apart from when (a, b) lies on H_1 or H_2 , or when (a, b) equals (-1, -1) or (1, 1), when there exists a polynomial-time algorithm.

Roughly speaking, the proof of the hardness part of this result (at least without the planar bipartite restriction) proceeds as follows. By exploiting a result of Brylawski [7], one first shows that for most points (a, b), the existence of a polynomial time algorithm to evaluate T(G; a, b) for every graph G would imply the existence of a polynomial time algorithm to evaluate T(G; x, y) at every point (x, y) in H_{α} , where $\alpha = (a-1)(b-1)$. Given a graph G, let G^k and G_k denote, respectively, the graph obtained by replacing every edge of G by k parallel edges and the graph obtained by replacing every non-loop of G by a path comprising k edges and every loop by a circuit comprising k edges. The former is known as the k-thickening of G and the latter as the k-stretch of G. Brylawski gave expressions for the Tutte polynomials of G^k and G_k in terms of the Tutte polynomial of G. By varying

k, one may obtain expressions for $T(G; a_k, b_k)$ at a sequence $\{(a_k, b_k)\}$ of points on H_{α} , and then solve for the coefficients of the one-variable polynomial obtained by restricting the domain of T to H_{α} . There remain several special cases because the sequence $\{(a_k, b_k)\}$ sometimes contains only a small number of distinct points. The second step proceeds by determining a #P-hard point on each curve H_{α} . Many of these come from evaluations of the chromatic polynomial.

The Tutte polynomial is essentially a generating function for the number of subsets of the edges of a graph according to their rank and size. Following the work of Jaeger, Vertigan and Welsh, many authors have established corresponding results for a variety of graph polynomials defined in a similar way but using different notions of rank. These include the cover polynomial [3], the Bollobás–Riordan polynomial [4], the interlace polynomial [5], the rank generating function of a graphic 2-polymatroid [30] and the Tutte polynomial of a bicircular matroid [15]. In each case, the proof techniques have some similarities: the bulk of the work is done using a graph operation analogous to the thickening, but there are considerable technical difficulties required to deal with the special cases and to complete the proof. These results provide evidence for Makowsky's Difficult Point Conjecture which states that for an *n*-variable graph polynomial P that may be defined in monadic second order logic, there is a set S of points with the following properties:

- 1. For every $\mathbf{x} \in S$, there is a polynomial time algorithm to evaluate $P(\mathbf{x})$;
- 2. For every $\mathbf{x} \notin S$, it is #P-hard to evaluate $P(\mathbf{x})$;
- 3. The set S is the finite union of algebraic sets in \mathbb{C}^n each having dimension strictly less than n.

For full details see [29].

In this paper we prove results analogous to Theorem 1 for two graph polynomials, the Tutte polynomials of a rooted graph and a rooted digraph, and a polynomial of binary matrices, the Tutte polynomial of a binary greedoid. Each of these polynomials is a special case of the Tutte polynomial of a greedoid introduced by Gordon and McMahon [17] and the proofs have considerable commonality. (All the necessary definitions are provided in the next sections.) The graph polynomials are the analogue of the Tutte polynomial for rooted graphs and rooted digraphs, and our results provide further evidence for Makowsky's Difficult Point Conjecture.

An overview of the paper is as follows. In Section 2 we provide necessary background on rooted graphs, rooted digraphs, greedoids and computational complexity. In the following section we describe the Tutte polynomial of a greedoid and list some of its evaluations for each of the three classes of greedoid that we work with. Within our hardness proofs we require an analogue of the thickening operation and various other constructions which can be defined for arbitrary greedoids, and may be of independent interest. We describe these in Section 4 and provide analogues of Brylawski's results [7] expressing the Tutte polynomial for these constructions in terms of the Tutte polynomials of their constituent greedoids.

In Section 5, we prove the following result completely determining the complexity of evaluating the Tutte polynomial of a rooted graph at a rational point.

Theorem 2. Evaluating the Tutte polynomial of a connected, rooted, planar, bipartite graph at any fixed point (a, b) in the rational xy-plane is #P-hard apart from when (a, b) equals (1, 1) or when (a, b) lies on H_1 .

There are polynomial time algorithms to evaluate the Tutte polynomial of a rooted graph at (1, 1) and at any point lying on H_1 .

In Section 6, we prove the equivalent result for the Tutte polynomial of a rooted digraph.

Theorem 3. Evaluating the Tutte polynomial of a root-connected, rooted digraph at any fixed point (a, b) in the rational xy-plane is #P-hard apart from when (a, b) equals (1, 1), when (a, b) lies on H_1 , or when b = 0.

There are polynomial time algorithms to evaluate the Tutte polynomial of a rooted digraph at (1, 1), at any point lying on H_1 and at any point (a, 0).

We close by determining the complexity of evaluating the Tutte polynomial of a binary greedoid.

Theorem 4. Evaluating the Tutte polynomial of a binary greedoid at any fixed point (a, b) in the rational xy-plane is #P-hard apart from when (a, b) lies on H_1 .

There is a polynomial time algorithm to evaluate the Tutte polynomial of a binary greedoid at any point lying on H_1 .

2 Preliminaries

2.1 Rooted graphs and digraphs

All our graphs are allowed to have loops and multiple edges. A rooted graph is a graph with a distinguished vertex called the root. Most of the graphs we work with will be rooted but occasionally we will work with a graph without a root. For complete clarity, we will sometimes refer to such graphs as unrooted graphs. We denote a rooted graph G with vertex set V(G), edge set E(G) and root r(G) by a triple (V(G), E(G), r(G)). We omit the arguments when there is no fear of ambiguity. Many of the standard definitions for graphs can be applied to rooted graphs in the natural way. Two rooted graphs (V, E, r)and (V', E', r') are isomorphic if the unrooted graphs (V, E) and (V', E') are isomorphic via an isomorphism mapping r to r'. For a subset A of E, the rooted spanning subgraph G|A is formed from G by deleting all the edges in E - A (and keeping all the vertices). The root component of G is the connected component of G containing the root. A set A of edges of G is feasible if the root component of G|A is a tree and contains every edge of A. We define the rank $\rho_G(A)$ of A to be

$$\rho_G(A) = \max\{|A'| : A' \subseteq A, A \text{ is feasible}\}$$

We omit the subscript when the context is clear. We let $\rho(G) = \rho(E)$. Observe that a set A of edges is feasible if and only if $\rho(A) = |A|$. A feasible set is a *basis* if $\rho(A) = \rho(G)$. So A is a basis of G if and only if it is the edge set of a spanning tree of the root component of G.

A rooted digraph is a digraph with a distinguished vertex called the root. We denote a rooted digraph D with vertex set V(D), edge set E(D) and root r(D) by a triple (V(D), E(D), r(D)). Once again we omit the arguments when there is no chance of ambiguity. Two rooted digraphs (V, E, r) and (V', E', r') are isomorphic if the unrooted digraphs (V, E) and (V', E') are isomorphic via an isomorphism mapping r to r'. We say that the underlying rooted graph of a rooted digraph is the rooted graph we get when we remove all the directions on the edges. For a subset A of E, the rooted spanning subdigraph D|A is formed from D by deleting all the edges in E - A. The root component of D is formed by deleting every vertex v to which there is no directed path from r in D, together with its incident edges. The rooted digraph is root-connected if there is a directed path from the root to every other vertex. The rooted digraph D is an arborescence rooted at rif D is root-connected and its underlying rooted graph is a tree. Observe that a set A of edges of D is feasible if and only if the root component of D|A is an arborescence rooted at r and contains every edge of A. The rank $\rho_D(A)$ of A is defined by

$$\rho_D(A) = \max\{|A'| : A' \subseteq A, D|A' \text{ is feasible}\}.$$

We can omit the subscript when the context is clear. We let $\rho(D) = \rho(E)$. A set A of edges is feasible if and only if $\rho(A) = |A|$. A feasible set is a *basis* if $\rho(A) = \rho(D)$. So A is a basis of D if and only if it is the edge set of an arborescence rooted at r that includes every vertex of the root component of D.

2.2 Greedoids

Greedoids are generalizations of matroids, first introduced by Korte and Lovász in 1981 in [25]. The aim was to generalize the characterization of matroids as hereditary set systems on which the greedy algorithm is guaranteed to determine the optimal member of the set system, according to an arbitrary weighting. Most of the information about greedoids which we summarise below can be found in [2] or [28].

Definition 2 (Greedoid). A greedoid Γ is an ordered pair (E, \mathcal{F}) consisting of a finite set E and a non-empty collection \mathcal{F} of subsets of E satisfying the following axioms:

- (G1) $\emptyset \in \mathcal{F}$.
- (G2) For all F and F' in \mathcal{F} with |F'| < |F| there exists some $x \in F F'$ such that $F' \cup x \in \mathcal{F}$.

The set E is ground set of Γ and the members of \mathcal{F} are the *feasible sets* of Γ . The axioms are the first and third of the usual axioms specifying a matroid in terms of its independent sets, so clearly every matroid is a greedoid, but a greedoid does not necessarily

satisfy the hereditary property satisfied by the independent sets of a matroid requiring that the collection of independent sets is closed under taking subsets. The rank $\rho_{\Gamma}(A)$ of a subset A of E is given by

$$\rho_{\Gamma}(A) = \max\{|A'| : A' \subseteq A, A' \in \mathcal{F}\}$$

and we let $\rho(\Gamma) = \rho_{\Gamma}(E)$. We omit the subscript when the context is clear. Notice that a set A is feasible if and only if $\rho(A) = |A|$. A feasible set is a *basis* if $\rho(A) = \rho(\Gamma)$. We denote the collection of bases of Γ by $\mathcal{B}(\Gamma)$. Axiom (G2) implies that every basis has the same cardinality. Note that the rank function determines Γ but the collection of bases does not. For example, suppose that a greedoid has ground set $\{1, 2\}$ and unique basis $\{1, 2\}$. Then its collection of feasible sets could either be $\{\emptyset, \{1\}, \{1, 2\}\}, \{\emptyset, \{2\}, \{1, 2\}\}$ or $\{\emptyset, \{1\}, \{2\}, \{1, 2\}\}$.

The rank function of a greedoid can be characterized in a similar way to the rank function of a matroid [26].

Proposition 1. The rank function ρ of a greedoid with ground set E takes integer values and satisfies each of the following.

- (GR1) For every subset A of E, $0 \le \rho(A) \le |A|$;
- (GR2) For all subsets A and B of E with $A \subseteq B$, $\rho(A) \leq \rho(B)$;
- (GR3) For every subset A of E, and elements e and f, if $\rho(A) = \rho(A \cup e) = \rho(A \cup f)$, then $\rho(A) = \rho(A \cup e \cup f)$.

Moreover if E is a finite set and ρ is a function from the subsets of E to the integers, then ρ is the rank function of a greedoid with ground set E if and only if ρ satisfies conditions (GR1)-(GR3) above.

The following lemma is easily proved using induction on |B| and will be useful later.

Lemma 1. Let (E, ρ) be a greedoid specified by its rank function and let A and B be subsets of E such that for all $b \in B$, $\rho(A \cup b) = \rho(A)$. Then $\rho(A \cup B) = \rho(A)$.

Two greedoids $\Gamma_1 = (E_1, \mathcal{F}_1)$ and $\Gamma_2 = (E_2, \mathcal{F}_2)$ are *isomorphic*, denoted by $\Gamma_1 \cong \Gamma_2$, if there exists a bijection $f : E_1 \to E_2$ that preserves the feasible sets.

The following two examples of greedoids were introduced in [27]. Let G be a rooted graph. Take $\Gamma = (E, \mathcal{F})$ so that E = E(G) and a subset A of E is in \mathcal{F} if and only if the root component of G|A is a tree containing every edge of A. Then Γ is a greedoid. Any greedoid which is isomorphic to a greedoid arising from a rooted graph in this way is called a *branching greedoid*. The branching greedoid of a rooted graph G is denoted by $\Gamma(G)$.

Similarly suppose we have a rooted digraph D and take $\Gamma = (E, \mathcal{F})$ so that E = E(D)and a subset A of E is in \mathcal{F} if and only if the root component of D|A is an arborescence rooted at r and contains every edge of A. Then Γ is a greedoid. Any greedoid which is isomorphic to a greedoid arising from a rooted digraph in this way is called a *directed* branching greedoid. The directed branching greedoid of a rooted digraph D is denoted by $\Gamma(D)$. (There should be no ambiguity with the overload of notation for a branching greedoid and a directed branching greedoid.)

Notice that for both rooted graphs and digraphs, the concepts of feasible set, basis and rank are compatible with their definitions for the associated branching greedoid or directed branching greedoid in the sense that a set A of edges is feasible in a rooted graph G if and only if it is feasible in $\Gamma(G)$, and similarly for the other concepts.

We now define the class of binary greedoids. These are a special case of a much broader class, the Gaussian elimination greedoids, introduced by Goecke in [16], motivated by the Gaussian elimination algorithm. Let M be an $m \times n$ binary matrix. It is useful to think of the rows and columns of M as being labelled by the elements of [m] and [n] respectively, where $[n] = \{1, \ldots, n\}$. If X is a subset of [m] and Y is a subset of [n], then $M_{X,Y}$ denotes the matrix obtained from M by deleting all the rows except those with labels in X and all the columns except those with labels in Y. Take $\Gamma = ([n], \mathcal{F})$, so that

$$\mathcal{F} = \{A \subseteq [n] : \text{ the submatrix } M_{[|A|],A} \text{ is non-singular}\}$$

By convention, the empty matrix is considered to be non-singular. Then Γ is a greedoid. Any greedoid which is isomorphic to a greedoid arising from a binary matrix in this way is called a *binary greedoid*. The binary greedoid of a binary matrix M is denoted by $\Gamma(M)$.

Example 1. Let

$$M = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \end{pmatrix}.$$

The binary greedoid $\Gamma(M)$ has ground set $\{1, 2, 3, 4\}$ and feasible sets

$$\{\emptyset, \{1\}, \{4\}, \{1,3\}, \{1,4\}, \{3,4\}, \{1,2,3\}, \{1,2,4\}, \{2,3,4\}\},$$

The following lemma is clear.

Lemma 2. Let E = [n], let M be an $m \times n$ binary matrix with columns labelled by E and let M' be obtained from M by adding row i to row j, where i < j. Then $\Gamma(M') \cong \Gamma(M)$.

A consequence of this lemma is that if Γ is a binary greedoid, then there is a binary matrix M with linearly independent rows so that $\Gamma = \Gamma(M)$. With this in mind we easily obtain the following result which will be useful later.

Lemma 3. Let Γ be a binary greedoid. Then there is a binary matroid M so that $\mathcal{B}(M) = \mathcal{B}(\Gamma)$.

In contrast with the situation in matroids, where every graphic matroid is binary, it is not the case that every branching greedoid is binary. For example, take G to be the star with four vertices in which the central vertex is the root. Then $\Gamma(G)$ is not binary. The same example but with the edges directed away from the root demonstrates that not every directed branching greedoid is binary.

An element of a greedoid is a *loop* if it does not belong to any feasible set. So if G is a rooted graph then an edge e is a loop of $\Gamma(G)$ if it does not lie on any path from the root and if G is connected then it is just a loop in the normal graph-theoretic sense. Similarly if D is a directed rooted graph then an edge e is a loop of $\Gamma(D)$ if it does not lie on any directed path from the root. As the concepts of loops in greedoids and in rooted graphs and digraphs do not completely coincide, we use the term greedoid loop whenever there is potential for confusion.

Let Γ be a greedoid with ground set E and rank function ρ . Elements e and f of E are said to be *parallel* in Γ if for all subsets A of E,

$$\rho(A \cup e) = \rho(A \cup f) = \rho(A \cup e \cup f).$$

As far as we are aware, the following elementary lemma does not seem to have been stated before.

Lemma 4. Let Γ be a greedoid. Define a relation \bowtie on the ground set of Γ by $e \bowtie f$ if e and f are parallel in Γ . Then \bowtie is an equivalence relation and if Γ has at least one loop, then one of the equivalence classes of \bowtie comprises the set of loops.

Proof. The only part of the lemma that is not immediately obvious is that \bowtie is transitive. Let ρ be the rank function of Γ and e, f and g be elements of Γ , so that $e \bowtie f$ and $f \bowtie g$. Then for any subset A of elements of Γ , we have $\rho(A \cup e) = \rho(A \cup f) = \rho(A \cup e \cup f)$ and $\rho(A \cup f) = \rho(A \cup g) = \rho(A \cup f \cup g)$. Thus $\rho(A \cup e) = \rho(A \cup g)$. By applying Lemma 1 to $A \cup f$ and elements e and g, we see that $\rho(A \cup e \cup f \cup g) = \rho(A \cup f)$. Thus, by (GR2), $\rho(A \cup f) = \rho(A \cup e \cup f \cup g) \ge \rho(A \cup e \cup g) \ge \rho(A \cup e)$. But as $\rho(A \cup e) = \rho(A \cup f)$, equality must hold throughout, so $\rho(A \cup e \cup g) = \rho(A \cup e) = \rho(A \cup g)$, as required. \Box

2.3 Complexity

We assume some familiarity with computational complexity and refer the reader to one of the standard texts such as [14] or [31] for more background. Given two computational problems π_1 and π_2 , we say that π_2 is *Turing reducible* to π_1 if there exists a deterministic Turing machine solving π_2 in polynomial time using an oracle for π_1 , that is a subroutine returning an answer to an instance of π_1 in constant-time. When π_2 is Turing reducible to π_1 we write $\pi_2 \propto_T \pi_1$ and we say that solving problem π_1 is at least as hard as solving problem π_2 . The relation \propto_T is transitive.

Informally, the class #P is the counting analogue of NP, that is, the class of all counting problems corresponding to decision problems in NP. Slightly more precisely, a problem is in #P if it counts the number of accepting computations or "witnesses" of a problem in NP. Consider the decision problem of determining whether a graph has a proper vertex 3-colouring. The obvious non-deterministic algorithm for this problem interprets a "witness" as a colouring of the vertices with 3 colours and verifies that it is a proper colouring. So the corresponding problem in #P would be to determine the

number of proper vertex 3-colourings. A computational problem π is said to be #P-hard if $\pi' \propto_T \pi$ for all $\pi' \in \#P$, and #P-complete if, in addition, $\pi \in \#P$. Counting the number of vertex 3-colourings of a graph is an example of an #P-complete problem.

The following lemma is crucial in many of our proofs.

Lemma 5. There is an algorithm which when given a non-singular integer $n \times n$ matrix A and an integer n-vector b such that the absolute value of every entry of A and b is at most 2^l , outputs the vector x so that Ax = b, running in time bounded by a polynomial in n and l.

One algorithm to do this is a variant of Gaussian elimination known as the Bareiss algorithm [1]. Similar ideas were presented by Edmonds [10]. See also [21].

3 The Tutte Polynomial of a Greedoid

Extending the definition of the Tutte polynomial of a matroid, McMahon and Gordon defined the Tutte polynomial of a greedoid in [17]. The *Tutte polynomial* of a greedoid Γ with ground set E and rank function ρ is given by

$$T(\Gamma; x, y) = \sum_{A \subseteq E} (x - 1)^{\rho(\Gamma) - \rho(A)} (y - 1)^{|A| - \rho(A)}.$$

When Γ is a matroid, this reduces to the usual definition of the Tutte polynomial of a matroid. For a rooted graph G we let $T(G; x, y) = T(\Gamma(G); x, y)$, for a rooted digraph D we let $T(D; x, y) = T(\Gamma(D); x, y)$ and for a binary matrix M we let $T(M; x, y) = T(\Gamma(M); x, y)$.

Example 2.

1. Let P_k be the rooted (undirected) path with k edges in which the root is one of the leaves. Then

$$T(P_k; x, y) = 1 + \sum_{i=1}^k (x-1)^i y^{i-1}$$

2. Let S_k be the rooted (undirected) star with k edges in which the root is the central vertex. Then

$$T(S_k; x, y) = x^k.$$

The Tutte polynomial of a greedoid retains many of the properties of the Tutte polynomial of a matroid, for example, it has a delete–contract recurrence, although its form is not as simple as that of the Tutte polynomial of a matroid [17]. Moreover, for a greedoid Γ :

- $T(\Gamma; 1, 1)$ is the number of bases of Γ ;
- $T(\Gamma; 2, 1)$ is the number of feasible sets of Γ ;

- $T(\Gamma; 1, 2)$ is the number of subsets A of elements of Γ so that $\rho(A) = \rho(\Gamma)$.
- $T(\Gamma; 2, 2) = 2^{|E(\Gamma)|}$.

But the Tutte polynomial of a greedoid also differs fundamentally from the Tutte polynomial of a matroid, for instance, unlike the Tutte polynomial of a matroid, the Tutte polynomial of a greedoid can have negative coefficients. For example, $T(\Gamma(P_2); x, y) = x^2y - 2xy + x + y$.

The Tutte polynomial of a rooted graph has some of the same evaluations as the Tutte polynomial of a unrooted graph. Let G be a rooted graph with edge set E.

- T(G; 1, 1) is the number of spanning trees of the root component of G. (When G is connected, this is just the number of spanning trees of G.)
- T(G; 2, 1) is the number of subsets A of E, so that the root component of G|A is a tree containing all the edges of A.
- T(G; 1, 2) is the number of subsets A of E so that the root component of G|A includes every vertex of the root component of G. (When G is connected, this is just the number of subsets A so that G|A is connected.)
- If no component of G other than the root component has edges, then T(G; 1, 0) is the number of acyclic orientations of G with a unique source. Otherwise T(G; 1, 0) = 0.

We record the following proposition stating that the Tutte polynomial of a connected rooted graph G coincides with the Tutte polynomial of the corresponding unrooted graph G' along the line x = 1. This is easy to prove by noting that $\rho(G) = r(G')$ and a subset A of the edges of G satisfies $\rho(A) = \rho(G)$ if and only if r(A) = r(G').

Proposition 2. Let G = (V, E, r) be a connected rooted graph and let G' = (V, E) be the corresponding unrooted graph. Then

$$T(G; 1, y) = T(G'; 1, y).$$

We list some evaluations of the Tutte polynomial of a digraph. Let D be a rooted digraph with edge set E and root r.

- T(D; 1, 1) is the number of spanning arborescences of the root component of D rooted at r. (When D is root-connected, this is just its number of spanning arborescences rooted at r.)
- T(D; 2, 1) is the number of subsets A of E, so that the root component of D|A is an arborescence rooted at r containing every edge of A.
- T(D; 1, 2) is the number of subsets A of E, so that the root component of D|A includes every vertex of the root component of D. (When D is connected, this is just the number of subsets A so that D|A is root-connected.)

• T(D; 1, 0) = 1 if D is acyclic and root-connected, and 0 otherwise.

The last evaluation will be discussed in more detail in Section 6.

Gordon and McMahon [17] proved that if T_1 and T_2 are rooted arborescences, then $T(T_1; x, y) = T(T_2; x, y)$ if and only if $T_1 \cong T_2$.

We list some evaluations of the Tutte polynomial of a binary matroid. Let M be an $m \times n$ binary matrix with linearly independent rows.

- T(M; 1, 1) is the number of subsets A of the columns of M so that the submatrix of M corresponding to the columns in A is non-singular.
- T(M; 2, 1) is the number of subsets A of the columns of M so that the submatrix $M_{[|A|],A}$ is non-singular.
- T(M; 1, 2) is the number of subsets A of the columns of M containing a subset A' so that the submatrix of M corresponding to the columns in A' is non-singular.

If a point (a, b) lies on the hyperbola H_1 then we have (a - 1)(b - 1) = 1 by definition. Thus the Tutte polynomial of a greedoid Γ evaluated at such a point is given by

$$T(\Gamma; a, b) = \sum_{A \subseteq E(\Gamma)} (a - 1)^{\rho(\Gamma) - \rho(A)} (b - 1)^{|A| - \rho(A)}$$
$$= (a - 1)^{\rho(\Gamma)} \sum_{A \subseteq E(\Gamma)} \left(\frac{1}{a - 1}\right)^{|A|} = (a - 1)^{\rho(\Gamma) - |E(\Gamma)|} a^{|E(\Gamma)|}.$$

Therefore, given $|E(\Gamma)|$ and $\rho(\Gamma)$, it is easy to compute $T(\Gamma; a, b)$ in polynomial time. For all of the greedoids that we consider, both $|E(\Gamma)|$ and $\rho(\Gamma)$ will be either known or easily computed.

The characteristic polynomial of a greedoid was first introduced by Gordon and McMahon in [18] and is a generalization of the characteristic or chromatic polynomial of a matroid. For a greedoid Γ , the characteristic polynomial $p(\Gamma; \lambda)$ is defined by

$$p(\Gamma;\lambda) = (-1)^{\rho(\Gamma)} T(\Gamma; 1 - \lambda, 0).$$
(1)

4 Greedoid Constructions

In this section we introduce three greedoid constructions and give expressions for the Tutte polynomial of greedoids resulting from these constructions.

The first construction is just the generalization of the k-thickening operation introduced by Brylawski [7] from matroids to greedoids. Given a greedoid $\Gamma = (E, \mathcal{F})$, its k-thickening is the greedoid Γ^k that, informally speaking, is formed from Γ by replacing each element by k parallel elements. More precisely, Γ^k has ground set $E' = E \times [k]$ and collection \mathcal{F}' of feasible sets as follows. Define μ to be the projection operator $\mu : 2^{E \times [k]} \to 2^E$ so that element $e \in \mu(A)$ if and only if $(e, i) \in A$ for some i. Now a subset A is feasible in Γ^k if and only if $\mu(A)$ is feasible in Γ and $|\mu(A)| = |A|$. The latter condition ensures that A does not contain more than one element replacing a particular element of Γ .

It is clear that Γ^k is a greedoid and moreover $\rho_{\Gamma^k}(A) = \rho_{\Gamma}(\mu(A))$. In particular $\rho(\Gamma^k) = \rho(\Gamma)$. For any element e of Γ the elements (e, i) and (e, j) are parallel. The effect of the k-thickening operation on the Tutte polynomial of a greedoid is given in the following theorem, generalizing the expression for the k-thickening of the Tutte polynomial given by Brylawski [7].

Theorem 5. Let Γ be a greedoid. The Tutte polynomial of the k-thickening Γ^k of Γ when $y \neq -1$ is given by

$$T(\Gamma^{k}; x, y) = (1 + y + \dots + y^{k-1})^{\rho_{G}(\Gamma)} T\left(\Gamma; \frac{x + y + \dots + y^{k-1}}{1 + y + \dots + y^{k-1}}, y^{k}\right).$$
(2)

When y = -1 we have

$$T(\Gamma^k; x, -1) = \begin{cases} (x-1)^{\rho_G(\Gamma)} & \text{if } k \text{ is even}; \\ T(\Gamma; x, -1) & \text{if } k \text{ is odd.} \end{cases}$$

Proof. Let Γ^k be the k-thickened greedoid, let E' denote its ground set and let E be the ground set of Γ . Then $E' = E \times [k]$. Let μ be the mapping defined in the discussion at the beginning of this section. To ensure that we do not divide by zero in our calculations, we prove the case when y = 1 separately.

For each $A' \subseteq E'$ we have $\rho_{\Gamma^k}(A') = \rho_{\Gamma}(\mu(A'))$ and furthermore $\rho(\Gamma^k) = \rho(\Gamma)$. The Tutte polynomial of Γ^k when $y \notin \{-1, 1\}$ is thus given by

$$T(\Gamma^{k}; x, y) = \sum_{A' \subseteq E'} (x-1)^{\rho(\Gamma^{k}) - \rho_{\Gamma^{k}}(A')} (y-1)^{|A'| - \rho_{\Gamma^{k}}(A')}$$

$$= \sum_{A \subseteq E} \sum_{\substack{A' \subseteq E':\\ \mu(A') = A}} (x-1)^{\rho(\Gamma) - \rho_{\Gamma}(A)} (y-1)^{-\rho_{\Gamma}(A)} \sum_{\substack{A' \subseteq E':\\ \mu(A') = A}} (y-1)^{|A'|}$$

$$= \sum_{A \subseteq E} (x-1)^{\rho(\Gamma) - \rho_{\Gamma}(A)} (y-1)^{-\rho_{\Gamma}(A)} (y^{k}-1)^{|A|}$$

$$= (1+y+\dots+y^{k-1})^{\rho(\Gamma)} \sum_{A \subseteq E} \left(\frac{(x-1)(y-1)}{y^{k}-1} \right)^{\rho(\Gamma) - \rho_{\Gamma}(A)} (y^{k}-1)^{|A| - \rho_{\Gamma}(A)}$$

$$= (1+y+\dots+y^{k-1})^{\rho(\Gamma)} T\left(\Gamma; \frac{x+y+\dots+y^{k-1}}{1+y+\dots+y^{k-1}}, y^{k}\right).$$
(3)

When y = 1 we get non-zero terms in Equation 3 if and only if $|A'| = \rho_{\Gamma}(\mu(A'))$, which implies that |A'| = |A|. For each $A \subseteq E$ there are $k^{|A|}$ choices for A' such that $\mu(A') = A$ and |A'| = |A|. Therefore we have

$$T(\Gamma^{k}; x, 1) = \sum_{\substack{A \subseteq E:\\\rho_{\Gamma}(A) = |A|}} (x - 1)^{\rho(\Gamma) - \rho_{\Gamma}(A)} \sum_{\substack{A' \subseteq E':\\\mu(A') = A,\\|A'| = |A|}} 1 = \sum_{\substack{A \subseteq E:\\\rho_{\Gamma}(A) = |A|}} (x - 1)^{\rho(\Gamma) - \rho_{\Gamma}(A)} k^{\rho_{\Gamma}(\Gamma)} = k^{\rho(\Gamma)} T\left(\Gamma; \frac{x + k - 1}{k}, 1\right)$$

which agrees with Equation 2 when y = 1.

When y = -1 we have

$$\begin{split} T(\Gamma^{k}; x, -1) &= \sum_{A \subseteq E} \sum_{\substack{A' \subseteq E':\\ \mu(A') = A}} (x - 1)^{\rho(\Gamma) - \rho_{\Gamma}(\mu(A'))} (-2)^{|A'| - \rho_{\Gamma}(\mu(A'))} \\ &= \sum_{A \subseteq E} (x - 1)^{\rho(\Gamma) - \rho_{\Gamma}(A)} (-2)^{-\rho_{\Gamma}(A)} \sum_{\substack{A' \subseteq E':\\ \mu(A') = A}} (-2)^{|A'|} \\ &= \sum_{A \subseteq E} (x - 1)^{\rho(\Gamma) - \rho_{\Gamma}(A)} (-2)^{-\rho_{\Gamma}(A)} ((-1)^{k} - 1)^{|A|} \\ &= \begin{cases} (x - 1)^{\rho(\Gamma)} & \text{if } k \text{ is even;} \\ T(\Gamma; x, -1) & \text{if } k \text{ is odd.} \end{cases} \end{split}$$

Note that the only contribution to $T(\Gamma^k; x, -1)$ when k is even is from the empty set. \Box

The second construction is a little more involved. To motivate it we first describe a natural construction operation on rooted graphs. Let G and H be disjoint rooted graphs with G being connected. Then the *H*-attachment of G, denoted by $G \sim H$, is formed by taking G and $\rho(G)$ disjoint copies of H, and identifying each vertex of G other than the root with the root vertex of one of the copies of H. The root of $G \sim H$ is the root of G. See Figure 1 for an illustration of the attachment operation.



Figure 1: An example of the attachment operation.

Suppose that $V(G) = \{r, v_1, \ldots, v_{\rho(G)}\}$, where r is the root of G, let E_0 be the edge set of G and let E_i be the edge set of the copy of H attached at v_i . A set F is feasible in $\Gamma(G \sim H)$ if and only if each of the following conditions holds.

- 1. $F \cap E_0$ is feasible in $\Gamma(G)$.
- 2. For all *i* with $1 \le i \le \rho(G)$, $F \cap E_i$ is feasible in $\Gamma(H)$.
- 3. For all *i* with $1 \le i \le \rho(G)$, if v_i is not in the root component of $G|(F \cap E_0)$, then $F \cap E_i = \emptyset$.

In order to extend these ideas to general greedoids, we begin by describing the notion of a closed set, which was first defined for greedoids by Korte and Lovasz [25]. Let Γ be a greedoid with ground set E and rank function ρ . Given a subset A of E, its closure $\sigma_{\Gamma}(A)$ is defined by $\sigma_{\Gamma}(A) = \{e : \rho(A \cup e) = \rho(A)\}$. We will drop the dependence on Γ whenever the context is clear. Note that it follows from the definition that $A \subseteq \sigma(A)$. Moreover Lemma 1 implies that $\rho(\sigma(A)) = \rho(A)$. Furthermore if $e \notin \sigma(A)$, then $\rho(A \cup e) > \rho(A)$, so axiom (GR2) implies that $\rho(\sigma(A) \cup e) > \rho(\sigma(A))$ and hence $\sigma(\sigma(A)) = \sigma(A)$. A subset A of E satisfying $A = \sigma(A)$ is said to be closed. Every subset of E of the form $\sigma(X)$ for some X is closed.

We now introduce what we call an attachment function. Let Γ be a greedoid with rank function ρ . A function $f : \mathcal{F} \to 2^{[\rho(\Gamma)]}$ is called a Γ -attachment function if it satisfies both of the following.

- 1. For each feasible set F, we have $|f(F)| = \rho(F)$.
- 2. If F_1 and F_2 are feasible sets and $F_1 \subseteq \sigma(F_2)$ then $f(F_1) \subseteq f(F_2)$.

The following property of attachment functions is needed later.

Lemma 6. Let Γ be a greedoid and f be a Γ -attachment function. Let A be a subset of the elements of Γ and let F_1 and F_2 be maximal feasible subsets of A. Then $f(F_1) = f(F_2)$.

Proof. It follows from the axioms for the feasible sets of a greedoid that all maximal feasible subsets of A have the same size. Thus $\rho(F_1) = \rho(F_2) = \rho(A)$. For every element e of A, $\rho(F_1) \leq \rho(F_1 \cup e) \leq \rho(A)$. As $\rho(F_1) = \rho(A)$, equality must hold throughout. Thus $e \in \sigma(F_1)$. Hence $A \subseteq \sigma(F_1)$, so $F_2 \subseteq \sigma(F_1)$. By symmetry, $F_1 \subseteq \sigma(F_2)$. The result then follows from the second condition satisfied by a Γ -attachment function. \Box

Given greedoids Γ_1 and Γ_2 with disjoint ground sets, and Γ_1 -attachment function f, we define the Γ_2 -attachment of Γ_1 , denoted by $\Gamma_1 \sim_f \Gamma_2$ as follows. The ground set E is the union of the ground set E_0 of Γ_1 together with $\rho = \rho(\Gamma_1)$ disjoint copies E_1, \ldots, E_{ρ} of the ground set of Γ_2 . In the following we abuse notation slightly by saying that for i > 0, a subset of E_i is feasible in Γ_2 if the corresponding subset of the elements of Γ_2 is feasible. A subset F of E is feasible if and only if each of the following conditions holds.

1. $F \cap E_0$ is feasible in Γ_1 .

- 2. For all *i* with $1 \leq i \leq \rho$, $F \cap E_i$ is feasible in Γ_2 .
- 3. For all *i* with $1 \le i \le \rho$, if $i \notin f(F \cap E_0)$ then $F \cap E_i = \emptyset$.

Proposition 3. For any greedoids Γ_1 and Γ_2 , and Γ_1 -attachment function f, the Γ_2 -attachment of Γ_1 is a greedoid.

Proof. We use the notation defined above to describe the ground set of $\Gamma_1 \sim_f \Gamma_2$. Clearly the empty set is feasible in $\Gamma_1 \sim_f \Gamma_2$. Suppose that F_1 and F_2 are feasible sets in $\Gamma_1 \sim_f \Gamma_2$ with $|F_2| > |F_1|$. If there is an element e of $F_2 \cap E_0$ which is not in $\sigma_{\Gamma_1}(F_1 \cap E_0)$ then $(F_1 \cap E_0) \cup e$ is feasible in Γ_1 . Moreover $F_1 \cap E_0 \subseteq \sigma_{\Gamma_1}((F_1 \cap E_0) \cup e)$, so $f(F_1 \cap E_0) \subseteq$ $f((F_1 \cap E_0) \cup e)$. Consequently $F_1 \cup e$ is feasible in $\Gamma_1 \sim_f \Gamma_2$.

On the other hand, suppose that $F_2 \cap E_0 \subseteq \sigma_{\Gamma_1}(F_1 \cap E_0)$. Then $f(F_2 \cap E_0) \subseteq f(F_1 \cap E_0)$. Moreover, as there is no element e of $(F_2 \cap E_0) - (F_1 \cap E_0)$ such that $(F_1 \cap E_0) \cup e$ is feasible, we have $|F_2 \cap E_0| \leq |F_1 \cap E_0|$. So for some i in $f(F_2 \cap E_0)$, we have $|F_2 \cap E_i| > |F_1 \cap E_i|$. Thus there exists $e \in (F_2 - F_1) \cap E_i$ such that $(F_1 \cap E_i) \cup e$ is feasible in Γ_2 . As $i \in f(F_2 \cap E_0)$, we have $i \in f(F_1 \cap E_0)$. Hence $F_1 \cup e$ is feasible in $\Gamma_1 \sim_f \Gamma_2$.

Every greedoid Γ has an attachment function formed by setting f(F) = [|F|] for each feasible set F. However there are other examples of attachment functions. Let G be a connected rooted graph in which the vertices other than the root are labelled v_1, \ldots, v_{ρ} . There is an attachment function f defined on $\Gamma(G)$ as follows. For every feasible set F, define f(F) so that $i \in f(F)$ if and only if v_i is in the root component of G|F. It is straightforward to verify that f is indeed an attachment function. Furthermore if H is another rooted graph then $\Gamma(G \sim H) = \Gamma(G) \sim_f \Gamma(H)$.

We now consider the rank function of $\Gamma = \Gamma_1 \sim_f \Gamma_2$. We keep the same notation as above for the elements of Γ . Let A be a subset of $E(\Gamma)$ and let F be a maximal feasible subset of $A \cap E_0$. Then

$$\rho_{\Gamma}(A) = \rho_{\Gamma_1}(A \cap E_0) + \sum_{i \in f(F)} \rho_{\Gamma_2}(A \cap E_i).$$
(4)

Observe that the number of subsets of $E(\Gamma)$ with specified rank, size and intersection with E_0 does not depend on the choice of f. Consequently the Tutte polynomial of $\Gamma_1 \sim_f \Gamma_2$ does not depend on f. We now make this idea more precise by establishing an expression for the Tutte polynomial of an attachment.

Theorem 6. Let Γ_1 and Γ_2 be greedoids, and let f be an attachment function for Γ_1 . Then the Tutte polynomial of $\Gamma_1 \sim_f \Gamma_2$ is given by

$$T(\Gamma_1 \sim_f \Gamma_2; x, y) = T(\Gamma_2; x, y)^{\rho(\Gamma_1)} T\Big(\Gamma_1; \frac{(x-1)^{\rho(\Gamma_2)+1} y^{|E(\Gamma_2)|}}{T(\Gamma_2; x, y)} + 1, y\Big),$$

providing $T(\Gamma_2; x, y) \neq 0$.

Proof. Let $\Gamma = \Gamma_1 \sim_f \Gamma_2$. We use the notation defined above to describe the ground set of Γ . It is useful to extend the definition of the attachment function f to all subsets of E_0 by setting f(A) to be equal to f(F) where F is a maximal feasible set of A. Lemma 6 ensures that extending f in this way is well-defined. It follows from Equation 4 that $\rho(\Gamma) = \rho(\Gamma_1)(\rho(\Gamma_2) + 1)$. We have

$$\begin{split} T(\Gamma; x, y) &= \sum_{A \subseteq E(\Gamma)} (x - 1)^{\rho(\Gamma) - \rho_{\Gamma}(A)} (y - 1)^{|A| - \rho(A)} \\ &= \sum_{A_0 \subseteq E_0} (x - 1)^{\rho(\Gamma_1) - \rho_{\Gamma_1}(A_0)} (y - 1)^{|A_0| - \rho_{\Gamma_1}(A_0)} \cdot \prod_{i \notin f(A_0)} \sum_{A_i \subseteq E_i} (x - 1)^{\rho(\Gamma_2)} (y - 1)^{|A_i|} \\ &\quad \cdot \prod_{i \in f(A_0)} \sum_{A_i \subseteq E_i} (x - 1)^{\rho(\Gamma_2) - \rho_{\Gamma_2}(A_i)} (y - 1)^{|A_i| - \rho_{\Gamma_2}(A_i)} \\ &= \sum_{A_0 \subseteq E_0} (x - 1)^{\rho(\Gamma_1) - \rho_{\Gamma_1}(A_0)} (T(\Gamma_2; x, y))^{\rho_{\Gamma_1}(A_0)} \\ &\quad \cdot ((x - 1)^{\rho(\Gamma_2)} y^{|E(\Gamma_2)|})^{\rho(\Gamma_1) - \rho_{\Gamma_1}(A_0)} (y - 1)^{|A_0| - \rho_{\Gamma_1}(A_0)} \\ &= (T(\Gamma_2; x, y))^{\rho(\Gamma_1)} \sum_{A_0 \subseteq E_0} (y - 1)^{|A_0| - \rho_{\Gamma_1}(A_0)} \left(\frac{(x - 1)^{\rho(\Gamma_2) + 1} y^{|E(\Gamma_2)|}}{T(\Gamma_2; x, y)}\right)^{\rho(\Gamma_1) - \rho_{\Gamma_1}(A_0)} \\ &= T(\Gamma_2; x, y)^{\rho(\Gamma_1)} T\left(\Gamma_1; \frac{(x - 1)^{\rho(\Gamma_2) + 1} y^{|E(\Gamma_2)|}}{T(\Gamma_2; x, y)} + 1, y\right). \end{split}$$

The third construction is called the full rank attachment. Given greedoids $\Gamma_1 = (E_1, \mathcal{F}_1)$ and $\Gamma_2 = (E_2, \mathcal{F}_2)$ with disjoint ground sets, the *full rank attachment of* Γ_2 *to* Γ_1 denoted by $\Gamma_1 \approx \Gamma_2$ has ground set $E_1 \cup E_2$ and a set F of elements is feasible if either of the two following conditions holds.

- 1. $F \in \mathcal{F}_1$;
- 2. $F \cap E_1 \in \mathcal{F}_1, F \cap E_2 \in \mathcal{F}_2$ and $\rho_{\Gamma_1}(F \cap E_1) = \rho(\Gamma_1)$.

It is straightforward to prove that $\Gamma_1 \approx \Gamma_2$ is a greedoid.

Suppose that $\Gamma = \Gamma_1 \approx \Gamma_2$ and that A is a subset of $E(\Gamma)$. Then

$$\rho(A) = \begin{cases} \rho(A \cap E_1) & \text{if } \rho(A \cap E_1) < \rho(\Gamma_1), \\ \rho(A \cap E_1) + \rho(A \cap E_2) & \text{if } \rho(A \cap E_1) = \rho(\Gamma_1). \end{cases}$$

This observation enables us to prove the following identity for the Tutte polynomial.

Theorem 7. Let Γ_1 and Γ_2 be greedoids, and let $\Gamma = \Gamma_1 \approx \Gamma_2$. Let E, E_1 and E_2 denote the ground sets of Γ , Γ_1 and Γ_2 respectively. Then

$$T(\Gamma_1 \approx \Gamma_2; x, y) = T(\Gamma_1; x, y)(x-1)^{\rho(\Gamma_2)} y^{|E_2|} + T(\Gamma_1; 1, y)(T(\Gamma_2; x, y) - (x-1)^{\rho(\Gamma_2)} y^{|E_2|}).$$

Proof. We have

$$\begin{split} T(\Gamma_{1} \approx \Gamma_{2}; x, y) &= \sum_{A \subseteq E} (x-1)^{\rho(\Gamma) - \rho_{\Gamma}(A)} (y-1)^{|A| - \rho_{\Gamma}(A)} \\ &= \sum_{\substack{A_{1} \subseteq E_{1}:\\ \rho_{\Gamma_{1}}(A_{1}) < \rho(\Gamma_{1})}} (x-1)^{\rho(\Gamma_{1}) - \rho_{\Gamma_{1}}(A_{1})} (y-1)^{|A_{1}| - \rho_{\Gamma_{1}}(A_{1})} \sum_{A_{2} \subseteq E_{2}} (x-1)^{\rho(\Gamma_{2})} (y-1)^{|A_{2}|} \\ &+ \sum_{\substack{A_{1} \subseteq E_{1}:\\ \rho_{\Gamma_{1}}(A_{1}) = \rho(\Gamma_{1})}} (y-1)^{|A_{1}| - \rho_{\Gamma_{1}}(A_{1})} \sum_{A_{2} \subseteq E_{2}} (x-1)^{\rho(\Gamma_{2}) - \rho_{\Gamma_{2}}(A_{2})} (y-1)^{|A_{2}| - \rho_{\Gamma_{2}}(A_{2})} \\ &= \sum_{A_{1} \subseteq E_{1}:} (x-1)^{\rho(\Gamma_{1}) - \rho_{\Gamma_{1}}(A_{1})} (y-1)^{|A_{1}| - \rho_{\Gamma_{1}}(A_{1})} (x-1)^{\rho(\Gamma_{2})} y^{|E_{2}|} \\ &+ \sum_{\substack{A_{1} \subseteq E_{1}:\\ \rho_{\Gamma_{1}}(A_{1}) = \rho(\Gamma_{1})}} (y-1)^{|A_{1}| - \rho_{\Gamma_{2}}(A_{2})} - (x-1)^{\rho(\Gamma_{2})} y^{|E_{2}|} \end{pmatrix} \\ &= T(\Gamma_{1}; x, y) (x-1)^{\rho(\Gamma_{2})} y^{|E_{2}|} + T(\Gamma_{1}; 1, y) (T(\Gamma_{2}; x, y) - (x-1)^{\rho(\Gamma_{2})} y^{|E_{2}|}). \end{split}$$

This construction will be useful later in Section 7 when Γ_1 and Γ_2 are binary greedoids with $\Gamma_1 = \Gamma(M_1)$ and $\Gamma_2 = \Gamma(M_2)$, where M_1 has full row rank. Then $\Gamma_1 \approx \Gamma_2 = \Gamma(M)$ where M has the form

$$M = \left(\begin{array}{c|c} M_1 & 0 \\ \hline 0 & M_2 \end{array}\right).$$

5 Rooted Graphs

Throughout the remainder of the paper we focus on three computational problems. Let \mathbb{G} denote either the class of branching greedoids, directed branching greedoids or binary greedoids. Our first problem is computing all the coefficients of the Tutte polynomial for a greedoid in the class \mathbb{G} .

 $\pi_1[\mathbb{G}]$: #ROOTED TUTTE POLYNOMIAL Input: $\Gamma \in \mathbb{G}$. Output: The coefficients of $T(\Gamma; x, y)$.

The second problem involves computing the Tutte polynomial along a plane algebraic curve L. We restrict our attention to the case where L is a rational curve given by the parametric equations

$$x(t) = \frac{p(t)}{q(t)}$$
 and $y(t) = \frac{r(t)}{s(t)}$,

where p, q, r and s are polynomials over \mathbb{Q} . More precisely, we compute the coefficients of the one-variable polynomial obtained by restricting T to the curve L.

 $\pi_2[\mathbb{G}, L]$: #ROOTED TUTTE POLYNOMIAL ALONG L Input: $\Gamma \in \mathbb{G}$.

Output: The coefficients of the rational function of t given by evaluating $T(\Gamma; x(t), y(t))$ along L.

Most of the time, L will be one of the hyperbolae H_{α} . We will frequently make a slight abuse of notation by writing $L = H_{\alpha}$.

The final problem is the evaluation of the Tutte polynomial at a fixed rational point (a, b).

 $\pi_3[\mathbb{G}, a, b]$: #ROOTED TUTTE POLYNOMIAL AT (a, b)Input: $\Gamma \in \mathbb{G}$. Output: $T(\Gamma; a, b)$.

It is straightforward to see that for each possibility for \mathbb{G} , we have

 $\pi_3[\mathbb{G}, a, b] \propto_T \pi_2[\mathbb{G}, H_{(a-1)(b-1)}] \propto_T \pi_1[\mathbb{G}].$

Our results in the remainder of the paper will determine when the opposite reductions hold.

In this section we prove Theorem 2. We let \mathcal{G} be the class of branching greedoids of connected, rooted, planar, bipartite graphs and take $\mathbb{G} = \mathcal{G}$. It is, however, more convenient to take the input to each problem to be a connected, rooted, planar, bipartite graph rather than its branching greedoid.

We begin by reviewing the exceptional points of Theorem 2. If a point (a, b) lies on the hyperbola H_1 then, following the remarks at the end of Section 3, T(G; a, b) is easily computed. We noted in Section 3 that for a connected rooted graph G, T(G; 1, 1) is equal to the number of spanning trees of G. That this can be evaluated in polynomial time follows from Kirchhoff's Matrix-Tree theorem [24]. Hence there are polynomial time algorithms to evaluate the Tutte polynomial of a connected rooted graph at (1, 1) and at any point lying on H_1 . It is easy to extend this to all rooted graphs because every edge belonging to a component that does not include the root is a loop in the corresponding branching greedoid.

We will now review the hard points of Theorem 2. A key step in establishing the hardness part of Theorem 2 for points lying on the line y = 1 is to strengthen a result of Jerrum [23]. Given an unrooted graph G = (V, E), a subtree of G is a subgraph of G which is a tree. (We emphasize that the subgraph does not have to be an induced subgraph.) Jerrum [23] showed that the following problem is #P-complete.

#SUBTREES

Input: Planar unrooted graph *G*. **Output:** The number of subtrees of *G*. Consider the restriction of this problem to bipartite planar graphs.

#BISUBTREES Input: Bipartite, planar unrooted graph G. Output: The number of subtrees of G.

We shall show that #BISUBTREES is #P-complete. We say that an edge of a graph G is *external* in a subtree T of G if it is not contained in E(T). Let $t_{i,j}(G)$ be the number of subtrees of G with i external edges having precisely one endvertex in T and j external edges having both endvertices in T.

Recall that the k-stretch of an unrooted graph G is obtained by replacing each loop by a circuit with k edges and every other edge by a path of length k. Let t(G) denote the number of subtrees of G.

Proposition 4. For every unrooted graph G, the number of subtrees of the k-stretch G_k of G is given by

$$t(G_k) = \left(\sum_{i,j\ge 0} t_{i,j}(G)k^i \binom{k+1}{2}^j + \frac{k(k-1)|E|}{2}\right).$$

Proof. Let $E(G) = \{e_1, e_2, \ldots, e_m\}$ and let E_t be the set of edges replacing e_t in G_k for $1 \leq t \leq m$. Thus $E(G_k) = \bigcup_{t=1}^m E_t$. We can think of the vertices of G_k as being of two types: those corresponding to the vertices of G and the extra ones added when G_k is formed. We construct a function f that maps every subtree T of G_k to a graph T' which is either a subtree of G or an empty graph with no vertices or edges. We let V(T') comprise all the vertices of V(T) corresponding to vertices in G. The edge set E(T') is defined so that $e_t \in E(T')$ if and only if $E_t \subseteq E(T)$.

Let T' be a subtree of G with at least one vertex, i external edges having precisely one endvertex in T' and j external edges having both endvertices in T'.

If $T \in f^{-1}(T')$ then it must contain all of the edges in G_k that replace the edges in E(T'). Suppose there is an edge $e = v_1v_2$ in G that is external in T' with $v_1 \in V(T')$ and $v_2 \notin V(T')$. Then there are k possibilities for the subset of E_t appearing in T. Now suppose there exists an edge $e_t = v_1v_2$ in G that is external in T' with $v_1, v_2 \in V'$. Then there are $\binom{k+1}{2}$ choices for the subset of E_t appearing in T. Therefore,

$$|f^{-1}(T'_{i,j})| = k^i \binom{k+1}{2}^j.$$

It remains to count the subtrees of G_k mapped by f to a graph with no vertices. Such a subtree does not contain any vertices corresponding to vertices in G. There are (k-1)|E(G)| subtrees of G_k comprising a single vertex not in V(G) and no edges, and $\binom{k-1}{2}|E(G)|$ subtrees of G_k with at least one edge but not containing any vertex in V(G). Hence

$$t(G_k) = \left(\sum_{i,j\ge 0} t_{i,j}(G)k^i \binom{k+1}{2}^j + \frac{k(k-1)}{2} |E(G)|.\right)$$

We can now show that BISUBTREES is #P-complete.

Proposition 5. The problem BISUBTREES is #P-complete.

Proof. It is clear that BISUBTREES belongs to #P. To establish hardness, first note that $G_2, \ldots, G_{4|E(G)|+2}$ are all bipartite and may be constructed from G in polynomial time. We have $\max_{i,j\geq 0}\{i+2j: t_{i,j}(G) > 0\} \leq \max_{i,j\geq 0}\{i+2j: i+j \leq |E(G)|\} = 2|E(G)|$. Therefore, by Proposition 4, $t(G_k)$ is a polynomial in k of degree at most 2|E(G)|. So we can write

$$t(G_k) = \sum_{p=0}^{2|E(G)|} a_p k^p.$$

Thus, if we compute $t(G_k)$ for k = 2, ..., 4|E(G)| + 2, then we can apply Lemma 5 to recover a_i for all i and then determine $t(G) = t(G_1)$ in polynomial time. Therefore we have shown that SUBTREES \propto_T BISUBTREES.

We now present three propositions which together show that at most fixed rational points (a, b), evaluating the Tutte polynomial of a connected, bipartite, planar, rooted graph at (a, b) is just as hard as evaluating it along the curve $H_{(a-1)(b-1)}$. The k-thickening operation is crucial. Notice that $\Gamma(G^k) \cong (\Gamma(G))^k$, so we may apply Theorem 5 to obtain an expression for $T(G^k)$. The first proposition deals with the case when $a \neq 1$ and $b \notin \{-1, 0, 1\}$.

Proposition 6. Let $L = H_{\alpha}$ for some $\alpha \in \mathbb{Q} - \{0\}$. Let (a, b) be a point on L such that $b \notin \{-1, 0\}$. Then

$$\pi_2[\mathcal{G}, L] \propto_T \pi_3[\mathcal{G}, a, b].$$

Proof. For a point (x, y) on L we have $y \neq 1$. Therefore $z = y - 1 \neq 0$ and so $\alpha/z = x - 1$. Let G be in \mathcal{G} . Along L the Tutte polynomial of G has the form

$$T(G; x, y) = T(G; 1 + \alpha/z, 1 + z) = \sum_{A \subseteq E(G)} \left(\frac{\alpha}{z}\right)^{\rho(G) - \rho(A)} z^{|A| - \rho(A)} = \sum_{i = -\rho(G)}^{|E(G)|} t_i z^i,$$

for some $t_{-\rho(G)}, \ldots, t_{|E(G)|}$.

We now show that we can determine all of the coefficients t_i from the evaluations $T(G^k; a, b)$ for $k = 1, ..., |E(G)| + \rho(G) + 1$ in time polynomial in |E(G)|. For each such k, G^k may be constructed from G in time polynomial in |E(G)| and is bipartite, planar and connected. By Theorem 5, we have

$$T(G^{k}; a, b) = (1 + b + \dots + b^{k-1})^{\rho(G)} T\left(G; \frac{a + b + \dots + b^{k-1}}{1 + b + \dots + b^{k-1}}, b^{k}\right).$$

Since $b \neq -1$, we have $1 + b + \ldots + b^{k-1} \neq 0$. Therefore we may compute

$$T\left(G;\frac{a+b+\ldots+b^{k-1}}{1+b+\ldots+b^{k-1}},b^k\right)$$

from $T(G^k; a, b)$. The point $\left(\frac{a+b+\ldots+b^{k-1}}{1+b+\ldots+b^{k-1}}, b^k\right)$ will also be on the curve L since

$$\left(\frac{a+b+\ldots+b^{k-1}}{1+b+\ldots+b^{k-1}}-1\right)(b^k-1) = (a-1)(b-1).$$

As $b \notin \{-1, 0, 1\}$, for $k = 1, 2, ..., |E(G)| + \rho(G) + 1$, the points $\left(\frac{a+b+...+b^{k-1}}{1+b+...+b^{k-1}}, b^k\right)$ are pairwise distinct. Therefore by evaluating $T(G^k; a, b)$ for $k = 1, ..., |E(G)| + \rho(G) + 1$, we obtain $\sum_{i=-\rho(G)}^{|E(G)|} t_i z^i$ for $|E(G)| + \rho(G) + 1$ distinct values of z. This gives us $|E(G)| + \rho(G) + 1$ linear equations for the coefficients t_i . The matrix of the equations is a Vandermonde matrix and clearly non-singular. So, we may apply Lemma 5 to compute t_i for all i in time polynomial in |E(G)|.

The next proposition deals with the case when a = 1. Recall $H_0^x = \{(1, y) : y \in \mathbb{Q}\}$ and $H_0^y = \{(x, 1) : x \in \mathbb{Q}\}.$

Proposition 7. Let $L = H_0^x$ and let $b \in \mathbb{Q} - \{-1, 0, 1\}$. Then

$$\pi_2[\mathcal{G}, L] \propto_T \pi_3[\mathcal{G}, 1, b].$$

Proof. Let G be in \mathcal{G} . Along L the Tutte polynomial of G has the form

$$T(G; 1, y) = \sum_{\substack{A \subseteq E(G):\\\rho(A) = \rho(G)}} (y - 1)^{|A| - \rho(G)} = \sum_{i = -\rho(G)}^{|E(G)|} t_i y^i,$$

for some $t_{-\rho(G)}, \ldots, t_{|E(G)|}$.

The proof now follows in a similar way to that of Proposition 6 by computing $T(G^k; 1, b)$ for $k = 1, \ldots, |E(G)| + \rho(G) + 1$ and then determining each coefficient t_i in time polynomial in |E(G)|.

The following proposition deals with the case when b = 1.

Proposition 8. Let $L = H_0^y$ and $a \in \mathbb{Q} - \{1\}$. Then

$$\pi_2[\mathcal{G}, L] \propto_T \pi_3[\mathcal{G}, a, 1].$$

Proof. Let G be in \mathcal{G} . Along L the Tutte polynomial of G has the form

$$T(G; x, 1) = \sum_{\substack{A \subseteq E(G):\\\rho(A) = |A|}} (x - 1)^{\rho(G) - \rho(A)} = \sum_{i=0}^{\rho(G)} t_i x^i,$$

for some $t_0, \ldots, t_{\rho(G)}$.

We now show that we can determine all of the coefficients t_i from the evaluations $T(G^k; a, 1)$ for $k = 1, \ldots, \rho(G) + 1$ in time polynomial in |E(G)|. For each such k, G^k

may be constructed from G in time polynomial in |E(G)| and is bipartite, planar and connected. By Theorem 5, we have

$$T(G^k; a, 1) = k^{\rho(G)} T\left(G; \frac{a+k-1}{k}, 1\right).$$

Therefore we may compute $T(G; \frac{a+k-1}{k}, 1)$ from $T(G^k; a, 1)$. Clearly $(\frac{a+k-1}{k}, 1)$ lies on H_0^y . Since $a \neq 1$, the points $(\frac{a+k-1}{k}, 1)$ are pairwise distinct for $k = 1, 2, \ldots, \rho(G) + 1$. Therefore by evaluating $T(G^k; a, 1)$ for $k = 1, \ldots, \rho(G) + 1$, we obtain $\sum_{i=0}^{|\rho(G)|} t_i z^i$ for $\rho(G) + 1$ distinct values of z. This gives us $\rho(G) + 1$ linear equations for the coefficients t_i . Again the matrix of the equations is a Vandermonde matrix and clearly non-singular. So, we may apply Lemma 5 to compute t_i for all i in time polynomial in |E(G)|.

We now summarize the three preceding propositions.

Proposition 9. Let L be either H_0^x , H_0^y , or H_α for $\alpha \in \mathbb{Q} - \{0\}$. Let (a, b) be a point on L such that $(a, b) \neq (1, 1)$ and $b \notin \{-1, 0\}$. Then

$$\pi_2[\mathcal{G}, L] \propto_T \pi_3[\mathcal{G}, a, b].$$

We now consider the exceptional case when b = -1. For reasons that will soon become apparent, we recall from Example 2 that $T(P_2; x, y) = x^2y - 2xy + x + y$ and $T(S_k; x, y) = x^k$.

Proposition 10. Let L be the line y = -1. For $a \notin \{\frac{1}{2}, 1\}$ we have

$$\pi_2[\mathcal{G}, L] \propto_T \pi_3[\mathcal{G}, a, -1].$$

Proof. Let G be in \mathcal{G} and let z = x - 1. Along L the Tutte polynomial of G has the form

$$T(G; x, -1) = \sum_{A \subseteq E(G)} z^{\rho(G) - \rho(A)} (-2)^{|A| - \rho(A)} = \sum_{i=0}^{\rho(G)} t_i z^i$$

for some $t_0, \ldots, t_{\rho(G)}$.

We now show that, apart from a few exceptional values of a, we can determine all of the coefficients t_i in polynomial time from $T(G \sim S_k; a, -1)$, for $k = 0, 1, \ldots, \rho(G)$, in time polynomial in |E(G)|. For each such $k, G \sim S_k$ may be constructed from G in time polynomial in |E(G)| and is bipartite, planar and connected.

By Theorem 6 we have

$$T(G \sim S_k; a, -1) = a^{k\rho(G)}T\left(G; \frac{(a-1)^{k+1}(-1)^k}{a^k} + 1, -1\right).$$

Providing $a \neq 0$ we may compute $T\left(G; \frac{(a-1)^{k+1}(-1)^k}{a^k} + 1, -1\right)$ from $T(G \sim S_k; a, -1)$. For $a \notin \{\frac{1}{2}, 1\}$ the points $\left(\frac{(a-1)^{k+1}(-1)^k}{a^k} + 1, -1\right)$ are pairwise distinct for $k = 0, 1, 2, \ldots, \rho(G)$.

Therefore by evaluating $T(G \sim S_k; a, -1)$ for $k = 0, 1, 2, \ldots, \rho(G)$ where $a \notin \{0, \frac{1}{2}, 1\}$, we obtain $\sum_{i=0}^{\rho(G)} t_i z^i$ for $\rho(G) + 1$ distinct values of z. This gives us $\rho(G) + 1$ linear equations for the coefficients t_i . Again the matrix corresponding to these equations is a Vandermonde matrix and clearly non-singular. So, we may apply Lemma 5 to compute t_i for all *i* in time polynomial in |E(G)|. Hence for $a \notin \{0, \frac{1}{2}, 1\}, \pi_2[\mathcal{G}, L] \propto \pi_3[\mathcal{G}, a, -1]$.

We now look at the case when a = 0. Note that $T(P_2; 0, -1) = -1$. Applying Theorem 6 to G and P_2 gives

$$T(G \sim P_2; 0, -1) = (-1)^{\rho(G)} T\left(G; \frac{(-1)^3(-1)^2}{-1} + 1, -1\right) = (-1)^{\rho(G)} T(G; 2, -1).$$

Therefore we have the reductions

$$\pi_2[\mathcal{G}, L] \propto_T \pi_3[\mathcal{G}, 2, -1] \propto_T \pi_3[\mathcal{G}, 0, -1].$$

Since the Turing reduction relation is transitive, this implies that evaluating the Tutte polynomial at the point (0, -1) is at least as hard as evaluating it along the line y = -1. This completes the proof.

We now begin to classify the complexity of π_3 . The next results will establish hardness for a few special cases, namely when $b \in \{-1, 0, 1\}$.

Proposition 11. The problem $\pi_3[\mathcal{G}, 1, b]$ is #P-hard apart from when b = 1, in which case it has a polynomial time algorithm.

Proof. The hardness part follows directly from Theorem 1 and Proposition 2. We have already noted the existence of a polynomial time algorithm to solve $\pi_3[\mathcal{G}, 1, 1]$.

Proposition 12. The problem $\pi_3[\mathcal{G}, a, -1]$ is #P-hard apart from when a = 1/2, in which case it has a polynomial time algorithm.

Proof. First note that there is a polynomial time algorithm for $\pi_3[\mathcal{G}, a, -1]$ because $(\frac{1}{2}, -1)$ lies on H_1 . Now let L be the line y = -1. By Proposition 10 we have

$$\pi_2[\mathcal{G}, L] \propto_T \pi_3[\mathcal{G}, a, -1]$$

for $a \notin \{\frac{1}{2}, 1\}$. So

$$\pi_3[\mathcal{G}, 1, -1] \propto_T \pi_3[\mathcal{G}, a, -1]$$

for $a \neq 1/2$. By Proposition 11 we know that $\pi_3[\mathcal{G}, 1, -1]$ is #P-hard. So the result follows.

Proposition 13. The problem $\pi_3[\mathcal{G}, a, 0]$ is #P-hard apart from when a = 0, in which case it has a polynomial time algorithm.

Proof. Let G be in \mathcal{G} . First note that evaluating the Tutte polynomial of G at the point (0,0) is easy since (0,0) lies on the hyperbola H_1 .

The rooted graph $G \sim S_1$ may be constructed from G in time polynomial in |E(G)|and is bipartite, planar and connected. Applying Theorem 6 to G and S_1 gives

$$T(G \sim S_1; a, 0) = a^{\rho(G)} T(G; 1, 0)$$

Since $a \neq 0$ we may compute T(G; 1, 0) from $T(G \sim S_1; a, 0)$. Therefore $\pi_3[\mathcal{G}, 1, 0] \propto \pi_3[\mathcal{G}, a, 0]$. By Proposition 11, $\pi_3[\mathcal{G}, 1, 0]$ is #P-hard, and the result follows.

Recall from Equation 1 that along y = 0 the Tutte polynomial of a rooted graph specializes to the characteristic polynomial. Therefore we have the following corollary.

Corollary 1. Computing the characteristic polynomial p(G;k) of a connected rooted graph G is #P-hard for all $k \in \mathbb{Q} - \{1\}$. When k = 1, there is a polynomial time algorithm.

Proof. Let k be in \mathbb{Q} . We have

$$p(G;k) = (-1)^{\rho(G)} T(G;1-k,0).$$

By Proposition 13 evaluating T(G; 1 - k, 0) is #P-hard providing $k \neq 1$. Furthermore when k = 1 we have

$$p(G;1) = (-1)^{\rho(G)}T(G;0,0) = \begin{cases} 1 & \text{if } G \text{ is edgeless;} \\ 0 & \text{otherwise,} \end{cases}$$

and so it is easy to compute (as expected since (0,0) lies on H_1).

We now consider points along the line y = 1.

Proposition 14. The problem $\pi_2[\mathcal{G}, a, 1]$ is #P-hard when $a \neq 1$.

Proof. Let G be a connected, planar, bipartite, unrooted graph with $V(G) = \{v_1, \ldots, v_n\}$. Now for $1 \leq j \leq n$, let G_j be the graph in \mathcal{G} obtained from G by choosing v_j to be the root. Let ρ_j denote the rank function of G_j and $a_i(G_j)$ be the number of subsets A of the edges of G_j having size i so that the root component of G|A is a tree. Then

$$T(G_j; x, 1) = \sum_{\substack{A \subseteq E:\\\rho_j(A) = |A|}} (x - 1)^{\rho(G_j) - |A|} = \sum_{i=0}^{\rho(G_j)} a_i(G_j)(x - 1)^{\rho(G_j) - i}.$$

Let $a_i(G)$ denote the number of subtrees of G with i edges. Then

$$a_i(G) = \sum_{j=1}^n \frac{a_i(G_j)}{i+1}.$$

This is because every subtree T of G with i edges has i + 1 vertices and its edge set is one of the sets A contributing to $a_i(G_i)$ for the i + 1 choices of j corresponding to its vertices.

Given an oracle for $\pi_2[\mathcal{G}, H_0^y]$, we can compute $a_i(G_j)$ for $i = 0, \ldots, |E(G)|$ and $1 \leq j \leq n$ in time polynomial in |E(G)|. So we can compute $a_i(G)$ and consequently the number of trees of G in time polynomial in |E(G)|. Thus

$$\#$$
SUBTREES $\propto_T \pi_3[\mathcal{G}, H_0^y].$

By Proposition 9 we have

$$\#$$
SUBTREES $\propto_T \pi_3[\mathcal{G}, H_0^y] \propto_T \pi_2[\mathcal{G}, a, 1]$

for $a \neq 1$. The result now follows from Proposition 5.

We now summarize our results and prove Theorem 2.

Proof of Theorem 2. Let (a, b) be a point on H_{α} for some α in $\mathbb{Q}-\{0, 1\}$. By Proposition 9 we have $\pi_2[\mathcal{G}, H_{\alpha}] \propto_T \pi_3[\mathcal{G}, a, b]$ providing $b \notin \{-1, 0\}$. The hyperbola H_{α} crosses the *x*-axis at the point $(1 - \alpha, 0)$. By Proposition 13 the problem $\pi_3[\mathcal{G}, 1 - \alpha, 0]$ is #Phard since $\alpha \neq 1$. This gives us a #P-hard point on each of these curves and therefore implies $\pi_2[\mathcal{G}, H_{\alpha}]$ is #P-hard for $\alpha \in \mathbb{Q} - \{0, 1\}$. Hence $\pi_3[\mathcal{G}, a, b]$ is #P-hard for $(a, b) \in$ H_{α} with $\alpha \in \mathbb{Q} - \{0, 1\}$ and $b \neq -1$. The rest of the proof now follows directly by Propositions 11, 12 and 14, and the discussion concerning the easy points at the beginning of the section.

6 Rooted Digraphs

In this section we let \mathbb{G} be the class of directed branching greedoids of root-connected rooted digraphs, a class we denote by \mathcal{D} . We consider the same three problems as in the previous section. Again, it is more convenient to think of the input as being a root-connected rooted digraph rather than its directed branching greedoid. We present analogous results to those in the previous section by finding the computational complexity of evaluating the Tutte polynomial of a root-connected digraph at a fixed rational point, eventually proving Theorem 3.

We begin the proof by examining the easy points. Let D be a rooted digraph with edge set E and rank function ρ . If a point (a, b) lies on the hyperbola H_1 then, following the remarks at the end of Section 3, T(D; a, b) is easily computed. We now show that evaluating T(D; a, 0) is easy for all $a \in \mathbb{Q}$. A sink in a digraph is a non-isolated vertex with no outgoing edges. Suppose that D is a root-connected, rooted digraph with s sinks. Then Gordon and McMahon [19] have shown that its characteristic polynomial p satisfies the following.

$$p(D;\lambda) = \begin{cases} (-1)^{\rho(D)} (1-\lambda)^s & \text{if } D \text{ is acyclic;} \\ 0 & \text{if } D \text{ has a directed cycle} \end{cases}$$

Using the relation $T(D; 1 - \lambda, 0) = (-1)^{\rho(D)} p(D; \lambda)$ we see that

$$T(D; x, 0) = \begin{cases} x^s & \text{if } D \text{ is acyclic;} \\ 0 & \text{if } D \text{ has a directed cycle.} \end{cases}$$

It is easy to count the sinks in a digraph so the problem $\pi_3[\mathcal{D}, a, 0]$ can be solved in polynomial time for any $a \in \mathbb{Q}$. Every edge of a component of a rooted digraph other than the root component is a greedoid loop, so if D has such an edge then $T(D; 1 - \lambda, 0) = 0$. Furthermore, the addition or removal of isolated vertices makes no difference to T(D). So T(D; a, 0) can be computed in polynomial time for the class of all rooted digraphs.

We noted in Section 3 that T(D; 1, 1) is the number of spanning arborescences of the root component of D rooted at r. This can be computed in polynomial time using the Matrix-Tree theorem for directed graphs [6, 33].

We now move on to consider the hard points. The k-thickening operation will again be crucial: the k-thickening D^k of a root-connected digraph D is obtained by replacing every edge e in D by k parallel edges that have the same direction as e. We have $\Gamma(D^k) \cong$ $(\Gamma(D))^k$, so Theorem 5 can be applied to give an expression for $T(D^k)$.

The proof of the following proposition is omitted as it is analogous to that of Proposition 9.

Proposition 15. Let L be either H_0^x, H_0^y , or H_α for $\alpha \in \mathbb{Q} - \{0\}$. Let (a, b) be a point on L such that $(a, b) \neq (1, 1)$ and $b \notin \{-1, 0\}$. Then

$$\pi_2[\mathcal{D}, L] \propto_T \pi_3[\mathcal{D}, a, b].$$

We let $\overrightarrow{P_k}$ be the root-connected directed path of length k with the root being one of the leaves and $\overrightarrow{S_k}$ be the root-connected directed star with k edges emanating from the root. Then $T(\overrightarrow{P_k}; x, y) = 1 + \sum_{i=1}^k (x-1)^i y^{i-1}$ and $T(\overrightarrow{S_k}; x, y) = x^k$. The proof of the following proposition is analogous to that of Proposition 10 with $\overrightarrow{P_k}$ and $\overrightarrow{S_k}$ playing the roles of P_k and S_k .

Proposition 16. Let L be the line y = -1. For $a \notin \{\frac{1}{2}, 1\}$ we have

$$\pi_2[\mathcal{D}, L] \propto_T \pi_3[\mathcal{D}, a, -1].$$

Next we classify the complexity of $\pi_3[\mathcal{D}, 1, b]$ for $b \notin \{0, 1\}$. Suppose we have a rootconnected digraph D and generate a random subgraph (D, p) of D by deleting each edge with probability p independently of all the other edges. Let g(D; p) denote the probability that (D, p) is root-connected and let g_j be the number of subsets A of E(D) with size jso that D|A is root-connected. Notice that g_j is equal to the number of subsets A of Ewith |A| = j and $\rho(A) = \rho(E)$. Then

$$g(D;p) = \sum_{j=0}^{|E(D)|} g_j p^{|E(D)|-j} (1-p)^j.$$

Provan and Ball [32] showed that the following problem is #P-complete for each rational p with 0 , and computable in polynomial time when <math>p = 0 or p = 1.



Figure 2: A tailed k-digon.

#Connectedness Reliability Input: $D \in \mathcal{D}$. Output: g(D; p).

Note that we have restricted the input digraph to being root-connected which Provan and Ball did not, but this does not make a difference to the complexity, because if Dis not root-connected then clearly g(D; p) = 0. We now use this result to classify the complexity of points along the line x = 1.

Proposition 17. The computational problem $\pi_3[\mathcal{D}, 1, b]$ is #P-hard for b > 1.

Proof. Let D be a root-connected digraph with edge set E and rank function ρ . Then for 0 we have

$$\begin{split} g(D;p) &= \sum_{\substack{A \subseteq E(D):\\\rho(A) = \rho(D)}} p^{|E(D)| - |A|} (1-p)^{|A|} = p^{|E(D)| - \rho(D)} (1-p)^{\rho(D)} \sum_{\substack{A \subseteq E(D):\\\rho(A) = \rho(D)}} \left(\frac{1-p}{p}\right)^{|A| - \rho(A)} \\ &= p^{|E(D)| - \rho(D)} (1-p)^{\rho(D)} T\left(D; 1, \frac{1}{p}\right). \end{split}$$

Evaluating g(D; p) is therefore Turing-reducible to evaluating $T(D; 1, \frac{1}{p})$ for 0 . $Therefore, <math>\pi_3[\mathcal{D}, 1, b]$ is #P-hard for b > 1.

In order to determine the complexity of the point $\pi_3[\mathcal{D}, 1, -1]$, we introduce a new operation on root-connected digraphs which we call the *k*-digon-stretch. We define a *tailed k*-digon from *u* to *v* to be the digraph defined as follows. The vertex set is $\{w_0 = u, w_1, \ldots, w_k, w_{k+1} = v\}$. There is an edge w_0w_1 and a directed cycle of length 2 on w_i and w_{i+1} for each *i* with $1 \leq i \leq k$. An example of a tailed *k*-digon is shown in Figure 2. (The labelling of the edges will be needed later.) For a root-connected digraph *D*, the *k*-digon-stretch of *D* is constructed by replacing every directed edge uv in *D* by a tailed *k*-digon from *u* to *v*. We denote the *k*-digon-stretch of *D* by D_k .

Theorem 8. Let D be a root-connected digraph. Then

$$T(D_k; 1, y) = (k+1)^{|E(D)| - \rho(D)} y^{k|E(D)|} T\left(D; 1, \frac{k+y}{k+1}\right).$$

Proof. Let S be a subset of edges of a tailed k-digon from u to v. If S contains all the edges on the unique directed uv-path through the k-tailed digon, then S is said to admit a uv-dipath. Let A be a subset of $E(D_k)$ and P(A) be the set of edges uv in D for which A admits a uv-dipath.

We have $\rho(A) = \rho(D_k)$ if and only if

(i) for each directed edge uv of D and each vertex w of the corresponding tailed k-digon from u to v in D_k , A includes the edges of a path in the k-tailed digon from either u or v to w, and

(ii)
$$\rho(P(A)) = \rho(D).$$

Note that $\rho(D_k) = k|E(D)| + \rho(D)$. We can write A as the disjoint union $A = \bigcup_{e \in E(D)} A_e$ where A_e is the set of edges of A belonging to the tailed k-digon corresponding to e. The Tutte polynomial of D_k along the line x = 1 is given by

$$T(D_{k};1,y) = \sum_{\substack{A \subseteq E(D_{k}):\\\rho(A) = \rho(D_{k})}} (y-1)^{|A|-\rho(D_{k})} = \sum_{\substack{B \subseteq E(D):\\\rho(B) = \rho(D)}} \sum_{\substack{A \subseteq E(D_{k}):\\\rho(A) = \rho(D)}} (y-1)^{|A|-\rho(D_{k})} \\ = \sum_{\substack{B \subseteq E(D):\\\rho(B) = \rho(D)}} \sum_{\substack{A \subseteq E(D_{k}):\\\rho(A) = B}} \left(\prod_{\substack{e \in E(D):\\e \notin P(A)}} (y-1)^{|A_{e}|-k} \right) \underbrace{\left(\prod_{\substack{e \in E(D):\\e \in P(A)}} (y-1)^{|A_{e}|-(k+1)} \right)}_{(1)} (y-1)^{|P(A)|-\rho(D)}.$$
(5)

Consider a tailed k-digon from u to v with vertex set labelled as described just before the statement of the theorem. For $0 \le i \le k$, let p_i denote the edge $w_i w_{i+1}$; for $1 \le i \le k$, let q_i denote the edge $w_{i+1} w_i$.

In the first product above we are considering edges e = uv for which $e \notin P(A)$. Thus A_e does not contain all of p_0, \ldots, p_k . Let j be the smallest integer such that $p_j \notin A_e$. As we are only interested in sets A with $\rho(A) = \rho(D_k)$, each of q_{j+1}, \ldots, q_k belongs to A_e . Thus $|A_e| \geq k$. Moreover each of p_{j+1}, \ldots, p_k and q_1, \ldots, q_j may or may not belong to A_e . As there are k + 1 possibilities for j, summing

$$\prod_{\substack{e \in E(D):\\e \notin P(A)}} (y-1)^{|A_e|-k}$$

over all possible choices of A_e for $e \notin P(A)$ gives $((k+1)y^k)^{|E(D)|-|P(A)|}$.

In the second product above we are considering edges e = uv for which $e \in P(A)$. Thus A_e contains all of p_0, \ldots, p_k . So $|A_e| \ge k + 1$. Moreover each of q_1, \ldots, q_k may or may not belong to A_e . Summing

$$\prod_{\substack{e \in E(D):\\ e \in P(A)}} (y-1)^{|A_e|-(k+1)}$$

over all possible choices of A_e for $e \in P(A)$ gives $y^{k|P(A)|}$.

Thus the right side of Equation 5 becomes

$$\sum_{\substack{B \subseteq E(D):\\\rho(B)=\rho(D)}} y^{k|B|} \left((k+1)y^k \right)^{|E(D)|-|B|} (y-1)^{|B|-\rho(D)}$$

= $y^{k|E(D)|} \sum_{\substack{B \subseteq E(D):\\\rho(B)=\rho(D)}} (k+1)^{\rho(B)-|B|+|E(D)|-\rho(D)} (y-1)^{|B|-\rho(B)}$
= $y^{k|E(D)|} (k+1)^{|E(D)|-\rho(D)} T\left(D; 1, \frac{y+k}{k+1}\right).$

We now complete the classification of complexity for points on the line H_0^x .

Proposition 18. The problem $\pi_3[\mathcal{D}, 1, b]$ is #P-hard for $b \notin \{0, 1\}$.

Proof. For $b \notin \{-1, 0, 1\}$ the result follows immediately from Propositions 15 and 17. By Theorem 8, if D is root-connected, then

$$T(D_2; 1, -1) = 3^{|E(D)| - \rho(D)} T\left(D; 1, \frac{1}{3}\right).$$

As D_2 is root-connected and can be constructed from D in polynomial time, $\pi_3(\mathcal{D}, 1, \frac{1}{3}) \propto \pi_3(\mathcal{D}, 1, -1)$, so $\pi_3(\mathcal{D}, 1, -1)$ is #P-hard.

We now show that evaluating the Tutte polynomial of a root-connected digraph at most points on the hyperbola H_{α} for $\alpha \neq 0$ is at least as hard as evaluating it at the point $(1 + \alpha, 2)$.

Proposition 19. Let α be in $\mathbb{Q} - \{0\}$ and (a, b) be a point on H_{α} with $b \notin \{-1, 0\}$, then

$$\pi_3[\mathcal{D}, 1+\alpha, 2] \propto_T \pi_3[\mathcal{D}, a, b].$$

Proof. For α in $\mathbb{Q} - \{0\}$, the hyperbola H_{α} crosses the line y = 2 at the point $(1 + \alpha, 2)$. By Proposition 15, we know that for any point (a, b) on H_{α} with $b \notin \{-1, 0\}$ we have $\pi_3[\mathcal{D}, 1 + \alpha, 2] \propto_T \pi_2[\mathcal{D}, H_{\alpha}] \propto_T \pi_3[\mathcal{D}, a, b]$.

We will now show that evaluating the Tutte polynomial of a root-connected digraph at most of the points on the line y = 2 is #P-hard. This will enable us to classify the complexity of most points lying on the hyperbola H_{α} for all $\alpha \in \mathbb{Q} - \{0\}$.

Proposition 20. The problem $\pi_3[\mathcal{D}, a, 2]$ is #P-hard for $a \neq 2$.

Proof. We begin by proving that when L is the line y = 2 we have

$$\pi_2[\mathcal{D}, L] \propto_T \pi_3[\mathcal{D}, a, 2]$$

for $a \notin \{1, 2\}$. Let D be a root-connected digraph and let z = x - 1. Along L the Tutte polynomial of D has the form

$$T(D; x, 2) = \sum_{A \subseteq E(D)} z^{\rho(D) - \rho(A)} = \sum_{i=0}^{\rho(D)} t_i z^i$$

for some $t_0, t_1, \ldots, t_{\rho(D)}$. We will now show that for most values of a, we may determine all of the coefficients t_i in polynomial time from $T(D \sim \vec{S}_k; a, 2)$ for $k = 0, 1, \ldots, \rho(D)$. For each such $k, D \sim \vec{S}_k$ is root-connected and can be constructed in polynomial time. By Theorem 6, we have

$$T(D \sim \overrightarrow{S}_k; a, 2) = a^{k\rho(D)}T\left(D; \frac{2^k(a-1)^{k+1}}{a^k} + 1, 2\right)$$

Therefore we may compute $T\left(D; \frac{2^{k}(a-1)^{k+1}}{a^{k}} + 1, 2\right)$ from $T(D \sim \overrightarrow{S_{k}}; a, 2)$ when $a \neq 0$. For $a \notin \{0, \frac{2}{3}, 1, 2\}$ the values of $\left(\frac{2^{k}(a-1)^{k+1}}{a^{k}} + 1, 2\right)$ are pairwise distinct for $k = 0, 1, \ldots, \rho(D)$. Therefore by evaluating $T(D \sim \overrightarrow{S_{k}}; a, 2)$ for $k = 0, 1, \ldots, \rho(D)$ where $a \notin \{0, \frac{2}{3}, 1, 2\}$, we obtain $\sum_{i=0}^{\rho(D)} t_{i} z^{i}$ for $\rho(D) + 1$ distinct values of z. This gives us $\rho(D) + 1$ linear equations for the coefficients t_{i} , and so by Lemma 5, they may be recovered in polynomial time. Hence evaluating the Tutte polynomial of a root-connected digraph along the line y = 2 is Turing-reducible to evaluating it at the point (a, 2) for $a \notin \{0, \frac{2}{3}, 1, 2\}$.

We now consider the cases where a = 0 or $a = \frac{2}{3}$. The digraph $D \sim \overrightarrow{P_2}$ is rootconnected and may be constructed in polynomial time. By Theorem 6, we have

$$T(D \sim \overrightarrow{P_2}; 0, 2) = 2^{\rho(D)} T\left(D; \frac{(-1)^3 2^2}{2} + 1, 2\right) = 2^{\rho(D)} T(D; -1, 2).$$

Therefore $\pi_3[\mathcal{D}, -1, 2] \propto_T \pi_3[\mathcal{D}, 0, 2]$. Similarly we have

$$T\left(D \sim \overrightarrow{P_2}; \frac{2}{3}, 2\right) = 2^{\rho(D)} T\left(D; \frac{(-\frac{1}{3})^3 2^2}{2} + 1, 2\right) = 2^{\rho(D)} T\left(D; \frac{25}{27}, 2\right).$$

Therefore $\pi_3[\mathcal{D}, 25/27, 2] \propto_T \pi_3[\mathcal{D}, 2/3, 2]$. Putting all this together we get $\pi_2[\mathcal{D}, L] \propto_T \pi_3[\mathcal{D}, a, 2]$ for all a in $\mathbb{Q} - \{1, 2\}$. Consequently $\pi_3[\mathcal{D}, 1, 2] \propto_T \pi_3[\mathcal{D}, a, 2]$, for all a in $\mathbb{Q} - \{2\}$.

By Proposition 18, we know that $\pi_3[\mathcal{D}, 1, 2]$ is #P-hard. This completes the proof. \Box **Theorem 9.** Let α be in $\mathbb{Q} - \{0, 1\}$ and (a, b) be a point on H_α with $b \neq 0$. Then $\pi_3[\mathcal{D}, a, b]$ is #P-hard.

Proof. Suppose first that $b \neq -1$. By Proposition 19, $\pi_3[\mathcal{D}, 1 + \alpha, 2] \propto_T \pi_3[\mathcal{D}, a, b]$. As $\alpha \neq 1$, Proposition 20, implies $\pi_3[\mathcal{D}, a, b]$ is #P-hard.

Now suppose that b = -1. As $(a, b) \notin H_1$, we have $a \neq \frac{1}{2}$. So by Proposition 16, $\pi_3[\mathcal{D}, 1, -1] \propto_T \pi_3[\mathcal{D}, a, -1]$. By Proposition 18, $\pi_3[\mathcal{D}, 1, -1]$ is #P-hard. Therefore $\pi_3[\mathcal{D}, a, -1]$ is #P-hard. The only remaining points we need to classify are those lying on the line y = 1. To do this we prove that the problem of evaluating the Tutte polynomial of a root-connected digraph at most fixed points along this line is at least as hard as the analogous problem for rooted graphs.

Theorem 10. The problem $\pi_3[\mathcal{D}, a, 1]$ is #P-hard for a in $\mathbb{Q} - \{1\}$.

Proof. Let G be a connected rooted graph with root r. Construct a rooted graph D with root r by replacing every edge of G by a pair of oppositely directed edges. Then D is root-connected and can be constructed from G in polynomial time. We can define a natural map $f: 2^{E(D)} \to 2^{E(G)}$ so that f(A) is the set of edges of G for which at least one corresponding directed edge is included in A.

If $\rho_G(A) = |A|$ then the root component of G|A is a tree and includes all the edges of A. Similarly if $\rho_D(A') = |A'|$ then the root component of D|A' is an arborescence rooted at r and includes all the edges of A'. For every subset A of E with $\rho_G(A) = |A|$, there is precisely one choice of A' with $\rho_D(A') = |A'|$ and f(A') = A, obtained by directing all the edges of A away from r. Thus there is a one-to-one correspondence between subsets A of E with $\rho_G(A) = |A|$ and subsets A' of E(D) with $\rho_D(A') = |A'|$, and this correspondence preserves the sizes of the sets. Therefore we have

$$T(D; x, 1) = \sum_{\substack{A' \subseteq E(D):\\|A'| = \rho_D(A')}} (x - 1)^{\rho(D) - |A'|} = \sum_{\substack{A \subseteq E:\\|A| = \rho_G(A)}} (x - 1)^{\rho(G) - |A|} = T(G; x, 1).$$

So $\pi_3[\mathcal{G}, a, 1] \propto_T \pi_3[\mathcal{D}, a, 1]$. So by Proposition 14, we deduce that $\pi_3[\mathcal{D}, a, 1]$ is #P-hard for $a \neq 1$.

7 Binary Greedoids

In our final section we let \mathbb{G} be the class of binary greedoids. We present analogous results to those in the previous section by finding the computational complexity of evaluating the Tutte polynomial of a binary greedoid at a fixed rational point, eventually proving Theorem 4. As before, it is convenient to think of the input as being a binary matrix rather than its binary greedoid.

We begin by examining the easy points of Theorem 4. Let Γ be a binary greedoid with element set E and rank function ρ . If a point (a, b) lies on the hyperbola H_1 then, following the remarks at the end of Section 3 $T(\Gamma; a, b)$ is easily computed.

We now focus on the hard points. The k-thickening operation will again be crucial. Given a binary matrix M, the k-thickening M^k of M is obtained by replacing each column of M by k copies of the column. We have $\Gamma(M^k) = (\Gamma(M))^k$, so Theorem 5 can be applied to compute the $T(M^k)$ in terms of T(M). Let I_k denote the $k \times k$ identity matrix. Then $\Gamma(I_k) \cong \Gamma(P_k)$, so $T(I_k) = T(P_k) = 1 + \sum_{j=1}^k (x-1)^j y^{j-1}$.

The proof of the following proposition is analogous to that of Proposition 9, thus we omit it.

Proposition 21. Let L be either H_0^x, H_0^y , or H_α for $\alpha \in \mathbb{Q} - \{0\}$. Let (a, b) be a point on L such that $(a, b) \neq (1, 1)$ and $b \notin \{-1, 0\}$. Then

$$\pi_2[\mathcal{B}, L] \propto_T \pi_3[\mathcal{B}, a, b].$$

A binary matroid is a matroid that can be represented over the finite field \mathbb{Z}_2 . Every graphic matroid is also binary, so Theorem 1 and Lemma 3 imply that $\pi_2[\mathcal{B}, 1, b]$ is #P-hard providing $b \neq 1$. This immediately gives the following.

Proposition 22. The problem $\pi_3[\mathcal{B}, 1, b]$ is #P-hard for all b in $\mathbb{Q} - \{1\}$.

Using the following unpublished result of Vertigan [36], we are able to fill in the missing point (1, 1) from the previous result and also establish hardness along the line y = 1.

Theorem 11 (Vertigan). Evaluating the Tutte polynomial of a binary matroid is #P-hard at the point (1, 1).

The next result is the only one depending on this unpublished result.

Proposition 23. The problem $\pi_3[\mathcal{B}, a, 1]$ is #P-hard for all a.

Proof. By Proposition 21 we have $\pi_2[\mathcal{B}, H_0^y] \propto_T \pi_3[\mathcal{B}, a, 1]$ for $a \neq 1$. The result now follows Theorem 11.

Proposition 24. Let Γ be a binary greedoid and let $\Gamma' = \Gamma(I_k)$. Then

$$T(\Gamma \approx \Gamma'; x, y) = T(\Gamma; x, y)(x-1)^k y^k + T(\Gamma; 1, y) \Big(1 + \sum_{j=1}^k (x-1)^j y^{j-1} - (x-1)^k y^k \Big).$$

Proof. The proof follows immediately from Theorem 7.

We now classify the complexity of $\pi_3[\mathcal{B}, a, b]$ when b = 0 or b = -1.

Proposition 25. The problem $\pi_3[\mathcal{B}, a, 0]$ is #P-hard for all $a \neq 0$.

Proof. Let M be a binary matrix with linearly independent rows. Then from Theorem 7, we have $T(M \approx I_1; a, 0) = aT(M; 1, 0)$. Therefore when $a \neq 0$ we have $\pi_2[\mathcal{B}, 1, 0] \propto_T \pi_2[\mathcal{B}, a, 0]$. The result now follows from Proposition 22.

Proposition 26. The problem $\pi_3[\mathcal{B}, a, -1]$ is #P-hard for all $a \neq \frac{1}{2}$.

Proof. Let M be a binary matrix with linearly independent rows. We have

$$(2a-1)T(M;1,-1) = T(M \approx I_1;a,-1) + (a-1)T(M;a,-1)$$

Thus, $\pi_3[\mathcal{B}, 1, -1] \propto_T \pi_3[\mathcal{B}, a, -1]$. By using Proposition 22, we deduce that $\pi_0[\mathcal{B}, a, -1]$ is #P-hard.

Our final result completes the proof of Theorem 4.

Theorem 12. Let (a, b) be a point in H_{α} for $\alpha \in \mathbb{Q} - \{0, 1\}$ with $b \neq -1$. Then $\pi_3[\mathcal{B}, a, b]$ is #P-hard.

Proof. For $\alpha \in \mathbb{Q} - \{0, 1\}$, the hyperbola H_{α} crosses the *x*-axis at the point $(1 - \alpha, 0)$. By Proposition 21 since $b \neq -1$ and $(a, b) \neq (1, 1)$ we have $\pi_3[\mathcal{B}, 1 - \alpha, 0] \propto_T \pi_3[\mathcal{B}, a, b]$. The result now follows from Proposition 25.

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