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| 1  | Mechanisms of goethite dissolution in the presence of  |
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| 2  | desferrioxamine B and Suwannee River fulvic acid at pH 6.5   |
| 3  |  |
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- 20 Abstract
- 21

Siderophores are Fe<sup>3+</sup> specific low MW chelating ligands secreted by micro-22 organisms in response to Fe stress. Low MW organic acids such as oxalate have been shown 23 to enhance siderophore mediated dissolution of  $Fe^{3+}$  oxides. However, the effect of fulvic 24 acid presence on siderophore function remains unknown. We used batch dissolution 25 experiments to investigate Fe release from goethite in the goethite-fulvic acid-26 27 desferrioxamine B (goethite-SRFA-DFOB) ternary system. Experiments were conducted at 28 pH 6.5 while varying reagent addition sequence. FTIR and UV-Vis spectroscopy were 29 employed to characterise the Fe-DFOB, Fe-SRFA and DFOB-SRFA complexes. Iron 30 released from goethite in the presence of SRFA alone was below detection limit. In the 31 presence of both SRFA and DFOB, dissolved Fe increased with reaction time, presence of the 32 DFOB-SRFA complex, and where SRFA was introduced prior to DFOB. FTIR data show that in the ternary system,  $Fe^{3+}$  is complexed primarily to oxygen of the DFOB hydroxamate 33 group, whilst the carboxylate C=O of SRFA forms an electrostatic association with the 34 terminal  $NH_3^+$  of DFOB. We propose that SRFA sorbed to goethite lowers the net positive 35 charge of the oxide surface, thus facilitating adsorption of cationic DFOB and subsequent 36 Fe<sup>3+</sup> chelation and release. Furthermore, the sorbed SRFA weakens Fe-O bonds at the 37 38 goethite surface, increasing the population of kinetically labile Fe. This work demonstrates the positive, though indirect role of SRFA in increasing the bioavailability of  $Fe^{3+}$ . 39 40 41 42 43 Keywords: siderophore, goethite, dissolution, Suwannee River fulvic acid, desferrioxamine 44

1. INTRODUCTION

45 46

| 47 | In oxic soils and sediments, Fe availability is limited by the low solubility of Fe                       |
|----|---|
| 48 | oxides at circumneutral pH (Raymond and Dertz, 2004). To obtain Fe from these sparingly                   |
| 49 | soluble phases, low MW Fe <sup>3+</sup> -chelating ligands known as siderophores are released by plants   |
| 50 | and micro-organisms (Haselwandter, 2008). For example, twice as much Fe is solubilised                    |
| 51 | from goethite in the presence of 126 $\mu$ M desferrioxamine B (DFOB), a trihydroxamate                   |
| 52 | siderophore, than in the presence of 100 mM HCl at pH 3 over a 28-day reaction (Watteau                   |
| 53 | and Berthelin, 1994). Furthermore, at hydroxamate siderophore concentrations typical of                   |
| 54 | soils (i.e. $10^{-7} - 10^{-8}$ M; Powell et al., 1980), goethite solubility increases over a wide pH     |
| 55 | range (Kraemer, 2004), where the dissolution of goethite at $pH > 4$ is described as ligand-              |
| 56 | controlled (Holmén and Casey, 1996; Reichard et al., 2007a).  |
| 57 | Iron(III) is coordinated to the hydroxamate groups of DFOB (Fig. 1) with Fe oxide                         |
| 58 | dissolution influenced by siderophore concentration (Liermann et al., 2000), solution pH                  |
| 59 | (Cervini-Silva, 2008) and temperature (Cocozza et al., 2002). As revealed by single-crystal               |
| 60 | X-ray diffraction, the chelate molecule consists of two closed loops and a free chain                     |
| 61 | containing a protonated amine (Dhungana et al, 2001). The six hydroxamate oxygen atoms                    |
| 62 | coordinate Fe <sup>3+</sup> and form a distorted octahedral geometry around the metallic centre (Cozar et |
| 63 | al., 2006; Domagal-Goldman et al., 2009) (Fig. 1b). As a consequence of this complexation,                |
| 64 | the hydroxamate (oxime) protons are lost and the goethite hydroxyl or water groups                        |
| 65 | coordinating Fe <sup>3+</sup> are displaced.  |
|    |   |

Iron release from goethite may be enhanced by the presence of low MW organic acids. For example, goethite dissolution by  $5 \times 10^{-5}$  M oxalate, malonate or succinate at pH 6 yielded  $10^{-11}$  M Fe following 400 h reaction (Reichard et al., 2007a) while the presence of citrate produced  $10^{-7}$  M Fe and fumarate yielded undetectable levels of dissolved Fe. When

DFOB was added to those systems containing both goethite and low MW organic acids, greater amounts of Fe were released than in DFOB-only goethite systems. For example, soluble Fe concentrations increased from  $10^{-11}$  M to  $10^{-5}$  M when  $5.0 \times 10^{-5}$  M DFOB was added to a goethite suspension along with equimolar concentrations of the organic ligands listed above, except for citrate, for which soluble Fe increased only marginally, from  $10^{-7}$  M to  $10^{-6}$  M.

76 Fulvic acid (FA) (Fig. 2), the acid soluble component of humic substances are, along with hydrous Fe oxides and siderophores, ubiquitous in soils and sediments (Stevenson, 77 78 1985). Fulvic acid sorbs strongly to goethite surfaces at pH values below the point of zero 79 charge for goethite (i.e. < 9.2, Filius et al., 2000). This adsorption involves the formation of 80 inner-sphere complexes via ligand exchange between the oxygen of FA carboxylate groups 81 and the surface oxygen atoms coordinated to Fe at the goethite surface (Filius et al., 2003). 82 Fourier transform infrared (FTIR) spectroscopy confirms the formation of this inner-sphere 83 Fe-fulvate complex by virtue of a shift in the asymmetric carboxylate stretch vibration at pH 84 5 (Fu and Quan, 2006). Humic compounds obtained from various natural environments also complex strongly, and reversibly, with mononuclear Fe, exhibiting stability constants of 85  $10^{21.0}$  to  $10^{21.4}$  for Fe-humic complexes isolated from a river plume (Muller and Batchelli, 86 2011), with lower stability constants (i.e.  $K = 10^{11.5}$  to  $10^{14.0}$ ) observed for Fe-FA complexes 87 88 obtained from soil (Pandeya, 1993).

Although the effects of low MW acids such as oxalate and citrate on DFOB mediated dissolution of goethite have been examined previously (Reichard et al., 2007a,b), the influence of the higher MW fulvic acid has not yet been explored despite the ubiquity of this humic material in soils and sediments. In this paper we report, for the first time, the results of batch experiments examining the dissolution of goethite in the presence of both Suwannee River fulvic acid (SRFA) and DFOB. The effects of SRFA presence and reagent addition

| 95  | sequence were investigated at pH 6.5 to elucidate dissolution mechanisms. The aims of the   |
|-----|---|
| 96  | study were to: (i) determine the effect of SRFA presence on goethite dissolution by DFOB;   |
| 97  | (ii) develop a mechanistic model of how SRFA influences DFOB function; (iii) characterise   |
| 98  | possible aqueous Fe-DFOB and Fe-SRFA complexes formed; (iv) propose an overall  |
| 99  | dissolution mechanism for the goethite-DFOB-SRFA system.  |
| 100 |   |
| 101 | 2. MATERIALS AND METHODS  |
| 102 |   |
| 103 | 2.1. Goethite synthesis and characterisation  |
| 104 |   |
| 105 | Goethite was synthesised following the method of Schwertmann and Cornell (1991).  |
| 106 | Briefly, 180 mL of 5 M KOH (Fisher Chemicals, SLR) was rapidly added to 100 mL of 1 M   |
| 107 | Fe(NO <sub>3</sub> ) <sub>3</sub> .9H <sub>2</sub> O (BDH, AnalaR) in a 2 L plastic beaker with constant stirring for 10 min. The |
| 108 | suspension was brought to 2 L with ultrapure water (18 M $\Omega$ -cm, Milli-Q Millipore) and                                     |
| 109 | transferred to five 500 mL amber wide-mouth Nalgene HDPE screw top bottles then aged for  |
| 110 | 24 h at 70 °C (Dubbin and Ander, 2003). The precipitate was washed with ultrapure water   |
| 111 | through a Büchner funnel into a Büchner flask using Whatman no. 40 filter paper, which was  |
| 112 | replaced after every 250 mL of suspension to prevent clogging. The precipitate was then   |
| 113 | allowed to air-dry at 21 °C.  |
| 114 | The hydroxy Fe precipitates were confirmed as goethite ( $\alpha$ -FeOOH) by powder X-ray   |
| 115 | diffraction (XRD) analyses on an Enraf-Nonius PSD 120, equipped with an INEL 120°   |
| 116 | curved position sensitive detector utilising Cu K $\alpha_1$ radiation (45 kV and 45 mV) at 25 °C. N <sub>2</sub>                 |
| 117 | multipoint BET surface area measurements were carried out using a Micrometrics Gemini III   |
| 118 | 2375 instrument. Samples were allowed to de-gas with $N_2$ at 100 °C for 24 h prior to surface                                    |
|     |   |

119 area determination. A kaolinite standard  $(15.9 \pm 0.8 \text{ m}^2/\text{g})$  was analysed alongside the 120 goethite samples to monitor accuracy.

121

122 2.2. Batch dissolution experiments

123

124 Stock solutions of: (i) DFOB obtained as the mesylate salt

125  $[(C_{25}H_{46}N_5O_8NH_3^+(CH_3SO_3^-), MW 656 \text{ g mol}^{-1}]$  (Sigma-Aldrich); (ii) SRFA purchased from

126 the International Humic Substance Society [IHSS, Sample 1S101F, MW 1360 g mol<sup>-1</sup> (Chin

127 et al., 1994)]; and (iii) synthetic goethite ( $\alpha$ -FeOOH) were prepared in a combined

128 buffer/electrolyte (MOPS/NaNO<sub>3</sub>) solution for subsequent use in the batch dissolution

129 experiments. Both DFOB and SRFA were used as received to prepare a 500 µM stock

130 solution of DFOB (0.823 g DFOB dissolved in 250 mL MOPS/NaNO<sub>3</sub> solution) and a 65 mg

131 C L<sup>-1</sup> stock solution of SRFA (0.0624 g SRFA dissolved in 500 mL MOPS/NaNO<sub>3</sub> solution).

132 The goethite stock suspension was prepared to a concentration of 1256 mg  $L^{-1}$  (3.14 g

133 goethite in 2500 mL MOPS/NaNO<sub>3</sub> solution). The combined MOPS/NaNO<sub>3</sub> solution

134 consisted of 1 mM 3-(N-morpholino) propanesulfonic acid (MOPS), a non-complexing

135 buffer (Electran VWR BDH PRO LAB molecular biology grade; pH range 6.5 – 7.9; pK<sub>a</sub>

136 7.2), and 10 mM NaNO<sub>3</sub> (BDH AnalaR). The pH of the MOPS/NaNO<sub>3</sub> solution was

137 increased from pH 4.5 to 6.5 with the drop-wise addition of 0.1 M NaOH (BDH ARISTAR),

138 continuously monitored with a HANNA Instruments pH meter calibrated at two points (pH

139 4.01 and 7.01). The pH of the goethite suspensions, and DFOB and SRFA solutions, were

140 within the required range therefore no adjustment was required. Solutions and suspensions

- 141 were stored in amber HDPE wide-mouth screw top bottles to protect from photo-induced
- 142 reactions and stored at 4 °C to restrict microbial growth. All glassware and plasticware was

143 washed thoroughly with phosphate-free detergent (Decon 90) then rinsed several times with144 ultra pure water.

145 Fig. 3 shows the reagents, addition sequences, and reaction times for each of the ten 146 batch experiments. For batch experiments 1 through 8 (carried out in duplicate) 90 mL of 147 goethite suspension was dispensed into each of eight 250 mL amber HDPE bottles. One of 148 these eight bottles contained goethite alone (system 8), while a further two bottles without 149 goethite served as procedural blanks to check for adsorption of DFOB (system 9) and SRFA 150 (system 10) onto container walls. Subsequently, 9 mL of DFOB stock solution or 30 mL of 151 SRFA stock solution were added to the bottles (with the exception of systems 3 and 5) as 152 indicated in Fig. 3. The DFOB-SRFA complex was equilibrated for 30 minutes before 153 addition to the goethite suspension (system 6). All batches were brought to a total volume of 154 129 mL with MOPS/NaNO<sub>3</sub> and left to equilibrate for 24 h at 25 °C on an orbital shaker 155 (Orbital Incubator SI50) at 100 rpm.

156 Following the initial 24 h contact, further reagents were added as indicated in Fig. 3, 157 brought to final volumes of 168 mL, then placed on the orbital shaker for the duration of the 158 reaction. In systems 3 and 5 we added DFOB and SRFA 4 h before the subsequent addition 159 of, respectively, SRFA and DFOB, to more fully explore the effect of DFOB and SRFA 160 addition sequence. A 4 hour reaction time was chosen because this duration had been 161 reported as the optimal reaction period to achieve ligand adsorption without significant 162 dissolution (Cocozza et al., 2002). The concentration of DFOB, where present, was 270  $\mu$ M 163 in all batch experiments. The pH of the suspensions in the 250 mL bottles was measured 164 before and after the initial 24 h period, and at the end of the 330 h reaction. In all cases the pH was maintained at 6.5 and did not need further adjusting. Maintaining pH at 6.5 ensured 165 166 that proton promoted dissolution was negligible. Changes in H<sup>+</sup> activity may also influence 167 ligand-controlled goethite dissolution by modifying the concentrations and speciation of

adsorbed ligands (Reichard et al., 2007b). Subsamples of the suspensions were obtained at
intervals throughout the 330 h reaction, then filtered through 25 mm cellulose acetate filters
(pore size 0.2 μm) followed by filtration through 25 mm nitrocellulose membrane filters
(pore size 0.025 μm) into clear polythene screw cap tubes.

172

173 2.3. Analysis of supernatant solutions

174

175 Five mL portions of the filtrates were acidified with 100 µL 70% HNO<sub>3</sub> (Fisher 176 Scientific) to prevent precipitation of Fe hydroxide then stored at 4 °C. These solutions were 177 analysed for Fe using inductively coupled plasma atomic emission spectroscopy (ICP-AES) 178 analysis (VARIAN VISTA PRO Program ICP Expert version 4.1.0; emission line 259.94 nm; detection limit 89 nmol Fe L<sup>-1</sup>). Aqueous SRFA and DFOB were quantified by UV-Vis 179 180 spectroscopy (section 2.5). Total aqueous organic carbon (TOC) was determined by wet 181 combustion with a Shimadzu 5000 TOC analyser after acidification of the filtrate with 10  $\mu$ L 182 concentrated HCl (BDH ARISTAR). To test the reliability of SRFA quantification by UV-Vis spectroscopy (Gan et al., 2007; Ghabbour and Davies, 2009), aqueous SRFA 183 184 concentrations were also determined by TOC analysis, subtracting from the total organic C, 185 that C assigned to DFOB as determined by chelometric UV-Vis spectroscopy analysis 186 (section 2.5). Statistical significance among aqueous Fe, DFOB and SRFA concentrations for 187 all batches was determined by applying the unpaired two-tailed Student's *t*-test with a level of 188 significance of p = 0.05. The precipitate retained on each membrane filter following filtration 189 was air-dried at room temperature, placed in an air tight container and preserved for 190 subsequent observation by scanning electron microscopy (SEM), atomic force microscopy 191 (AFM) and FTIR analysis, described below.

192

195 Synthetic goethite, untreated SRFA and DFOB, and aqueous complexes of SRFA and DFOB prepared in several mole ratios (2:1 Fe<sup>3+</sup>-DFOB, 5:1 Fe<sup>3+</sup>-SRFA, 1:1 DFOB-SRFA, 196 and 5:1:1 Fe<sup>3+</sup>-DFOB-SRFA) were analysed by FTIR. Iron(III) chloride hexahydrate 197 (FeCl<sub>3</sub>.6H<sub>2</sub>O) was used to prepare the Fe<sup>3+</sup>-complexes. Solid samples for FTIR analysis were 198 199 obtained from the acidified aqueous complexes by concentrating the solutes through freeze 200 drying (Triad LABCONCO with a JAVAC JL-10 high vacuum pump) to minimise infrared absorption by water and improve peak/band resolution. All samples, including the air-dried 201 202 residues from filtration, were prepared for FTIR analysis using the KBr pellet technique 203 (Prasad et al., 2006), mixing ~1 mg of sample with 100–200 mg spectroscopy grade KBr (Merck, IR spectroscopy, Uvasol<sup>®</sup>). When not in use, the pellets were stored in a desiccator 204 205 to minimise uptake of water. All FTIR data were collected over 200–4000 cm<sup>-1</sup> on a Perkin 206 Elmer Spectrum One FTIR spectrometer with dedicated spectrum handling software (version 5.0.1). The spectra have a resolution of 4 cm<sup>-1</sup> and are the aggregate of 128 scans. 207 208 2.5. SRFA and DFOB quantification 209 210 211 Filtrate SRFA was quantified by first obtaining a UV-Vis scan (220-900 nm) of a standard aqueous SRFA solution (31.2 mg SRFA L<sup>-1</sup>) to obtain the  $\lambda_{max}$  (254 nm). A series of 212 213 aqueous SRFA solutions of varying concentration were then prepared to construct the 214 calibration curve. Aqueous SRFA from each batch dissolution experiment was then

215 determined by placing 1 mL filtrate in micro cuvettes of 10 mm path length and measuring

216 UV absorption at 254 nm (Qu et al., 2003; Tatár et al, 2004). Absorbance readings were

217 obtained on a Shimadzu UV-1800 spectrophotometer fitted with tungsten iodine (visible) and

deuterium (UV) lamps. An aliquot of acidified MOPS/NaNO<sub>3</sub> was used to base correct the
UV-Vis spectrophotometer before analysis of batch solutions.

220 Siderophore concentrations in the filtrates from the adsorption experiments were 221 determined following the chelometric method (Cocozza et al., 2002; Cheah et al., 2003). 222 Spectrophotometric measurements of the Fe-DFOB complex were obtained at 467 nm within 223 1 h after filtration. Filtrates and standards were acidified to pH 1.5 to 1.7 with 8  $\mu$ L 70% 224 HClO<sub>4</sub> (BDH ARISTAR). We then added 170  $\mu$ L of 15 mM Fe(ClO<sub>4</sub>)<sub>3</sub> to each filtrate sample 225 to give an Fe concentration in excess of that needed to complex all DFOB. Analogous 226 siderophore-free blank solutions containing only MOPS buffer, background electrolyte and 227 added Fe were likewise acidified to pH 1.5 to 1.7. Subtraction of absorbance for the blank 228 solution from that for the sample filtrates yielded the net absorbance, which we attribute to siderophore not adsorbed. The DFOB surface excess ( $\mu$ mol g<sup>-1</sup>) was determined by dividing 229 the siderophore concentration loss (i.e. 270 µM minus DFOB concentration in the filtrate) by 230 the goethite concentration. DFOB quantification in system 9 (i.e. DFOB without goethite) 231 served as a validation step to account for any DFOB sorbed to container walls and filters. 232 UV-Vis spectra were obtained for DFOB, Fe(ClO<sub>4</sub>)<sub>3</sub>, SRFA, Fe<sup>3+</sup>-DFOB, Fe<sup>3+</sup>-SRFA, 233 DFOB-SRFA and Fe-DFOB-SRFA standard solutions prepared in a MOPS/NaNO<sub>3</sub> matrix 234 235 and compared to the spectra of the batch filtrate solutions. Furthermore, the spectrum of a 236 MOPS/NaNO<sub>3</sub> solution was compared to that of deionized water to ensure that 237 MOPS/NaNO<sub>3</sub> peaks did not overlap those from Fe-DFOB. 238 2.6. SEM and AFM imaging 239

240

Goethite morphology was determined before and after reaction with SRFA and
DFOB. Powdered goethite samples were fixed to Al stubs then coated with Au-Pd prior to

| 243 | analysis on a Zeiss Gemini Ultra Plus SEM operating at 5.0 kV and a spot size of 20.00 $\mu m$  |
|-----|---|
| 244 | over a range of magnifications to observe gross particle morphology. AFM was used to  |
| 245 | determine the surface relief of the goethite crystals. The analysis was conducted using an  |
| 246 | Asylum MFP-3D-SA (Santa Barbara, USA) instrument in AC mode. The prepared film  |
| 247 | samples (1 cm <sup>2</sup> ) were placed on glass slides and scanned in air over a 10 $\times$ 10 $\mu m^2$ area using                            |
| 248 | an Olympus AC240TS tip (spring constant 2 N m <sup>-1</sup> ). Surface roughness, amplitude and height  |
| 249 | channels were monitored and analysed using IGOR PRO software.   |
| 250 |   |
| 251 | 3. RESULTS  |
| 252 |   |
| 253 | 3.1. Characterisation of goethite   |
| 254 |   |
| 255 | The addition of 5 M KOH to 1 M Fe(NO <sub>3</sub> ) <sub>3</sub> .9H <sub>2</sub> O produced a brownish-yellow                                    |
| 256 | precipitate of Munsell colour 10YR 6/8. The precipitates were confirmed as goethite ( $\alpha$ -  |
| 257 | FeOOH) by comparing their powder X-ray diffraction patterns with those reported in the  |
| 258 | International Centre for Diffraction Data® Files (ICDD Files 1081-464). All the peaks   |
| 259 | produced by the precipitates related to the structure of goethite; the absence of extraneous  |
| 260 | peaks indicated that no other phases were present at detectable levels.   |
| 261 | Analysis of goethite morphology by SEM showed the crystals to be lathed shaped as   |
| 262 | observed previously (Cornell et al., 1974; Kosmulski et al., 2004). The fractured appearance  |
| 263 | of some crystals we attribute to desiccation and water loss under high vacuum. The height of  |
| 264 | the crystals obtained through AFM analysis was ~ 60 nm, while the $N_2$ -BET surface area was   |
| 265 | 43 m <sup>2</sup> g <sup>-1</sup> , slightly greater than that reported elsewhere (e.g. $35\pm3$ m <sup>2</sup> g <sup>-1</sup> ; Kraemer et al., |
| 266 | 1999; 38 m <sup>2</sup> g <sup>-1</sup> ; Carrasco et al., 2007). Sorbed SRFA imparts surface roughness to goethite                               |
| 267 | and disrupts its characteristic lath-shaped morphology.   |
|     |   |

## 269 3.2. Goethite dissolution

270

271 Iron release kinetics for goethite dissolution in the presence of DFOB and / or SRFA 272 at 270 µM initial siderophore concentration are shown in Fig. 4. Soluble Fe is detected only 273 for those systems containing both goethite and DFOB (i.e. systems 1-6). At reaction times > 274 50 h Fe release broadly followed zero-order kinetics, with Fe concentration depending 275 linearly on time (Table 1). This linearity is commonly observed for far from equilibrium 276 dissolution reactions (Sposito, 1994; Lasaga, 1998), where the slope of the regression line 277 equation (Table 1, column 2) is equal to the zero-order rate coefficient. Generally, goethite 278 suspensions containing both DFOB and SRFA (e.g. systems 4, 5, 6) show increased slopes of 279 the linear fits and greater soluble Fe than those containing only DFOB (i.e. system 1). This 280 observation corroborates the complementary work of Reichard et al. (2007a) on two-ligand 281 systems, who reported increased goethite dissolution at pH 6 in the presence of 50 µM DFOB 282 alongside 50 µM oxalate, malonate, succinate or fumarate. These workers observed that in 283 the presence of 50  $\mu$ M DFOB alone, goethite dissolution yielded ~ 5  $\mu$ M Fe, but this 284 increased to nearly 10 µM Fe with the addition of the above low molecular weight organic 285 ligands. Furthermore, in our study, addition of SRFA prior to DFOB (i.e. systems 4 and 5) 286 yielded greater slopes than for those systems where DFOB was introduced prior to SRFA (i.e. 287 systems 2 and 3). Introduction of the DFOB-SRFA complex to the goethite suspension 288 (system 6) gave rise to the greatest Fe release. 289 In dissolution reactions under far from equilibrium conditions, the zero-order rate

289 In dissolution reactions under far from equilibrium conditions, the zero-order fate
290 coefficient is generally considered to be proportional to either: (i) the specific surface area or
291 (ii) the mass of the dissolving solid (Lasaga, 1998). However, as the normalisation of
292 dissolution rates with respect to surface area is not straightforward (Brantley and Chen,

1995), we express the dissolution rates with respect to mass of the goethite. Mass normalised rate coefficients ( $\mu$ mol g<sup>-1</sup> h<sup>-1</sup>) were therefore derived as the slope of the linear fit divided by the goethite mass, and these coefficients are presented in column 3 of Table 1. The massnormalised dissolution rate coefficients are greatest for those systems containing SRFA, particularly where this humic material was introduced prior to DFOB. Interestingly, the simultaneous introduction of DFOB and SRFA as the DFOB-SRFA complex (system 6) yielded the greatest rate coefficient of all systems.

300 The mass-normalised dissolution rate reported by Cocozza et al. (2002) for the dissolution of goethite by DFOB at 25 °C (i.e. 0.135  $\mu$ mol g<sup>-1</sup> h<sup>-1</sup>) is approximately one-half 301 that reported here (i.e.  $0.257 \mu mol g^{-1} h^{-1}$ ). Some of this difference may arise from the slightly 302 303 higher concentration of DFOB used in this study (i.e. 270 µM vs. 240 µM). However, most of 304 this difference in dissolution rate can be attributed to variation in the nature of the goethite 305 sample. Cornell and Schwertmann (2003), for example, cite the influence of goethite 306 morphology and crystallinity as important determinants of dissolution rate. The goethite used 307 in this study was prepared using a method broadly similar to that adopted by Cocozza et al. 308 (2002), with the exception that these earlier workers incorporated a longer aging period 309 yielding a goethite which, presumably, displayed greater long-range order than that used in 310 the present study.

UV-Vis spectra for untreated batch filtrates are shown in Fig. 5. These spectra reveal two main regions of absorption: a broad, low peak at 400 - 500 nm which is assigned to Fe<sup>3+</sup>-DFOB, and another peak at 236 nm which is due to the uncomplexed anionic DFOB species, HDFOB<sup>2-</sup>, whose three hydroxamate groups are deprotonated whilst the terminal amine remains protonated (Edwards et al., 2005). We disregard other causes for the peak at 236 nm as UV-Vis scans of reference solutions of SRFA, Fe(ClO<sub>4</sub>)<sub>3</sub>, MOPS/NaNO<sub>3</sub>, Fe-DFOB, and Fe-SRFA did not show any absorption in this region. Thus, the spectra in Fig. 5 indicate that

the untreated filtrates contain both complexed DFOB as Fe<sup>3+</sup>-DFOB and uncomplexed
DFOB.

The values of surface excess of DFOB on goethite at 25 °C, pH 6.5 and 270 µM 320 321 initial siderophore concentration are given in Table 1 (column 4) for the six systems 322 containing both goethite and DFOB. Although we measure surface excess at a single 323 temperature (i.e. 25 °C) Cocozza et al. (2002) report no significant change in surface excess 324 of DFOB on goethite over the temperature range 25 °C to 55 °C for a comparable system. However, the surface excess we calculate for our system 1 (i.e. 14.4  $\mu$ mol g<sup>-1</sup>) is nearly five 325 times that observed by Cocozza et al. (2002) (i.e. 2.99 µmol g<sup>-1</sup>) under comparable 326 conditions. We again attribute this difference to variation in goethite synthesis procedure, 327 with attendant variation in crystallite morphology and density of reactive surface OH groups 328 329 (Cornell and Schwertmann, 2003).

330 A pseudo-first-order rate coefficient may be used to characterise the kinetics of 331 ligand-promoted dissolution under far from equilibrium conditions as described by Stumm et 332 al. (1987). This approach was applied by Cocozza et al. (2002) to demonstrate the 333 temperature dependence of DFOB mediated goethite dissolution at 55 °C, and the lack of 334 temperature dependence over the range 25 to 40 °C. For the present study, the coefficient (h <sup>1</sup>) was derived as the ratio of the mass-normalised dissolution rate coefficient to the DFOB 335 336 surface excess. These values are presented in Table 1 (column 5) and are generally in line with that reported by Kraemer et al. (1999) (i.e.  $0.01 \text{ h}^{-1}$ ). This broad congruence of pseudo-337 338 first-order rate coefficients implies that differences in dissolution rate depend principally on 339 DFOB surface excess as influenced by reagent addition sequence.

340

341 3.3. FTIR spectra

| 343 | The dominant FTIR vibrations and corresponding assignments for the Fe-free and  |
|-----|---|
| 344 | Fe <sup>3+</sup> -complexed standards are shown in Table 2. All FTIR absorption peaks produced by our                         |
| 345 | synthetic goethite relate to the structure of goethite. The absence of extraneous peaks                                       |
| 346 | indicated that no other phases were present at detectable levels. The FTIR spectrum for our                                   |
| 347 | synthetic goethite (Fig. 6) has an absorption band at 640 cm <sup>-1</sup> , representing the $FeO_6$ lattice                 |
| 348 | vibrations (Prasad et al., 2006). Other prominent vibrations are the in-plane ( $\delta$ ) and out-of-                        |
| 349 | plane ( $\gamma$ ) deformational (bending) modes of hydroxyls at 891 cm <sup>-1</sup> and 795 cm <sup>-1</sup> , respectively |
| 350 | (cf., Prasad et al. 2006). The broad absorption band located at 3132 cm <sup>-1</sup> is assigned to the                      |
| 351 | hydroxyl stretch of surface OH, previously reported at $3100 - 3150$ cm <sup>-1</sup> (Cornell and                            |
| 352 | Schwertmann, 2003).   |
| 353 | In the FTIR spectrum for DFOB the terminal N-H stretching vibrations occur at 3128  |
| 354 | cm <sup>-1</sup> and 3325 cm <sup>-1</sup> , while the vibrational stretching of the amide I band of the C=O group            |
| 355 | occurs at 1624 cm <sup>-1</sup> (Cozar et al., 2006; Siebner-Freibach et al., 2006). Another C=O                              |
| 356 | absorption band at 1599 cm <sup>-1</sup> represents the hydroxamate C=O (cf., Edwards et al., 2005;                           |
| 357 | Domagal-Goldman et al., 2009). An absorption band at 1537 cm <sup>-1</sup> arises from the                                    |
| 358 | superposition of N-H bending and C-N stretching vibrations in the amide II group (cf.,  |
| 359 | Nightingale and Wagner, 1954) as well as O-H (hydroxamate) in-plane bending vibrations  |
| 360 | (Cozar et al., 2006). The band at 1480 cm <sup>-1</sup> is assigned to both the hydroxamate NOH bend                          |
| 361 | and the C-N oxime (hydroxamate resonance structure) stretch corresponding to the 1470 cm <sup>-1</sup>                        |
| 362 | band of Edwards et al. (2005) (Fig. 6). We also observed a band at 1386 cm <sup>-1</sup> arising from a                       |
| 363 | combination of vibrational deformation modes in the hydroxamate group and terminal N (cf.,                                    |
| 364 | 1379 cm <sup>-1</sup> Edwards et al., 2005). An additional band, at 1047 cm <sup>-1</sup> , coincides with the                |
| 365 | hydroxamate N-O resonance of DFOB. However, this band is not due exclusively to DFOB  |
| 366 | as methanesulfonate, the counter-ion of the DFOB mesylate salt, also shows strong   |
| 367 | absorption at 1049 cm <sup>-1</sup> (Borer et al., 2009; Simanova et al., 2010).  |

368 The FTIR spectrum for SRFA (Fig. 6) displayed two prominent absorption bands, at 3425 cm<sup>-1</sup> and 1720 cm<sup>-1</sup>, and these were assigned to the phenolic O-H and protonated 369 370 carboxylic acid C=O vibrational stretching modes, respectively (cf., International Humic Substance Society, 2008). Other absorption bands at 1629 cm<sup>-1</sup> and 1384 cm<sup>-1</sup> represent. 371 372 respectively, the deprotonated asymmetric and symmetric vibrational stretching of 373 carboxylate C=O (cf., Fu and Quan, 2006; Hay and Myneni, 2007). The broad band at 1218 cm<sup>-1</sup>, assigned to the O-H phenolic stretch, was previously observed at 1217 cm<sup>-1</sup> by Fu and 374 375 Quan (2006).

Complexation between DFOB and  $Fe^{3+}$  yields a shift in the amide I band to 1622 cm<sup>-1</sup> 376 from 1624 cm<sup>-1</sup> (Fig. 6) as reported by Edwards et al. (2005). The hydroxamate absorption 377 bands at 1537 cm<sup>-1</sup> and 1480 cm<sup>-1</sup>, as well as the absorption band at 1386 cm<sup>-1</sup>, assigned to 378 the hydroxamate near the terminal N, also disappeared upon complexation of DFOB to  $Fe^{3+}$ . 379 The  $Fe^{3+}$ -DFOB complex gave rise to a new vibrational stretching mode at 1568 cm<sup>-1</sup>, 380 assigned to hydroxamate C=N, and a shift of the existing 1047 cm<sup>-1</sup> band to 1045 cm<sup>-1</sup>, 381 382 assigned to hydroxamate N-O (Fig. 6) (Cozar et al., 2006). Upon coordination of hydroxamate oxygen to  $Fe^{3+}$ , a new hydroxamate absorption band emerged at 1459 cm<sup>-1</sup>, 383 previously reported at 1455 cm<sup>-1</sup> by Borer et al. (2009), in a region where bands at 1537 cm<sup>-1</sup> 384 and 1480 cm<sup>-1</sup> once appeared (Table 2). The Fe<sup>3+</sup>-DFOB complex also gives rise to a band at 385 561 cm<sup>-1</sup>, reported previously at 555 cm<sup>-1</sup> (Cozar et al., 2006), attributed to the Fe-O 386 387 stretching vibration, but distinct from the Fe-O stretching of the goethite lattice. Additionally, a broad and intense peak at 3368 cm<sup>-1</sup>, accompanied by two small shoulders, we attribute to 388 the dissociation of the hydroxamate hydroxyl groups following  $Fe^{3+}$  coordination. 389 Following complexation of Fe<sup>3+</sup> with SRFA, the O-H band at 3425 cm<sup>-1</sup> becomes 390

broader, and shifts to 3410 cm<sup>-1</sup> (Fig. 6). In contrast, the COOH and asymmetric C=O bands at 1720 cm<sup>-1</sup> and 1629 cm<sup>-1</sup>, respectively, disappeared, whilst new, slightly lower intensity

bands appeared at 1687 cm<sup>-1</sup> and 1631 cm<sup>-1</sup>. Meanwhile, the peak at 1384 cm<sup>-1</sup> became
sharper and more intense following Fe<sup>3+</sup> complexation with SRFA (cf., Fu and Quan, 2006),
indicating the complexation of carboxylate oxygen to Fe<sup>3+</sup>.

Our FTIR assignments for the DFOB-SRFA and Fe<sup>3+</sup>-DFOB-SRFA complexes are 396 based on comparison of the FTIR spectra for DFOB, SRFA, Fe<sup>3+</sup>-DFOB, Fe<sup>3+</sup>-SRFA, DFOB-397 SRFA and Fe<sup>3+</sup>-DFOB-SRFA. Upon formation of the DFOB-SRFA complex, the SRFA 398 phenolic absorption band at 3425 cm<sup>-1</sup> becomes less intense and slightly broader, shifting to 399  $3417 \text{ cm}^{-1}$ , while another phenolic band at 1216 cm<sup>-1</sup> shifted to 1218 cm<sup>-1</sup> (Fig. 6). The 400 intensity of the prominent SRFA carboxylic C=O band at 1720 cm<sup>-1</sup> decreased significantly 401 and shifted to 1719 cm<sup>-1</sup>, whilst the asymmetric C=O band at 1629 cm<sup>-1</sup> shifted to 1626 cm<sup>-1</sup>. 402 With respect to the DFOB, bands assigned to the terminal amines shifted from 3128 cm<sup>-1</sup> and 403  $3325 \text{ cm}^{-1}$  to a single band at 2939 cm<sup>-1</sup> of lower intensity. 404

Formation of the Fe<sup>3+</sup>-DFOB-SRFA complex changed the FTIR spectra for both 405 406 SRFA and DFOB (Fig. 6). The intensity of the SRFA carboxylic C=O band was reduced, 407 shifting from 1720 to 1723 cm<sup>-1</sup>, while the SRFA symmetric C=O absorption band at 1384 cm<sup>-1</sup> disappeared. The SRFA phenolic OH band at 1216 cm<sup>-1</sup> remained largely as it was in 408 409 the DFOB-SRFA complex, whilst the asymmetric stretching of the carboxylate C=O increased from 1629 cm<sup>-1</sup> in the Fe-free complex to 1642 cm<sup>-1</sup> in the Fe<sup>3+</sup>-DFOB-SRFA 410 complex. The phenolic OH band shifted from 3425 cm<sup>-1</sup> for the Fe-free SRFA to 3437 cm<sup>-1</sup> 411 for the Fe<sup>3+</sup>-DFOB-SRFA complex. The N-O resonance of the hydroxamate group decreased 412 from 1047 cm<sup>-1</sup> in DFOB to 1042 cm<sup>-1</sup> in the Fe<sup>3+</sup>-DFOB-SRFA complex and was 413 accompanied by considerable peak sharpening. Furthermore, the Fe-O vibration at 561 cm<sup>-1</sup> 414 indicating complexation between  $Fe^{3+}$  and DFOB was observed at 542 cm<sup>-1</sup> in the ternary 415 complex (Fig. 6). Weak bands at 3010 cm<sup>-1</sup> and 2954 cm<sup>-1</sup> for the Fe<sup>3+</sup>-DFOB-SRFA 416 complex are likely due to the decrease in frequency of the N-H group of the terminal N in the 417

| 418 | DFOB as a result of electrostatic interaction between the DFOB terminal amine and charged              |
|-----|--|
| 419 | SRFA groups.   |
| 420 |  |
| 421 | 4. DISCUSSION  |
| 422 |  |
| 423 | 4.1. Sorption of SRFA and DFOB to goethite   |
| 424 |  |
| 425 | Adsorption of organic matter to iron oxide surfaces occurs by electrostatic                            |
| 426 | interactions, ligand exchange, hydrogen bonding and van der Waals interactions (Sposito,               |
| 427 | 1984). Coulombic attraction of organic solutes to metal oxides can be predicted through                |
| 428 | construction of a Schindler diagram, a banded rectangle in which the charge properties of the          |
| 429 | adsorptive and adsorbent are compared as a function of solution pH (Fig. 7) (Schindler,                |
| 430 | 1990). The bottom rectangle displays a horizontal line indicating the pH range over which              |
| 431 | adsorption is expected to occur based solely on charge. Adsorption occurring outside of this           |
| 432 | range implies the involvement of specific adsorption mechanisms. On the basis of the                   |
| 433 | Schindler diagram depicted in Fig. 7a, adsorption of SRFA to goethite is predicted over pH $\sim$      |
| 434 | 3 to 9.  |
| 435 | At pH 6.5 and an initial SRFA concentration of 11.6 mg C L <sup>-1</sup> , the surface excess of       |
| 436 | SRFA on goethite was 0.33 mg m <sup>-2</sup> . This value compares favourably with that reported by    |
| 437 | Filius et al. (2000) for fulvate adsorption to goethite at pH 7 (i.e. 0.3 mg FA $g^{-1}$ ) and also    |
| 438 | Weng et al. (2006) for their system at pH 5.5 (i.e. $0.4 \text{ mg FA g}^{-1}$ ). FTIR spectra for the |
| 439 | goethite-SRFA surface association were too complex to derive useful molecular-level                    |
| 440 | information concerning adsorption mechanisms. However, FTIR spectra for aqueous Fe <sup>3+</sup> -     |
| 441 | SRFA species, when compared with spectra for several reference aqueous complexes (Fig.                 |
| 442 | 6), revealed that Fe <sup>3+</sup> forms inner-sphere complexes with COOH and phenolic OH of SRFA,     |

443 consistent with that reported in previous studies (Fu and Quan, 2006; Hay and Myeni, 2007). 444 Furthermore, application of the charge distribution multi-site complexation (CD-MUSIC) 445 model provides theoretical evidence that carboxylic groups of SRFA form inner-sphere 446 complexes with Fe via the singly coordinated surface hydroxyls of goethite (i.e. those hydroxyls coordinated to a single  $Fe^{3+}$  cation) (Weng et al., 2005; Weng et al., 2006). On the 447 448 basis of these theoretical predictions and our experimental data, we propose that SRFA binds 449 to the goethite surface via inner-sphere complexation as depicted in Fig. 8, corroborating the 450 work of Filius et al. (2000), who observed inner-sphere adsorption of fulvic acid at pH below 451 the PZC for goethite. Importantly, SRFA adsorption lowers the PZC of goethite and reduces 452 positive surface charge in the vicinity of the adsorption site (Tipping and Cooke, 1982). 453 The Schindler diagram shown in Fig. 7b predicts that goethite can serve as an 454 effective sorbent for DFOB only at  $pH \sim 8$  to 9. However, for our systems at pH 6.5, we observe a surface excess of DFOB ranging from 14.4 to 26.5  $\mu$ mol g<sup>-1</sup> (Table 1). Much of this 455 456 DFOB will be adsorbed via inner-sphere surface complexes (Carrasco et al., 2007), however 457 electrostatic factors may be significant in increasing overall uptake. The predicted 458 electrostatic repulsion at pH 6.5 between DFOB ( $pK_a \sim 8.6$ ) and the positively charged 459 goethite surface (PZC = 9.2) can be minimised through orientation of the approaching 460 siderophore such that the hydroxamate group furthest from the protonated amine makes first 461 contact with the surface (Cocozza et al., 2002). More significantly, adsorption of the anionic 462 SRFA reduces the positive surface charge of goethite near the site of adsorption (Tipping and 463 Cooke, 1982), thus facilitating localised uptake of DFOB. Consistent with the predicted 464 SRFA enhanced uptake of DFOB, our data show that those systems with both SRFA and DFOB give rise to greater DFOB surface excess than system 1, which contains only DFOB 465 466 (Table 1).

467

UV-Vis spectroscopic analysis confirmed the presence of the Fe<sup>3+</sup>-DFOB complex in 470 supernatant solutions from batch dissolution experiments (Fig. 5). The emergence of FTIR 471 absorption bands at 1459 cm<sup>-1</sup> and 561 cm<sup>-1</sup>, assigned to Fe-O (Table 2; Fig. 6), following 472 formation of the Fe<sup>3+</sup>-DFOB complex provides evidence for presence of the Fe-hydroxamate 473 474 bond as depicted in Fig. 1b. These observations are consistent with predictions based on the high affinity of desferrioxamine B for the  $Fe^{3+}$  cation (K =  $10^{31}$ ) (Kraemer, 2004). 475 The absence of both soluble Fe and the  $Fe^{3+}$ -SRFA species in the supernatant 476 477 solutions of system 7 indicates that goethite dissolution does not occur at detectable levels in 478 the presence of SRFA alone at pH 6.5 (Fig. 4). However, FTIR analysis of model compounds reveals the diagnostic absorption bands that indicate presence of the Fe<sup>3+</sup>-SRFA complex, a 479 species that may well form following the liberation of  $Fe^{3+}$  by DFOB. The most significant 480 481 FTIR band arising from the complexation of SRFA with Fe is due to changes in the carboxylate C=O vibration, appearing at 1687 cm<sup>-1</sup> in Fe<sup>3+</sup>-SRFA and 1720 cm<sup>-1</sup> in Fe-free 482 SRFA. Fu and Quan (2006) observed similar changes in C=O vibrations when FA was sorbed 483 to haematite. The other functional group indicative of  $Fe^{3+}$ -SRFA bonding, the phenolic OH, 484 changes from 3425 cm<sup>-1</sup> in the uncomplexed SRFA to 3410 cm<sup>-1</sup> for Fe<sup>3+</sup>-SRFA (Table 2). 485 486 Localisation of Fe within the ternary Fe-DFOB-SRFA complex can help to reveal the mechanisms of goethite dissolution when both organic ligands are present. The FTIR 487 488 absorption band most diagnostic of Fe complexation by SRFA arises from the carboxylate C=O vibration which, when complexed to Fe, decreases from  $1720 \text{ cm}^{-1}$  to  $1687 \text{ cm}^{-1}$ . In the 489 ternary complex this vibration occurs at 1723 cm<sup>-1</sup> (Table 2), broadly similar to that of the 490 Fe-free SRFA. Furthermore, we observe the main band representing the Fe<sup>3+</sup>-DFOB 491 492 complex, the Fe-O vibration, is also present for the Fe-DFOB-SRFA complex, although

occurring at the somewhat lower frequency of 542 cm<sup>-1</sup>. On the basis of these spectroscopic 493 494 observations we infer that Fe in the ternary complex is bound only to the hydroxamate groups of DFOB. Thus, in the presence of both DFOB and SRFA, dissolved  $Fe^{3+}$  is complexed by 495 496 DFOB rather than SRFA, consistent with our observations, and as predicted by the much higher affinity of Fe<sup>3+</sup> for DFOB than for SRFA at pH 6.5 (Pandeya, 1993; Kraemer, 2004; 497 498 Muller and Batchelli, 2011). The FTIR spectrum for Fe-DFOB-SRFA also shows notable increases in wavenumber for SRFA phenolic OH (3437  $\text{cm}^{-1}$ ) and C=O (1642  $\text{cm}^{-1}$ ). 499 compared to their uncomplexed form (Table 2). However, we believe these wavenumber 500 shifts are not due to Fe complexation by SRFA but rather to ring strain caused by a change in 501 502 SRFA conformation to accommodate the DFOB molecule as the Fe-O complex forms 503 (Sharma, 2007).

504 FTIR data show that SRFA and DFOB combine to form intimate associations in 505 aqueous solution. Specifically, bonding between the SRFA phenolic OH and the residual 506 positive charge on the DFOB terminal NH<sub>3</sub> group yields a significant wavenumber change for these groups, shifting the uncomplexed SRFA phenolic OH from 3425 cm<sup>-1</sup> to 3417 cm<sup>-1</sup> 507 508 in the DFOB-SRFA complex (Table 2). Curiously, the SRFA phenolic OH appears to 509 dominate these associations, despite the greater population of carboxyl groups within this 510 humic material, with reports of carboxyl:phenol molar ratios varying from 3:2 (Alvarez-511 Puebla et al., 2006) to 4:1 (Ritchie and Perdue, 2003). The FTIR bands for the DFOB terminal NH<sub>3</sub> group vibrations display even greater wavenumber shifts, from 3128 cm<sup>-1</sup> and 512  $3325 \text{ cm}^{-1}$  in the uncomplexed siderophore to a single absorption peak at 2939 cm<sup>-1</sup> in the 513 514 DFOB-SRFA complex.

515

516 4.3. Influence of DFOB and SRFA on goethite dissolution

517

518 DFOB adsorbs to goethite principally via inner-sphere surface complexes (Carrasco et 519 al., 2007), the necessary first step in ligand-controlled dissolution. The rate law for ligand-520 controlled dissolution predicts that the mass-normalised dissolution rate of goethite,  $R_{\rm DFOB}$ , 521 will be proportional to the DFOB surface excess,  $n_{\rm DFOB}$ :

522

523 
$$R_{\rm DFOB} = k_{\rm DFOB} n_{\rm DFOB}$$

524

525 where  $k_{\text{DFOB}}$  is a pseudo first-order rate coefficient. The dissolution of goethite by 526 siderophores obeys this rate law under many experimental conditions, even in the presence of 527 low MW organic ligands such as oxalate (Cheah et al., 2003). Our values for  $k_{\text{DFOB}}$  show little variation irrespective of treatment  $(0.012 - 0.020 \text{ h}^{-1})$  (Table 1, column 5) and are 528 broadly in line with that reported by Kraemer et al. (1999) (i.e. 0.01 h<sup>-1</sup>). However, the 529 530 pseudo first-order rate coefficient for dissolution of goethite by DFO-D1, the acetyl derivative of DFOB, increases to 0.05 h<sup>-1</sup> (Kraemer et al., 1999) while that for a simple 531 monohydroxamate ligand, acetohydroxamic acid, was calculated as 0.073 h<sup>-1</sup> (Holmén and 532 533 Casey, 1998).

534 In the present study, Fe release from goethite increased with the addition of SRFA, 535 particularly where SRFA is added prior to DFOB (systems 4 and 5), and further still when 536 SRFA is introduced as the DFOB-SRFA complex (system 6) (Fig. 4). A quantitative assessment of the effect of SRFA presence on goethite dissolution can obtained through 537 538 comparison of the mass-normalised zero-order rate coefficients (Table 1, column 3). The rate coefficients for systems 4 (0.364  $\mu$ mol g<sup>-1</sup> h<sup>-1</sup>) and 5 (0.412  $\mu$ mol g<sup>-1</sup> h<sup>-1</sup>) are 40 to 60% larger 539 than that for system 1 (0.257  $\mu$ mol g<sup>-1</sup> h<sup>-1</sup>), while the coefficient for system 6 (0.440  $\mu$ mol g<sup>-1</sup> 540  $h^{-1}$ ) is nearly 70% larger than for the SRFA-free system. 541

542 Despite the positive influence of SRFA on goethite dissolution by means of increased adsorption of DFOB (compare DFOB surface excess for system 1 with that for systems 2-6; 543 544 Table 1, column 4), the rate of Fe release does not correlate linearly with DFOB surface 545 excess. This nonlinear relationship between DFOB adsorption and goethite dissolution may 546 reflect changes in surface speciation of DFOB when SRFA is present. The SRFA induced 547 reduction in positive surface charge enables greater electrostatic adsorption of DFOB as 548 predicted by Tipping and Cooke (1982). However, as formation of a DFOB inner-sphere 549 complex is the required first step in ligand-controlled dissolution of goethite, DFOB held 550 non-specifically through Coulombic forces would not contribute to goethite dissolution. 551 Furthermore, in the case of system 6, inner-sphere complexation of DFOB to goethite may be 552 partly limited by the rate at which DFOB and SRFA decouple. Nevertheless, the effect of 553 SRFA presence on the DFOB-goethite system has important implications for the microbial 554 acquisition of Fe in soils and other humic rich environments. Data in Fig. 4 show that for 555 nearly all systems the efficacy of DFOB is increased with SRFA presence. For example, at 556 reaction times of 120 and 330 h, system 1 (with only DFOB) yields 34.7 and 70.3 µM Fe 557 while system 6 (containing both DFOB and SRFA) yields 71.6 and 125.7 µM Fe, 558 respectively (Fig. 4). Thus, the benefit to the microbe producing the siderophore is 559 substantial, and this advantage is achieved with little or no energetic cost to the organism. 560 4.4. Mechanism of SRFA enhanced goethite dissolution 561

562

563 Various mechanisms have been proposed to explain the effect of low MW organic 564 acids on goethite dissolution by DFOB. For example, in their examination of the oxalate-565 DFOB-goethite system at pH 5, Cheah et al. (2003) suggest that Fe solubilised from the 566 goethite surface by oxalate is subsequently wrested from the Fe<sup>3+</sup>-oxalate aqueous complex

| 567  | by DFOB. Given sufficient DFOB to complex soluble Fe, oxalate will thus be liberated to  |
|--|--|
| 568  | react once again with the goethite surface. Reichard et al. (2007a), also examining the  |
| 569  | oxalate-DFOB-goethite system, proposed a dissolution mechanism broadly similar to that of  |
| 570  | Cheah et al. (2003), except that the former workers identified two distinct pools of labile Fe,  |
| 571  | namely, (i) Fe <sup>3+</sup> present as a residuum of goethite synthesis and (ii) kinetically labile Fe <sup>3+</sup>  |
| 572  | coordinated to unshared hydroxyls. The mechanism we propose here for the dissolution of  |
| 573  | goethite in the presence of DFOB and the higher MW organic compound, SRFA, differs from  |
| 574  | those proposed for oxalate in that SRFA plays a largely indirect, though no less important   |
| 575  | role in increasing the efficacy of DFOB. Adsorbed SRFA reduces the net positive surface  |
| 576  | charge of goethite, thereby increasing DFOB uptake, and also, through formation of Fe  |
| 577  | complexes with fulvic carboxyl and phenol groups, increases the pool of labile surface Fe.   |
| 578  | Our model for goethite dissolution by DFOB in the presence of SRFA, illustrated in Fig. 9, is  |
|  |  |
| 579  | summarised below:  |
| 579<br>580   | summarised below:  |
|  | summarised below:<br>(i) surface Fe of goethite is coordinated to SRFA via carboxylic (GOE)Fe <sup>3+</sup> - <sup>-</sup> OOC(SRFA) or  |
| 580  |  |
| 580<br>581   | (i) surface Fe of goethite is coordinated to SRFA via carboxylic (GOE)Fe <sup>3+</sup> -OOC(SRFA) or   |
| 580<br>581<br>582  | (i) surface Fe of goethite is coordinated to SRFA via carboxylic (GOE)Fe <sup>3+</sup> -OOC(SRFA) or   |
| 580<br>581<br>582<br>583   | (i) surface Fe of goethite is coordinated to SRFA via carboxylic (GOE)Fe <sup>3+</sup> - <sup>-</sup> OOC(SRFA) or phenolic (GOE)Fe <sup>3+</sup> - <sup>-</sup> O(SRFA) functional groups through ligand exchange;  |
| <ul> <li>580</li> <li>581</li> <li>582</li> <li>583</li> <li>584</li> </ul>              | <ul> <li>(i) surface Fe of goethite is coordinated to SRFA via carboxylic (GOE)Fe<sup>3+</sup>-OOC(SRFA) or phenolic (GOE)Fe<sup>3+</sup>-O(SRFA) functional groups through ligand exchange;</li> <li>(ii) the Fe<sup>3+</sup>-SRFA attachment destabilises Fe-O bonds at the goethite surface, leading to</li> </ul>  |
| <ul> <li>580</li> <li>581</li> <li>582</li> <li>583</li> <li>584</li> <li>585</li> </ul> | <ul> <li>(i) surface Fe of goethite is coordinated to SRFA via carboxylic (GOE)Fe<sup>3+</sup>-OOC(SRFA) or phenolic (GOE)Fe<sup>3+</sup>-O(SRFA) functional groups through ligand exchange;</li> <li>(ii) the Fe<sup>3+</sup>-SRFA attachment destabilises Fe-O bonds at the goethite surface, leading to</li> </ul>  |
| 580<br>581<br>582<br>583<br>584<br>585<br>586  | <ul> <li>(i) surface Fe of goethite is coordinated to SRFA via carboxylic (GOE)Fe<sup>3+</sup>-'OOC(SRFA) or phenolic (GOE)Fe<sup>3+</sup>-'O(SRFA) functional groups through ligand exchange;</li> <li>(ii) the Fe<sup>3+</sup>-SRFA attachment destabilises Fe-O bonds at the goethite surface, leading to labilisation of Fe<sup>3+</sup>;</li> </ul>   |
| 580<br>581<br>582<br>583<br>584<br>585<br>586<br>587                                     | <ul> <li>(i) surface Fe of goethite is coordinated to SRFA via carboxylic (GOE)Fe<sup>3+</sup>-OOC(SRFA) or phenolic (GOE)Fe<sup>3+</sup>-O(SRFA) functional groups through ligand exchange;</li> <li>(ii) the Fe<sup>3+</sup>-SRFA attachment destabilises Fe-O bonds at the goethite surface, leading to labilisation of Fe<sup>3+</sup>;</li> <li>(iii) adsorbed SRFA locally reduces the positive charge on the goethite surface, thereby</li> </ul> |

591 labile  $Fe^{3+}$  via ligand exchange;

592 (vi) the  $Fe^{3+}$ -DFOB<sup>+</sup> complex is released to solution where it remains a free species or 593 594 subsequently complexes with aqueous SRFA. 595 596 5. CONCLUSIONS 597 598 Our results show that dissolution of goethite by DFOB is enhanced considerably 599 through the presence of FA, particularly when FA sorption preceeds that of DFOB, or when 600 the two organic compounds are sorbed simultaneously. Importantly, our batch dissolution 601 experiments incorporating FA reveal a more complex picture of siderophore function than is 602 portrayed in the current literature. This humic material is revealed as a catalyst for goethite 603 dissolution, in the sense that FA enhances the efficacy of DFOB but is itself not directly 604 involved in Fe solubilisation. This work shines important new light on the factors influencing 605 Fe acquisition by microorganisms and plants in soils and sediments, environments in which 606 humic materials are ubiquitous. The incorporation of natural organic matter such as FA into 607 geochemical models of siderophore function is therefore essential to more accurately predict 608 the geochemical cycling of Fe in these natural environments. 609 610 ACKNOWLEDGEMENTS 611 612 613 Funding for this study was provided through a Birkbeck, University of London, Research 614 Studentship and from The Natural History Museum. We thank the following for their 615 generous assistance: Stanislav Strekopytov, Catherine Unsworth and Emma Williams for 616 chemical analysis, Agnieszka Dybowska and Hazel Hunter for FTIR spectroscopy, Alex Ball

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787 Figure Captions

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789 Figure 1. (a) Structural representation of desferrioxamine-B (DFOB). The terminating R 790 group (i) is an amine ( $pK_a = 10.9$ ). The three hydroxyl groups (ii – iv) have  $pK_a$  values of 9.8, 791 9.2 and 8.6, respectively (Colnaghi Simionato et al., 2006). The hydroxamate (oxime) group 792 is shown along with the amide I (C=O) and amide II (N-H and C-N). Adapted from Whitnall and Richardson (2006). (b) Structure of DFOB bound to  $Fe^{3+}$  as ferrioxamine B. DFOB is 793 hexadentate, giving a complex with Fe<sup>3+</sup> comprised of three, five-membered rings. Adapted 794 795 from Cramer et al. (1984). 796 797 Figure 2. Generalised depiction of the proposed molecular structure of FA based on the 798 Temple-Northeastern-Birmingham (TNB) molecular modelling programme (Alvarez-Puebla 799 et al. (2006), in accordance with the experimentally derived elemental composition, number 800 and type of acidic groups, and molecular weight of FA. 801 802 Figure 3. Graphical representation showing the permutations of the batch dissolution of 803 goethite with DFOB and SRFA as a function of reaction duration. 804 805 Figure 4. Iron release by goethite in the presence of only DFOB (system 1) and both DFOB 806 and SRFA (systems 2-6), with permutations as described in Fig. 3. System 7 is a goethite-807 SRFA suspension; system 8 is a goethite suspension lacking any organic ligand. Systems 9 808 and 10 are solutions of DFOB and SRFA, respectively, and serve as controls. Initial siderophore concentration: 270  $\mu$ M; solid concentration: 0.7 g L<sup>-1</sup>; pH 6.5. 809 810 811

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| 813 | Figure 5. UV-Vis spectra of untreated filtrate solutions showing absorbance for uncomplexed |
|-----|---|
| 814 | DFOB (270 $\mu M$ ) near 236 nm and absorbance for the Fe-DFOB complex appearing as a low,  |
| 815 | broad peak at 400 – 500 nm.   |
| 816 |   |
| 817 | Figure 6. FTIR spectra for synthetic goethite, DFOB, SRFA, Fe-DFOB, Fe-SRFA, DFOB-          |
| 818 | SRFA and Fe-DFOB-SRFA. Reference compounds have the following molar ratios:-                |
| 819 | Fe:DFOB (2:1), Fe:SRFA (5:1), DFOB:SRFA (1:1) and Fe:DFOB:SRFA (5:1:1). See Table           |
| 820 | 2 for peak assignments.   |
| 821 |   |
| 822 | Figure 7. Schindler diagrams illustrating the charge properties of goethite and ionic SRFA  |
| 823 | and DFOB. The horizontal bar in the bottom rectangle indicates the pH range over which      |
| 824 | purely electrostatic adsorption mechanisms are possible.                                    |
| 825 |   |
| 826 | Figure 8. Proposed adsorption mechanism for the goethite-SRFA complex, involving a          |
| 827 | chelate ring incorporating COO <sup>-</sup> and phenolic OH from SRFA.                      |
| 828 |   |
| 829 | Figure 9. Proposed mechanism of goethite dissolution in the presence of DFOB and SRFA.      |
| 830 |   |
| 831 |   |

Table 1. Linear regression equations, mass-normalised zero-order dissolution rate coefficients, surface excess values for DFOB, and pseudo-first-order rate coefficients for goethite dissolution at pH 6.5 and 25°C.

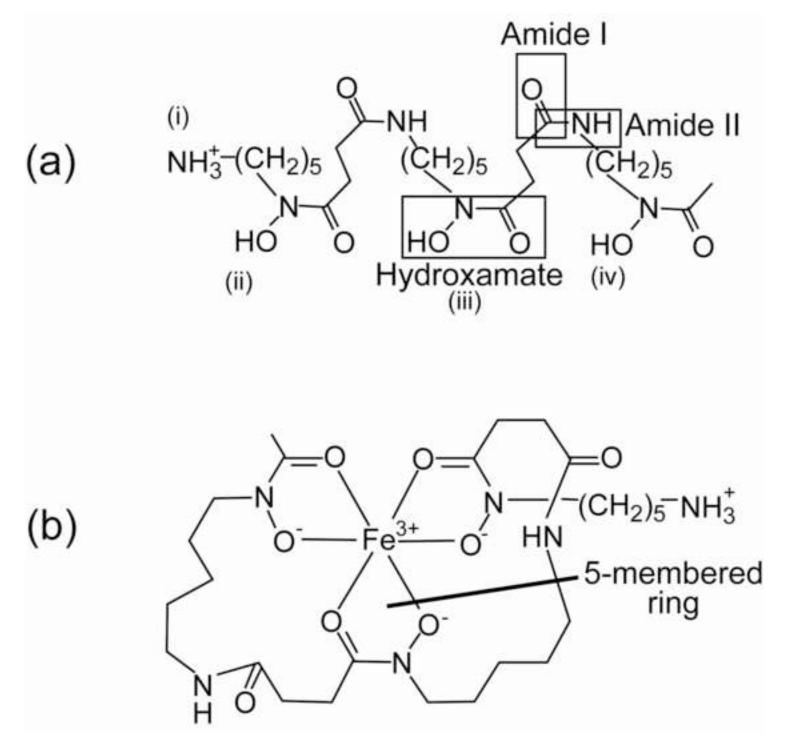
| System | Regression equation | Rate coefficient<br>(µmol g <sup>-1</sup> h <sup>-1</sup> ) | DFOB surface<br>excess<br>(µmol g <sup>-1</sup> ) | Pseudo-first-<br>order rate<br>coefficient (h <sup>-1</sup> ) |
|--------|---------------------|---|---|---|
| 1      | Y = 0.180X + 11.90  | 0.257 <u>+</u> 0.016  | 14.4  | 0.018   |
| 2      | Y = 0.191X + 14.94  | 0.273 <u>+</u> 0.009  | 19.0  | 0.014   |
| 3      | Y = 0.188X + 10.00  | 0.268 <u>+</u> 0.034  | 23.3  | 0.012   |
| 4      | Y = 0.255X + 14.66  | 0.364 <u>+</u> 0.014  | 18.3  | 0.020   |
| 5      | Y = 0.289X + 14.08  | $0.412 \pm 0.000$   | 26.5  | 0.016   |
| 6      | Y = 0.308X + 29.72  | $0.440 \pm 0.070$   | 22.8  | 0.019   |

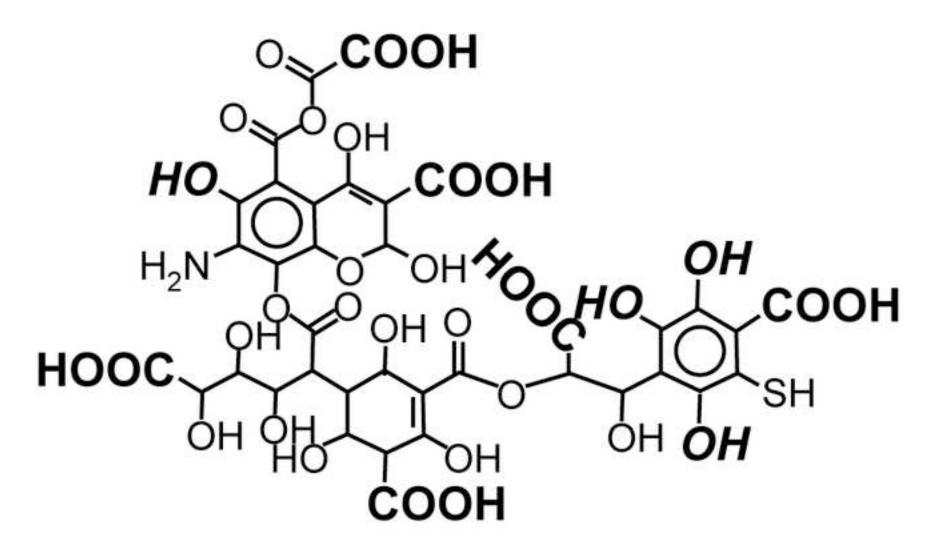
Initial DFOB concentration = 270  $\mu$ M Goethite concentration = 0.7 g L<sup>-1</sup> Y = soluble Fe ( $\mu$ M)

X = time (h)

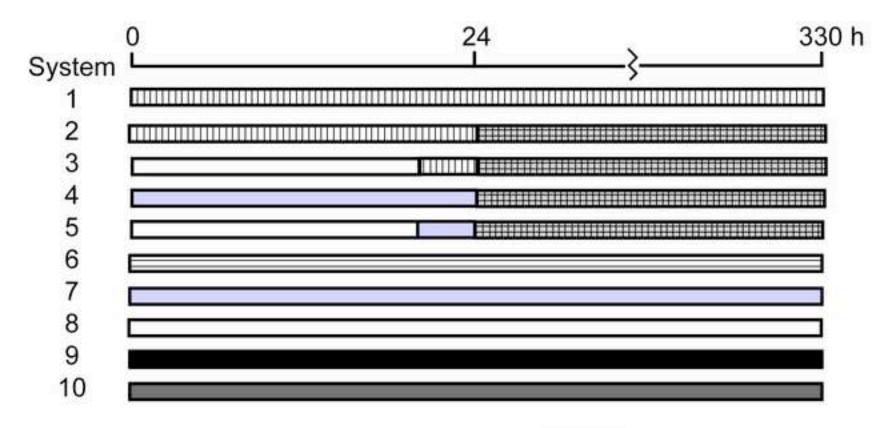
Table 2. FTIR absorption bands (cm<sup>-1</sup>) and their assignments for DFOB, synthetic goethite, SRFA and four complexes: Fe-DFOB, Fe-SRFA, DFOB-SRFA and Fe-DFOB-SRFA. Assignments are based on Cornell and Schwertmann (2003); Edwards et al. (2005); Cozar et al. (2006); Prasad et al. (2006); and Borer et al. (2009). Vibration modes are designated as follows: *V*, stretching;  $\delta$ , deformation; s, symmetrical; as, asymmetric.

| Assignment   | DFOB         | Goethite | SRFA | Fe-DFOB | Fe-SRFA | DFOB-<br>SRFA | Fe-DFOB-<br>SRFA |
|--|--------------|----------|------|---------|---------|---------------|------------------|
| <i>v<sub>C</sub></i> =0<br>amide I   | 1624         |          |      | 1622    |         | 1624          |                  |
| $\nu_{\mathcal{C}=O}$  | 1599         |          |      |         |         |               |                  |
| hydroxamate  |              |          |      | 1560    |         |               |                  |
| $\nu_{C=N}$<br>hydroxamate<br>(resonance)  |              |          |      | 1568    |         |               |                  |
| $\delta_{N-H}, V_{C-N}$<br>amide II  | 1537         |          |      |         |         |               |                  |
| $\delta_{NOH}$ V <sub>C-N</sub> , V <sub>C-N</sub><br>hydroxamate X2,<br>adjacent to | 1480         |          |      |         |         |               |                  |
| hydroxamate<br>V <sub>Fe-O</sub><br>hydroxamate-iron                                 |              |          |      | 1459    |         |               |                  |
| hydroxamate $\Lambda_{0-H}$<br>hydroxamate X2,<br>terminal N                         | 1386         |          |      |         |         |               |                  |
| µ <sub>N-O</sub><br>hydroxamate  | 1047         |          |      | 1045    |         | 1046          | 1042             |
| (resonance)<br>V <sub>Fe-O</sub><br>hydroxamate-iron                                 |              |          |      | 561     |         |               | 542              |
| V <sub>OH</sub><br>(phenolic)  |              |          | 3425 |         | 3410    | 3417          | 3437             |
| لا <sub>N-H</sub> (terminal N)   | 3128<br>3325 |          |      | 3368    |         | 2939          | 3010<br>2954     |
| <i>v</i> <sub>C=O</sub><br>carboxylic acid<br>protonated                             |              |          | 1720 |         | 1687    | 1719          | 1723             |
| $v_{as C=O}$ carboxylic acid   |              |          | 1629 |         | 1631    | 1626          | 1642             |
| deprotonated<br>V <sub>s C=O</sub><br>carboxylic acid                                |              |          | 1384 |         | 1384    | 1385          |                  |
| deprotonated<br>V <sub>OH</sub>  |              |          | 1218 |         |         | 1218          | 1216             |
| phenolic   |              | 3132     |      |         |         |               |                  |
| hydroxyl stretch   |              | 891      |      |         |         |               |                  |
| $\delta_{ m OH}$ in-plane-hydroxyl   |              |          |      |         |         |               |                  |
| $\delta_{OH}$<br>out-of-plane hydroxyl   |              | 795      |      |         |         |               |                  |
| <i>V</i> <sub>FeO6</sub><br>lattice mode   |              | 640      |      |         |         |               |                  |

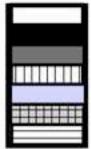


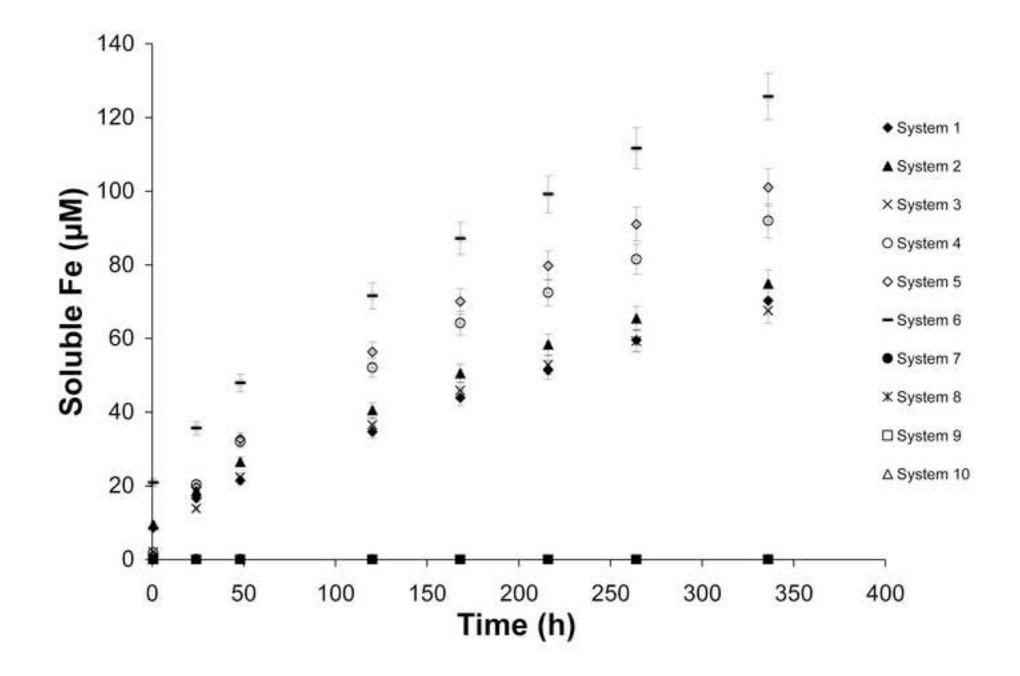


Phenolic group:  $(C_6H_5)OH, HO(H_5C_6)$ Carboxylic acid group: COOH, HOOC



| Goethite                   | ſ |
|----------------------------|---|
| DFOB                       |   |
| SRFA                       |   |
| Goethite+DFOB              | 1 |
| Goethite+SRFA              | Ŀ |
| Goethite+DFOB+SRFA         | F |
| Goethite+DFOB-SRFA complex | L |





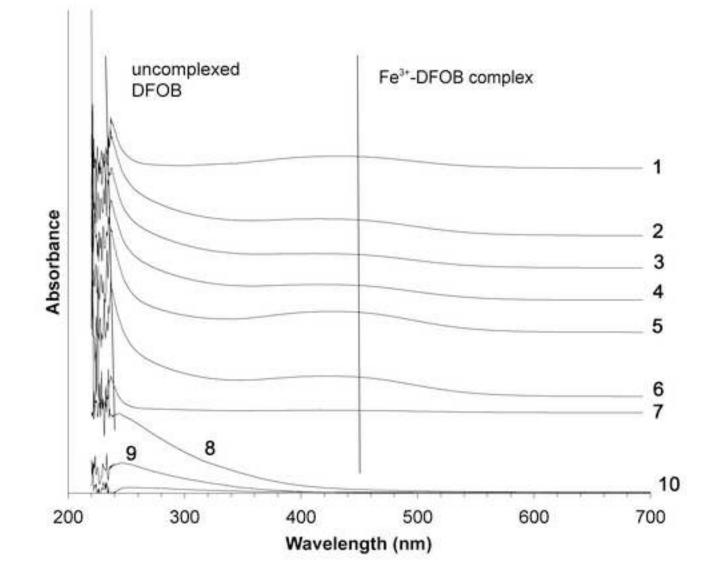
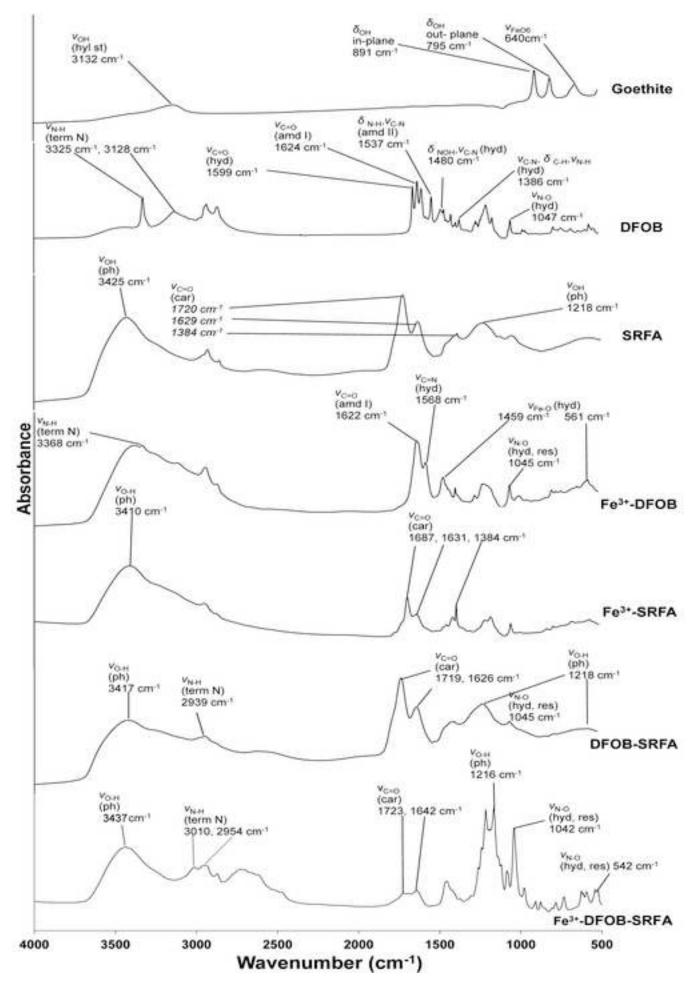
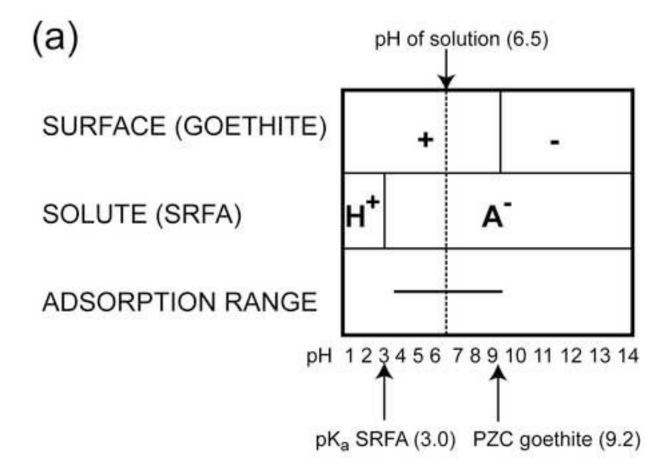
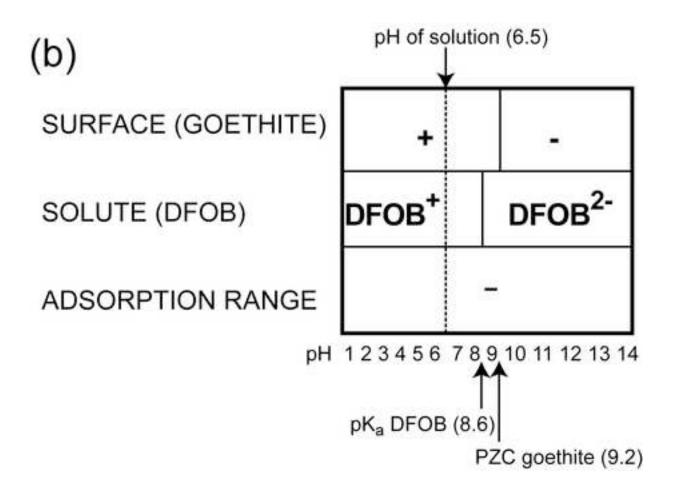
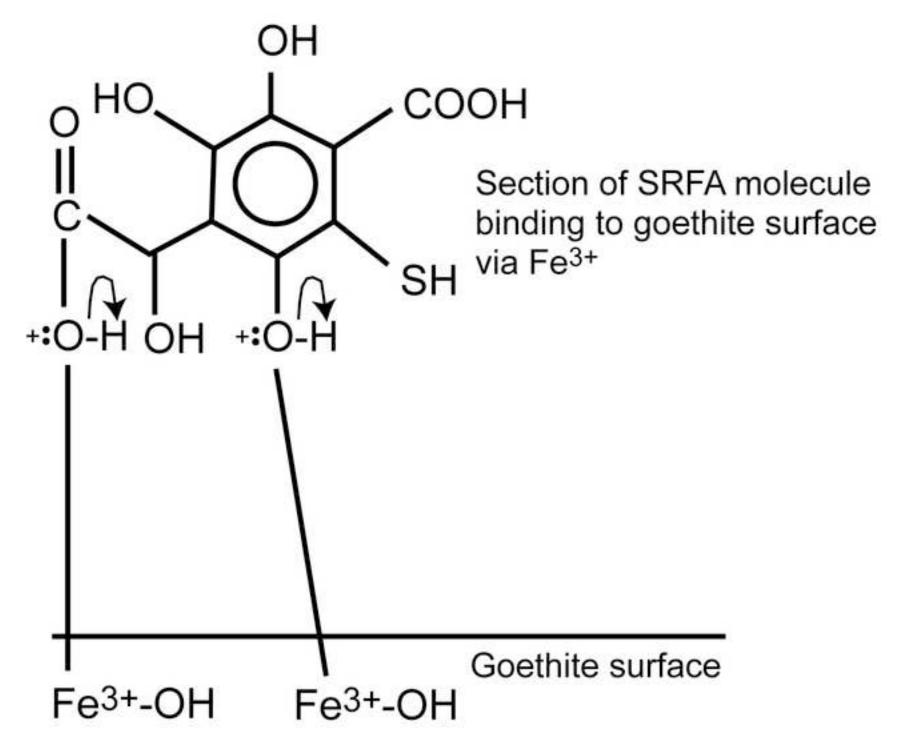


Figure 6 Click here to download high resolution image

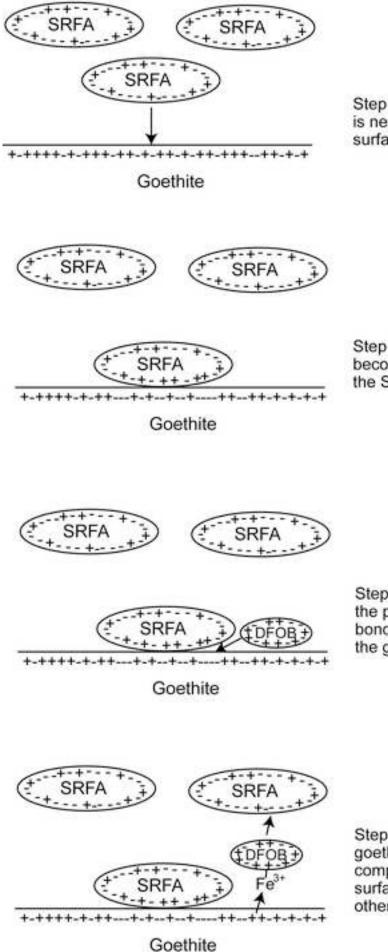








## Figure 9 Click here to download high resolution image



Step 1. At pH 6.5, SRFA surface is negatively charged, and goethite surface is positively charged.

Step 2. Areas on the goethite surface become less positive in the vicinity of the SRFA molecule.

Step 3. The hydroxamate furthest from the protonated amine in the DFOB bonds to the less positive areas on the goethite surface.

Step 4. DFOB bonds with Fe<sup>3+</sup> on the goethite surface. The new Fe<sup>3+</sup>-DFOB complex then detaches from the surface into solution and approaches other SRFA molecules.